



*Congreso de los Diputados*

**INFOME SOBRE LA REUNIÓN, EN EL SENO DE LA COMISIÓN DE  
DESARROLLO REGIONAL DEL PARLAMENTO EUROPEO, EL 11 DE  
OCTUBRE DE 2012 EN BRUSELAS.**

El 11 de octubre de 2012 tuvo lugar en Bruselas una reunión de la Comisión de Desarrollo Regional del Parlamento Europeo con las delegaciones de las Comisiones competentes de los Parlamentos de los Estados miembros. A dicha reunión acudieron, en representación de la Comisión de Economía y Competitividad del Congreso de los Diputados, D<sup>a</sup>. María Belén Juste Picón, Vicepresidenta 2<sup>a</sup> de la Comisión y D. Manuel Gabriel González Ramos, del Grupo Parlamentario Socialista, acompañados por la Letrada D<sup>a</sup>. Mónica Moreno Fernández- Santa Cruz. Por el Senado asistieron D. Antonio Alarcó, del Grupo Parlamentario Popular y D. José Antonio Manchado del Grupo parlamentario Socialista, junto con la Letrada de la Comisión de Economía y Competitividad, D<sup>a</sup>. Maria Teresa González- Escudero. Ambas delegaciones estuvieron acompañadas en todo momento por la Representante permanente de las Cortes Generales ante la Unión Europea, D<sup>a</sup>. Carmen Sánchez – Abarca Gornals.

El mismo día 11 de octubre, las delegaciones del Congreso y del Senado se reunieron con la Consejera de Hacienda, D<sup>a</sup>. Pilar Sáenz de Ormijana, y el Consejero Parlamentario, D. Carlos Robles, ambos de la Representación Permanente de España.

La Sra. Sáenz de Ormijana comenzó su exposición destacando el papel central y reforzado que obtuvo el Parlamento Europeo en el Tratado de Lisboa, que ahora se ve amenazado por el cambio de circunstancias y sobre todo por las dificultades derivadas de la crisis económica, que están implicando que se le otorgue un menor protagonismo y poder de decisión, relacionado obviamente con el tema recurrente de su legitimidad democrática y la preponderancia de los Gobiernos de los Estados miembros, que han recuperado poder. Esto está creando una serie de tensiones que obligan a seguir la política europea muy de cerca, siendo fundamental el papel de los Parlamentos nacionales al estarse aprobando diariamente cuestiones muy relevantes en la actual situación política y económica. A estos efectos sugirieron la conveniencia de reforzar la implicación del Parlamento español en los temas europeos.

Se refirió seguidamente a las Perspectivas financieras 2014-2020, un tema crucial, como es siempre el presupuestario, pues sin dinero no hay políticas europeas. El llamado Grupo de amigos de la Presidencia, señaló, está manteniendo una dura lucha ya que en una primera ronda se han incluido en el presupuesto todas las demandas y ahora hay que empezar a eliminar unas en beneficio de otras, una discusión de intereses económicos que acabará en la reunión de los próximos 22 a 23 de noviembre.



## *Congreso de los Diputados*

Técnicamente, tras Lisboa, el presupuesto comunitario debería financiarse con sus propios recursos y aliviar las contribuciones de los Estados miembros, pero la tasa sobre transacciones financieras y el reforzamiento del IVA están dando con algunos problemas que hacen que las contribuciones nacionales, sobre la Renta Nacional Bruta, sigan teniendo un peso muy importante (en la actual situación de España su contribución bajará, como es obvio). Se está estableciendo un sistema de cooperación reforzada para determinados países, que de imponer la tasa sobre transacciones financieras verían reducida su contribución nacional.

La eterna cuestión continuó, es el retorno justo, “cuánto me llevo de lo que pongo”, sin tener en cuenta nada más que los efectos directos del retorno, ya que los indirectos son muy difíciles de computar.

Dejando aquí el tema de los ingresos se refirió al tema aún más candente, el de los gastos. A este respecto la cuestión clave es si debe seguirse manteniendo el presupuesto tradicional, muy enfocado a la agricultura y a los programas GALILEO Y GMES, así como al proyecto ITER (fusión nuclear), que es un proyecto en el que junto a la UE participan EE.UU y Japón, que están molestos porque en los últimos años la UE está reduciendo sus aportaciones. Otros proyectos que se imponen con fuerza son los que pretenden conectar Europa, sobre todo las regiones periféricas, en materia de transporte, telecomunicaciones y energía. El problema es la variedad de intereses nacionales, como el corredor mediterráneo en el caso de España o el proyecto Nabuco en el caso de Italia. España está interesada actualmente en los proyectos de transporte si bien, dijo la Consejera, no debería descuidarse el interés en los temas energéticos dada la importante dependencia de España en este sector. Así mismo España defiende el presupuesto para agricultura con fuerza, dado que para España los fondos de cohesión son muy importantes para las regiones que están menos desarrolladas o que están en transición, como Galicia, Castilla- La Mancha o Andalucía. (Existen tres tipos de regiones, las competitivas o “ricas”, las que están en convergencia, como Extremadura y las más débiles o menos desarrolladas.)

Insistió en que será difícil aprobar las Perspectivas financieras sin aprobar los recursos propios o un compromiso de puesta en marcha de los mismos en los próximos años. La pelea del Parlamento Europeo se centra en la obtención de esos recursos propios y en incrementar el presupuesto entre un 4 y un 6%. Recalcó además que el presupuesto comunitario es muy rígido y la posibilidad de transferir recursos de unos programas (rúbricas) a otros es muy difícil pues requiere unanimidad, por lo que el Parlamento Europeo busca una mayor flexibilidad para mover los fondos de una rúbrica a otra cuando sea necesario.

Finalmente recordó que la parte más difícil vendrá cuando se aprueben los presupuestos y los Estados deban repartir los Fondos, sobre todo en Estados complejos como España.



## *Congreso de los Diputados*

Seguidamente las delegaciones se trasladaron a la Sala donde se celebró la reunión de la Comisión de Desarrollo Regional con las Comisiones competentes de los Estados miembros. El debate se centró en el tema “La política de cohesión 2014-2020: hacia un marco estratégico común y los contratos de parternariado”.

La Sra. Danuta Hübner, Presidenta de la Comisión de Desarrollo Regional del Parlamento Europeo dedicó unas palabras de bienvenida a los participantes e hizo una reflexión inicial sobre el tema de debate. Defendió la importancia del presupuesto destinado a cohesión y solidaridad, sobre todo en los tiempos tan difíciles que estamos viviendo y en lo que viene por delante, orientando estas políticas hacia el crecimiento y el pleno empleo. Seguidamente dio la palabra al Comisario para Política Regional de la Comisión Europea, el Sr. Johannes Hahn.

El Comisario se refirió al Consejo de los próximos 21 a 23 de noviembre en el que se tomará una decisión final sobre las Perspectivas financieras, teniendo que convencer a los contribuyentes netos de que no reduzcan sus aportaciones al presupuesto. Se centró en primer lugar en el marco estratégico común para la futura política de cohesión, que se está debatiendo de forma constructiva. Aludió al triálogo entre Comisión, Parlamento y Consejo con atención especial a las regiones. Los distintos fondos (desarrollo regional, pesca, cohesión...) se han incluido en un marco estratégico común, que tratará de evitar duplicidades y solapamientos, maximizando su eficacia.

Destacó también la importancia de los contratos de parternariado, pues es muy importante que participe el mayor número posible de interlocutores a nivel social y local para conocer mejor las demandas (gobernanza multinivel), con un código de conducta que la Comisión apoya decididamente.

Finalmente señaló que el presupuesto europeo revierte en programas para los Estados miembros en un 95% mientras que sólo un 5% se destina a los gastos administrativos, es decir al coste de las Instituciones europeas. La práctica totalidad es presupuesto operativo, con inversión en el desarrollo de las regiones, la educación... y es importantísimo mantenerlo y mejorar su eficacia, por lo que pidió el apoyo de todos los Estados miembros y sobre todo de los contribuyentes netos al presupuesto, evitando el recorte en las políticas europeas. Más presupuesto, dijo, garantizará seguridad para los ciudadanos europeos y para los inversores, conduciendo a mayor crecimiento y pleno empleo, destacando la importancia del empleo juvenil para la Europa de los años venideros.

Tomó la palabra seguidamente el Sr. Kornelios Korneliou, Embajador permanente de Chipre ante la Unión Europea, que enfatizó el papel de la presidencia de turno que corresponde a Chipre para impulsar la democratización del funcionamiento de la Unión Europea. Se manifestó de acuerdo con el Comisario en destacar la importancia



## *Congreso de los Diputados*

de la política de cohesión para garantizar el crecimiento y el empleo, bien anclados en el principio de solidaridad.

A continuación intervino el Sr. Rainer Robra, Ministro de Estado del Land alemán de Sajonia y miembro de la Comisión de Asuntos Europeos del Bundesrat, que señaló que su Land está apunto de abandonar la categoría de convergencia y afirmó la necesidad de reforzar las políticas comunitarias en la estrategia Europea para 2020, equilibrando las exigencias europeas con las necesidades regionales y locales, para lo que los contratos de parternariado y la implicación de la sociedad civil será decisiva.

El Sr. Liam Twomwy, Vicepresidente de la Comisión de Finanzas, Gasto público y Reforma del Parlamento irlandés, intervino también para destacar la importancia de la atención a la necesidad de las regiones en el marco de las políticas europeas.

Tras estas intervenciones se abrió un debate entre todas las delegaciones asistentes, tomando la palabra durante el mismo y en nombre de la delegación española el D. Manuel Gabriel Gonzalez Ramos que se refirió a la experiencia positiva de España en cuanto a las políticas de cohesión, que han traído bienestar, eficacia y beneficio para sus distintas regiones. Señaló que ahora que los países del sur de Europa están sufriendo con más rigor la crisis es cuando Europa debe ser solidaria, en aras a la convergencia y la cohesión. España, siguió señalando, tiene varias regiones en transición y todos debemos ser generosos con las perspectivas financieras, no sólo los contribuyentes netos, porque el crecimiento de países como España es el crecimiento de Europa en su conjunto. Más Europa, dijo, significa más solidaridad y más fondos de cohesión para las regiones que lo necesitan. Como señalaba anteriormente el Comisario, un buen presupuesto dará más confianza a Europa y a los inversores, ayudando a los Estados miembros, a las regiones y en definitiva a los ciudadanos europeos, con crecimiento y pleno empleo. En suma, más cohesión, más presupuesto y más políticas europeas.

Tras las intervenciones de las delegaciones tomó la palabra el Sr. Martin, de la Comisión Europea, en nombre del Comisario que tuvo que ausentarse, resumiendo las intervenciones que habían tenido lugar como que, sin duda, ni todo era bueno ni todo era malo en las políticas europeas, pero que obviamente había muchos intereses diferentes. Agradeció a todos las aportaciones tan constructivas durante el debate que reflejan las preocupaciones nacionales que estos días se están discutiendo en las perspectivas financieras. Significó el gran apoyo que de todos los intervinientes se había desprendido hacia la política de cohesión, que sin duda debe aplicarse con eficacia. Hay que garantizar las prioridades de la Unión Europea que no tienen que ser incompatibles con las necesidades de las regiones. Debe equilibrarse la ecuación gastos e ingresos y contemplar las inversiones en los ámbitos de transporte e infraestructuras para regiones transfronterizas, PYMES y eficiencia energética. En cuanto a los contratos de parternariado, en su opinión, el elemento esencial es comenzar el trabajo a nivel de Estados y después descender a los programas concretos, desarrollando la cohesión y el





## *Congreso de los Diputados*

entendimiento y solidaridad entre las regiones. No quiso terminar sin señalar que desde luego no había que penalizar a los Estados afectados por la crisis pero que si los Estados miembros no seguían, sistemáticamente, las recomendaciones de la Unión, habría que tomar medidas para asegurar consecuencias ante tales conductas. Agradeció nuevamente la participación de todas las delegaciones y con ello se puso fin a la reunión a las 12.20 horas.

Se adjunta Programa del viaje, de la reunión y documentación aportada.

Palacio del Congreso de los Diputados, a 31 de octubre de 2012.

Mónica Moreno Fernández-Santa Cruz  
DIRECTORA DE RECURSOS HUMANOS Y GOBIERNO INTERIOR



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***Committee on Regional Development***  
***4th Interparliamentary Committee Meeting with national Parliaments***

**COHESION POLICY 2014-2020:  
TOWARDS A COMMON STRATEGIC FRAMEWORK  
AND PARTNERSHIP CONTRACTS**

***11 October 2012 - 9h00 - 12h30***  
***József Antall building (JAN) - room 2Q2***  
***European Parliament***  
***Brussels***

**PROGRAMME**

- |               |   |
|---------------|---|
| 8:00 - 9:00   | <b>Registration of participants:</b> The Solidarność 1980 Esplanade,<br><i>József Antall building (JAN) entrance</i>  |
| 9:00 - 9:10   | <b>Welcome and introductory remarks</b><br><b><i>Ms Danuta Hübner, Chairperson</i></b><br><i>Committee on Regional Development, European Parliament</i>   |
| 9:10 - 9:40   | <b><i>Mr Johannes Hahn, Commissioner for Regional Policy,</i></b><br><i>European Commission</i>   |
| 9:40 - 10:00  | <b><i>Mr Kornelios Korneliou, Ambassador, Permanent Representative</i></b><br><i>of Cyprus to the EU</i>  |
| 10:00 - 10:30 | <b>Launching the debate:</b><br><br>"Partnership-building in a strong multi-annual strategic agreement<br>- key principles for programming and implementation of the future<br>Cohesion Policy": <ul style="list-style-type: none"><li>• <b>Mr Rainer Robra</b>, Minister of State and Head of the State Chancellery of Saxony-Anhalt, Member of the Committee on European Affairs, German Bundesrat</li><li>• <b>Mr Liam Twomey</b>, Vice-Chair of the Finance, Public Expenditure and Reform Committee, Irish Parliament (Oireachtas)</li></ul> |
| 10:30 - 12:20 | <b>Open Debate</b><br><i>between the Members of the European Parliament and national Parliaments</i>  |
| 12:20 - 12:30 | <b>Conclusions:</b> <b><i>Ms Danuta Hübner, Chairperson</i></b><br><i>Committee on Regional Development, European Parliament</i>  |
| 12:30         | Cocktail  |



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## INTERPARLIAMENTARY COMMITTEE MEETING

### *Committee on Regional Development*

### *4th Interparliamentary Committee Meeting with national parliaments*

### **Cohesion Policy 2014-2020: Towards a Common Strategic Framework and Partnership Contracts**

*11 October 2012 - 09.00 - 12.30  
József Antall building (JAN) - room 2Q2  
European Parliament  
Brussels*

### Guidelines for the debate

Following last year's ICM general discussion on the future cohesion policy post-2013, this year we would like to **focus on some key elements of the strategic coherence and programming of the future cohesion policy, and specifically on two new strategic programming and implementation tools: the Common Strategic Framework and the Partnership Contracts.**

### **1. General context - the legislative package on the future cohesion policy**

The negotiations on the future cohesion policy are now entering a very critical phase. In July the REGI Committee adopted its mandate to **open the trialogues with the Council and the Commission – a process which may take some time before the final text is adopted, probably by the middle of 2013.**

Although there is broad agreement on many key issues, strong divergences still persist between Parliament, the Commission and the Council. **Some controversy seems to arise over thematic blocks such as macroeconomic conditionality of the future policy, the extent and degree of the thematic concentration and over the categories of regions. In other words where and how much to invest remains to a huge extent uncertain.**

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However, there is a consensus around the concept that the new policy will have to deliver a real boost to growth and jobs, especially in the current economic context. To do so, the future policy will **have to be delivered with greater strategic coordination between different funds and various policies of the EU, in order to better address the objectives of the Europe 2020 strategy, which is the overall framework for sustainable development of the EU.**

The programming process for the period 2014-2020 therefore foresees two major new elements: **the Common Strategic Framework and the Partnership Contract. Both documents aim at more effective policy coordination between the various funds and policies and between the Member States and the Commission.**

## **2. Strategic coherence and programming tools of the future cohesion policy**

The top EU-level strategic layer is the **Common Strategic Framework (CSF)**, which translates the Europe 2020 strategy into a joint strategic framework for all CSF funds. (The CSF is now part of the Common Provisions Regulation and thus becomes legally binding).

**The Partnership Contract, building on the existing National Strategic Reference Framework, would translate the Common Strategic Framework into a national strategy.**

Both elements are on the table for negotiations. The European Parliament and a majority of Member States have insisted that the CSF should be a legally binding instrument annexed to the Common Provisions Regulation. In response, the Commission has recently modified its initial proposal.

**To avoid inconsistency in the implementation of the legislative proposals for EU cohesion policy 2014-2020, the requirements relating to partnership and multi-level governance are being strengthened.** These provisions will require all Member States to establish a partnership contract with key players, including the regional and local public authorities, economic and social partners and bodies representing civil society.

As yet the strategic orientation of the funds and partnership-building as key principles for the programming and implementation of cohesion policy have had a limited impact. Therefore a number of questions need to be addressed regarding the content and the form of these instruments in the broader context of strategic coordination and programming:

**1) What should be the form and the content of the Common Strategic Framework and of the Partnership Contracts as major tools of strategic coordination and programming? What is the role of national parliaments in developing strong synergies across policy areas and instruments?**

**2) How can we improve the clarity of the partnership principle? What does partnership mean, and how this does notion vary across different Operational Programmes? Which partners should be taken into consideration? To what extent can the OPs and/or the PCs be perceived as a framework open to wide partnership consultation?**

**3) What should be the links between the partnership contracts (PCs) and the broader macroeconomic context? Should there be a direct link between PCs and the Country-Specific Recommendations or the National Reform Programmes (as suggested by the EP's position)?**

**4) What are the risks of excessive thematic concentration? How can we provide the programmes with strong strategic guidance while at the same time allowing sufficient flexibility?**

In order to make the debate as productive as possible, participants are asked to build their interventions on previous discussions at EU level, as well as on the following basic documents:

- the Common Provisions Regulation comparative text table, prepared by the REGI secretariat for the negotiations with the Council and the Commission **(available on the website)**;
- a number of studies from the European Parliament's policy department B focusing on the future cohesion policy, the Partnership Contracts, and the broader role of national parliaments in Cohesion policy under the Lisbon Treaty **(available on the website)**;
- written contributions of the national parliaments.

Participants wishing to take the floor have to fill in the 'request for speaking time' which will be distributed during the meeting by the ushers.

Parliament's Committee on Regional Development looks forward to a constructive debate on these issues. In order to facilitate informal contacts between participants, the meeting will be followed by a cocktail reception in front of the meeting room.

After the meeting a summary note will be sent to all participants.



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Directorate-General for the Presidency  
Directorate D - Relations with National Parliaments  
Legislative Dialogue Unit

# COMMITTEES OF NATIONAL PARLIAMENTS

CORRESPONDING TO

## THE EUROPEAN PARLIAMENT COMMITTEE ON REGIONAL DEVELOPMENT

11/10/2012



Directorate-General for the Presidency  
Directorate D - Relations with National Parliaments  
Legislative Dialogue Unit

# Foreword

**The present document is an extract of the Directory of Corresponding Committees prepared by the Directorate for Relations with National Parliaments.**

**The Directory of Corresponding Committees is intended as an information resource on Member States' national parliaments' committees corresponding to the standing committees of the European Parliament.**

Following the adoption of the BROK report in May 2009, the Rules of Procedure of the European Parliament have been revised accordingly, and Rule 130.3 now states that *"a committee may directly engage in a dialogue with national parliaments at committee level within the limits of budgetary appropriations set aside for this purpose. This may include appropriate forms of pre-legislative and post-legislative cooperation"*.

To allow such a dialogue at committee level, a regularly updated Directory of Corresponding Committees becomes an essential instrument.

All official communication between committees in the European Parliament and national parliaments concerning interparliamentary meetings should continue to comply with the Hague guidelines for interparliamentary cooperation in the European Union namely: *Speaker to Speaker communication*.

**In case of informal contacts between committees in the European Parliament and national parliaments, the Representatives of national Parliaments and the Directorate for Relations with National Parliaments should be kept equally informed.**

The information included in the present Directory has been provided by the representatives of National Parliaments. The Directorate for relations with National Parliaments is extremely grateful for their support which will be essential for the regular revision and update of the document. Updated versions of the Directory of Corresponding Committees, together with contact details of the Representatives of National Parliaments and the Directorate for Relations with National Parliaments are regularly published on the Intranet site of DG Presidency.



## XII. Committee on Regional Development

### RESPONSIBILITIES

regional and cohesion policy, in particular:

- (a) the European Regional Development Fund, the Cohesion Fund and the other instruments of the Union's regional policy,
- (b) assessing the impact of other Union policies on economic and social cohesion,
- (c) coordination of the Union's structural instruments,
- (d) outermost regions and islands as well as trans-frontier and interregional cooperation,
- (e) relations with the Committee of the Regions, interregional cooperation organisations and local and regional authorities.

### CONTACT DETAILS

Chairperson: Mrs Danuta Maria HÜBNER

Head of Unit: Mr Miguel TELL CREMADES



[www.europarl.europa.eu/committees](http://www.europarl.europa.eu/committees)



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# COMMITTEES OF NATIONAL PARLIAMENTS CORRESPONDING TO THE COMMITTEE ON REGIONAL DEVELOPMENT OF THE EUROPEAN PARLIAMENT

CHAMBER	NAME OF COMMITTEE	CHAIRPERSON	Head	Phone	Website	Email	REMARKS
<b>Bulgaria</b>							
Narodno Sabranie (National Assembly)	Regional Policy and Local Self-Government Committee	Mr Lyuben TATARSKI	Mrs Silvia MILCHEVA	+359 2 939 33 94	<a href="http://bit.ly/g70sXL">http://bit.ly/g70sXL</a>	<a href="mailto:kms@parliament.bg">kms@parliament.bg</a>	Also this e-mail could be used: <a href="mailto:s.milcheva@parliament.bg">s.milcheva@parliament.bg</a>
<b>Cyprus</b>							
Βουλή των Αντιπροσώπων (House of Representatives)	House Standing Committee on Internal Affairs	Mr Yiannos LAMARIS	Mr Socrates SOCRATOUS (ACTING SECRETARY-GENERAL)	+357 22407302	<a href="http://bit.ly/jis0log">http://bit.ly/jis0log</a>	<a href="mailto:s.g@parliament.cy">s.g@parliament.cy</a> international-	
<b>Czech Republic</b>							
Senat (Senate)	Committee on Public Administration, Regional Development and the	Mr Ivo BÁREK	Mrs Jana KRUIKOVÁ	+420 257 072 737	<a href="http://bit.ly/McFviD">http://bit.ly/McFviD</a>	<a href="mailto:malacoval@senat.cz">malacoval@senat.cz</a>	
<b>Denmark</b>							
Folketinget	The Housing and Urban Affairs Committee	Mrs Mette REISSMANN	Mrs Gro IVERSEN	+45 3337 3338	<a href="http://bit.ly/HqW5n6">bit.ly/HqW5n6</a>	<a href="mailto:byb@ft.dk">byb@ft.dk</a>	
Folketinget	The Rural Districts and Islands Committee	Mr Hans Christian SCHMIDT	Mr Jørgen NIELSEN	+45 3337 3338	<a href="http://bit.ly/Hoi1eA">bit.ly/Hoi1eA</a>	<a href="mailto:uloe@ft.dk">uloe@ft.dk</a>	
Folketinget	The Committee on Conditions in Greenland	Mrs Sara OLSVIG	Mrs Jette CHRISTENSEN	+45 3337 3338	<a href="http://bit.ly/H2IYeA">bit.ly/H2IYeA</a>	<a href="mailto:ugf@ft.dk">ugf@ft.dk</a>	
Folketinget	The Faroe Islands Committee	Mr Sjurour SKAAL	Mr Peter BOHLBRO	+45 3337 3338	<a href="http://bit.ly/H2wCIP">bit.ly/H2wCIP</a>	<a href="mailto:uff@ft.dk">uff@ft.dk</a>	
<b>Estonia</b>							
Riigikogu (The Estonian Parliament)	Foreign Affairs Committee	Mr Marko MIHKELSON	Mrs Birgit KEERD-LEPPIK	+372 6316461	<a href="http://bit.ly/li9bSW">http://bit.ly/li9bSW</a>	<a href="mailto:valis.ametnik@riigikogu.ee">valis.ametnik@riigikogu.ee</a>	
Riigikogu (The Estonian Parliament)	Finance Committee	Mr Sven SESTER	Mrs Tiina LICHT	+372 631 6421	<a href="http://bit.ly/J63sDh">http://bit.ly/J63sDh</a>	<a href="mailto:rahendus.ametnik@riigikogu.ee">rahendus.ametnik@riigikogu.ee</a>	
Riigikogu (The Estonian Parliament)	Economic Affairs Committee	Mr Arto AAS	Mr Kaido ROSIN	+372 631 6436	<a href="http://bit.ly/eBRwfQ">http://bit.ly/eBRwfQ</a>	<a href="mailto:maiaandus.ametnik@riigikogu.ee">maiaandus.ametnik@riigikogu.ee</a>	
<b>Finland</b>							
Eduskunta - Riksdagen (Parliament)	Administration Committee	Mrs Pirkko MATTILA	Mr Ossi LANTTO	+358 9 432 2080	<a href="http://bit.ly/frkS9p">http://bit.ly/frkS9p</a>	<a href="mailto:ossi.lantto@parliament.fi">ossi.lantto@parliament.fi</a> <a href="mailto:hav@parliament.fi">hav@parliament.fi</a>	
<b>France</b>							
Assemblée Nationale (National Assembly)	Commission des affaires économiques	Mr François BROTTE	Mr Daniel PETIT	+33 1 40 63 25 26	<a href="http://bit.ly/NMpi5G">http://bit.ly/NMpi5G</a>	<a href="mailto:dpetit@assemblee-nationale.fr">dpetit@assemblee-nationale.fr</a>	
Sénat (Senate)	Commission des affaires européennes	Mr Simon SUTOUR	Mr François SICARD	+33 1 42 34 3671	<a href="http://bit.ly/dKGTUV">http://bit.ly/dKGTUV</a>	<a href="mailto:f.sicard@senat.fr">f.sicard@senat.fr</a>	Cc: f.sicard@senat.fr mn.sarocchi-gerondeau@senat.fr

COMMITTEES OF NATIONAL PARLIAMENTS CORRESPONDING TO THE COMMITTEE ON REGIONAL DEVELOPMENT OF THE EUROPEAN PARLIAMENT							
CHAMBER	NAME OF COMMITTEE	CHAIRPERSON	SECRETARIAT				REMARKS
			Head	Phone	Website	Email	
<b>Germany</b>							
Bundesrat (Federal Council)	Committee on Urban Development, Housing and Regional Planning	Mr Jörg VOGELSÄNGER	Mrs Beate SCHMIDT	+49 30 18 9100 530	<a href="http://bit.ly/LFezVU">http://bit.ly/LFezVU</a>	<a href="mailto:530.schmidt@bundesrat.de">530.schmidt@bundesrat.de</a>	
Bundesrat (Federal Council)	Committee on Agricultural Policy and Consumer Protection	Mrs Ulrike HOEFKEN	Mr Josef HOFFMANN	+49 30 18 9100 230	<a href="http://bit.ly/LdaKWx">http://bit.ly/LdaKWx</a>	<a href="mailto:230.hoffmann@bundesrat.de">230.hoffmann@bundesrat.de</a>	
Deutscher Bundestag	Committee on Economics and Technology	Mr Ernst HINSKEN	Mr Matthias MICHALKE	+49 30 227 37708	<a href="http://bit.ly/fXZkoI">http://bit.ly/fXZkoI</a>	Cc: verbindingsbuero-bruessel@bundestag.de	
Deutscher Bundestag	Committee on Transport, Building and Urban Affairs	Mr Anton HOFREITER	Mrs Dr. Inge GERSTBERGER	+49 30 227 35556	<a href="http://www.bundestag.de">www.bundestag.de</a>	Cc: verbindingsbuero-bruessel@bundestag.de	
<b>Hungary</b>							
Országgyűlés (National Assembly)	Committee on Local Government and Regional Development	Mr Zsolt LÁNG	Mr Pál VÁSÁRHELYI	+32 2284 4866	<a href="http://bit.ly/Mz6CPz">http://bit.ly/Mz6CPz</a>	<a href="mailto:eva.szekrenyes@europarl.europa.eu">eva.szekrenyes@europarl.europa.eu</a>	
<b>Ireland</b>							
Dáil Éireann (House of Representatives) + Seanad	Joint Committee on Environment, Transport, Culture and the Gaeltacht	Mr Ciarán LYNCH	Mr	+353 1 618 3575	<a href="http://bit.ly/itUank">http://bit.ly/itUank</a>	<a href="mailto:eugene.ocruadhlaioich@oireachtas.ie">eugene.ocruadhlaioich@oireachtas.ie</a>	
<b>Italy</b>							
Camera dei Deputati (Chamber of Deputies)	Budget, treasury and planning committee	Mr Giancarlo GIORGETTI		+39 06 67 60 2145 (2146)	<a href="http://bit.ly/fIFF78">http://bit.ly/fIFF78</a>	<a href="mailto:cdru@camera.it">cdru@camera.it</a>	
Senato della Repubblica (Senate)	EU Policies Committee	Mrs Rossana BOLDI		+39 06 67 06 2891 (2027)	<a href="http://bit.ly/hCqDmZ">http://bit.ly/hCqDmZ</a>	<a href="mailto:affeuropel@senato.it">affeuropel@senato.it</a>	
Senato della Repubblica (Senate)	Economic Planning, Budget Committee	Mr Antonio AZZOLLINI		+39 06 67 06 2891 (2027)	<a href="http://bit.ly/ezPMbF">http://bit.ly/ezPMbF</a>	<a href="mailto:affeuropel@senato.it">affeuropel@senato.it</a>	
<b>Lithuania</b>							
Seimas (Parliament)	Committee on State Administration and Local Authorities	Mr Vytautas KURPUVESAS	Mr Bronius KLEPONIS	+370 5 239 6805	<a href="http://bit.ly/KsBdlQ">http://bit.ly/KsBdlQ</a>	<a href="mailto:vysk@lrs.lt">vysk@lrs.lt</a>	
Seimas (Parliament)	Committee on Budget and Finance	Mr Kęstutis GLAVECKAS	Mr Gediminas MORKUNAS	+370 5 239 6743	<a href="http://bit.ly/JKVcGm">http://bit.ly/JKVcGm</a>	<a href="mailto:gediminas.morkunas@lrs.lt">gediminas.morkunas@lrs.lt</a>	
Seimas (Parliament)	Committee on Economics	Mr Dainius BUDRYS	Mrs Regina ZABARAUSKIENĖ	+370 5 239 6752	<a href="http://bit.ly/JSyMnN">http://bit.ly/JSyMnN</a>	<a href="mailto:ekonkt@lrs.lt">ekonkt@lrs.lt</a>	
<b>Malta</b>							
Il-Kamra tad-Deputati (House of Representatives)	Standing Committee on Foreign and European Affairs	Mr Hon. Francis ZAMMIT DIMECH	Mr John VELLA, COMMITTEE CLERK	+356 2559 6225	<a href="http://bit.ly/lxRddS">http://bit.ly/lxRddS</a>	<a href="mailto:john.b.vella@parliament.mt">john.b.vella@parliament.mt</a>	

# COMMITTEES OF NATIONAL PARLIAMENTS CORRESPONDING TO THE COMMITTEE ON REGIONAL DEVELOPMENT OF THE EUROPEAN PARLIAMENT

CHAMBER	NAME OF COMMITTEE	CHAIRPERSON	SECRETARIAT			REMARKS
			Head	Phone	Website	
Poland						
Sejm (Sejm)	Local Self-Government and Regional Policy Committee	Mr Piotr ZGORZELSKI		+48 22 694 1699	<a href="http://bit.ly/kQqzwC">http://bit.ly/kQqzwC</a>	Cc to Polish Sejm Chancellery <a href="mailto:dariusz.myrcha@sejm.gov.pl">dariusz.myrcha@sejm.gov.pl</a> <a href="mailto:magdalena.skrzynska@ep.europ">magdalena.skrzynska@ep.europ</a>
Sejm (Sejm)	European Union Affairs Committee	Mrs Agnieszka POMASKA	Mrs Kaja KRAWCZYK	+48 22 694 2323	<a href="http://bit.ly/J7ir1M">http://bit.ly/J7ir1M</a>	<a href="mailto:kaia.krawczyk@sejm.gov.pl">kaia.krawczyk@sejm.gov.pl</a> <a href="mailto:magdalena.skrzynska@europarl.europa.eu">magdalena.skrzynska@europarl.europa.eu</a>
Senat (Senate)	Local Government and State Administration Committee	Mr Janusz SEPIOL	Mrs Bożena PURCHAŁA	+48 22 694 90 65	<a href="http://bit.ly/N3BwfQ">http://bit.ly/N3BwfQ</a>	<a href="mailto:kstap@nw.senat.gov.pl">kstap@nw.senat.gov.pl</a> <a href="mailto:magdalena.skulimowska@europarl.europa.eu">magdalena.skulimowska@europarl.europa.eu</a>
Portugal						
Assembleia da Republica (Assembly of the Republic)	Committee on Economy and Public Work	Mr Luis CAMPOS FERREIRA		+351 213919010	<a href="http://bit.ly/LM1kRs">http://bit.ly/LM1kRs</a>	<a href="mailto:bruno.pinheiro@europarl.europa.eu">bruno.pinheiro@europarl.europa.eu</a> <a href="mailto:comissao-economia@ar.parlamento.pt">comissao-economia@ar.parlamento.pt</a>
Slovakia						
Národná rada (National Council)	Committee on European Affairs	Mr Ľuboš BLAHA	Mrs Mária KROŠLÁKOVÁ	+421 2 5972 2751	<a href="http://bit.ly/KdapyY">http://bit.ly/KdapyY</a>	<a href="mailto:vez@nrsr.sk">vez@nrsr.sk</a> All EP committees are corresponding committees
Národná rada (National Council)	Committee on Economy, Construction and transport	Mr Ján HUDACKÝ	Mrs Gabriela PROKOPČÁKOVÁ	+421 2 5972 1480	<a href="http://bit.ly/NQIIQ3">http://bit.ly/NQIIQ3</a>	<a href="mailto:vhvd@nrsr.sk">vhvd@nrsr.sk</a>
Národná rada (National Council)	Committee on Public Administration and Regional Development	Mr Igor CHOMA	Mrs Ivana JALČOVÁ	+421 2 5972 1222	<a href="http://bit.ly/O6nR9A">http://bit.ly/O6nR9A</a>	<a href="mailto:ivana.jalcova@nrsr.sk">ivana.jalcova@nrsr.sk</a>
Slovenia						
Državni Svet (National Council)	Commission for Local Self-Government and Regional Development	Mr Darko FRAS	Mrs Meta ŠTEMBAL	+386 1 478 9807	<a href="http://bit.ly/lcVhnu">http://bit.ly/lcVhnu</a>	<a href="mailto:meta.stembal@ds-rs.si">meta.stembal@ds-rs.si</a>
Državni Zbor (National Assembly)	Committee on Justice, Public Administration and Local Self-	Mrs Eva IRGL	Mrs Marija PEČIAK FERLEŽ	+386 1 478 9912	<a href="http://bit.ly/M5wArV">http://bit.ly/M5wArV</a>	<a href="mailto:marija.pecjak-ferlez@dz-rs.si">marija.pecjak-ferlez@dz-rs.si</a>
Spain						
Congreso de los Diputados (Congress of Deputies)	Comisión de Fomento (Transport)	Mr Celso DELGADO ARCE	Mrs Sylvia MARTÍ SÁNCHEZ	+34 91 390 6479/6076	<a href="http://bit.ly/iidfIM">http://bit.ly/iidfIM</a>	<a href="mailto:comisiones.1b@congreso.es">comisiones.1b@congreso.es</a>
Senado (Senate)	Fomento	Mrs María Dolores PAN VÁZQUEZ	Mr Alfredo PÉREZ DE ARMIÑÁN Y DE LA SERNA	+34 91 538 13 22	<a href="http://bit.ly/fcBtkG">http://bit.ly/fcBtkG</a>	<a href="mailto:josefa.renones@senado.es">josefa.renones@senado.es</a>
United Kingdom						
House of Commons	Communities and Local Government Committee	Mr Clive BETTS	Mr Glenn MCKEE	+44 207 219 4972 0044 207 219 1353	<a href="http://bit.ly/gLISIZ">http://bit.ly/gLISIZ</a>	<a href="mailto:clgcom@parliament.uk">clgcom@parliament.uk</a>
House of Lords	European Union Sub-Committee A - Economic and Financial Affairs	LORD HARRISON	Mr Stuart STONER	+44 207 219 3616	<a href="http://bit.ly/fds0qn">http://bit.ly/fds0qn</a>	<a href="mailto:stoners@parliament.uk">stoners@parliament.uk</a>





ЕВРОПЕЙСКИ ПАРЛАМЕНТ   PARLAMENTO EUROPEO   EVROPSKÝ PARLAMENT   EUROPA-PARLAMENTET  
EUROPÄISCHES PARLAMENT   EUROOPA PARLAMENT   ΕΥΡΩΠΑΪΚΟ ΚΟΙΝΟΒΟΥΛΙΟ   EUROPEAN PARLIAMENT  
PARLEMENT EUROPÉEN   PARLAIMINT NA HEORPA   PARLAMENTO EUROPEO   EIROPAS PARLAMENTS  
EUROPOS PARLAMENTAS   EURÓPAI PARLAMENT   IL-PARLAMENT EWROPEW   EUROPEES PARLEMENT  
PARLAMENT EUROPEJSKI   PARLAMENTO EUROPEU   PARLAMENTUL EUROPEAN  
EURÓPSKY PARLAMENT   EVROPSKI PARLAMENT   EUROOPAN PARLAMENTTI   EUROPAPARLAMENTET

Directorate-General for the Presidency  
Relations with National Parliaments  
Legislative Dialogue Unit

## **Committee on Regional Development**

### **INTERPARLIAMENTARY COMMITTEE MEETING**

COHESION POLICY 2014-2020:  
TOWARDS A COMMON STRATEGIC FRAMEWORK  
AND PARTNERSHIP CONTRACTS

#### **List of Participants**

#### **EU National Parliaments**

*11 October 2012  
9h00 - 12h30*

*Room 2Q2  
József Antall building (JAN)*

*European Parliament  
Brussels*

*Closed on 28.09.2012*

## БЪЛГАРИЯ (Bulgaria)

### НАРОДНО СЪБРАНИЕ (*National Assembly*)

#### Members:



**Mr Lyuben TATARSKI**

Chair, Committee on Regional Policy and Local Self-Government  
*GERB-EPP*



**Mrs Monika PANAYOTOVA**

Chair, Committee on European Affairs and Oversight of the European Funds  
*GERB-EPP*



**Mr Davidov VESSELIN**

Member, Committee on Regional Policy and Local Self-Government  
*GERB-EPP*

#### Officials:

**Ms Anna ASENOVA**  
National Parliament representative

**Mr Vasil PALANKOV**  
Senior expert, Committee on Regional Policy and Local Self-Government

## ČESKÁ REPUBLIKA (Czech Republic)

### *SENÁT (Senate)*

#### Members:



**Ms Dagmar ZVĚŘINOVÁ**

Vice Chair, Committee on Rural Development  
*Social Democratic Party - S&D*

#### Officials:

**Ms Kateřina ŠARMANOVÁ**

Secretary, Committee on Rural Development

**Ms Jana MALACOVÁ**

National Parliament Representative

## DEUTSCHLAND (Germany)

### *BUNDESTAG*

#### Members:



**Mr Stephan MAYER**

Chair, Subcommittee on Regional Economic Policy  
*CDU/CSU - EPP*



**Mr Karl HOLMEIER**

Member, Committee on European Affairs  
*CDU/CSU - EPP*



**Mr Andreas LÄMMEL**

Member, Committee on Economics and Technology  
*CDU/CSU - EPP*

Officials:

**Ms Gabriela SIERCK**  
National Parliament Representative

**Mr Claus-Peter SCHMID**  
Official of the CDU/CSU parliamentary group

***BUNDESRAT***

Members:



**Mr Rainer ROBRA**

Member, Committee on European Affairs  
*CDU - EPP*



**Mr Juergen MARTENS**

Member, Committee on European Affairs  
FDP- ALDE

Officials:

**Ms Henrike FRANZ**  
National Parliament Representative

## EIRE-IRELAND

### *DÁIL ÉIREANN (House of Representatives)*

#### Members:



**Mr Liam TWOMEY**

Vice Chair, Committee on Finance, Public Expenditure and Reform

*Fine Gael - EPP*

#### Officials:

**Mr Eoin HARTNETT**, Committee on Finance, Public Expenditure and Reform

**Mr Derek DIGNAM**  
National Parliament Representative

## ΕΛΛΑΔΑ (Greece)

### *ΒΟΥΛΗ ΤΩΝ ΕΛΛΗΝΩΝ (VOULI TON ELLINON)*

#### Members:



**Mr Dimitrios SABAZIOTIS**

Chair, Special Permanent Committee of the Regions  
*New Democracy - EPP*

#### Officials:

**Ms Eleni Vasiliki ZERVOU**  
National Parliament Representative

## ESPAÑA (Spain)

### *CONGRESO DE LOS DIPUTADOS*

#### Members:



**Ms Belén JUSTE**

Member, Committee on Economy and Competitiveness  
*G.P. Popular- EPP*





**Mr Manuel Gabriel GONZÁLEZ**

Member, Committee on Economy and Competitiveness  
*G. P. Socialista - S&D*

Officials:

**Ms Mónica MORENO**

Legal Advisor, Committee on Economy and Competitiveness

**SENADO**

Members:



**Mr Antonio ALARCÓ**

Member, Committee on Economy and Competitiveness  
*Popular Party- EPP*



**Mr José Antonio MANCHADO**

Member, Committee on Economy and Competitiveness  
*Partido Socialista Obrero Español- S&D*

Officials:

**Ms María Teresa GONZÁLEZ**

Legal Adviser, Committee on Economy and Competitiveness

**Ms Carmen SÁNCHEZ-ABARCA GORNALS**

National Parliament Representative

## FRANCE

### ASSEMBLEE NATIONALE

#### Members:



**Ms Sophie ROHFRITSCH**

Member, Commission des Affaires européennes,  
Commission du développement durable et de l'aménagement  
du territoire  
*Union pour un Mouvement Populaire- EPP*



**Mr Christophe LEONARD**

Member, Commission des Affaires européennes  
*Socialiste, républicain et citoyen- S&D*



**Ms Catherine QUÉRÉ**

Vice Chair, Commission du Développement durable  
et aménagement du territoire  
*Socialiste, républicain et citoyen- S&D*

#### Officials:

**Ms Pauline BAUGUIL-HAMBURGER**

Administrateur, Commission des Affaires européennes

**Mr Edouard MICHEL**

National Parliament Representative

## ITALIA (Italy)

### SENATO DELLA REPUBBLICA

#### Members:



**Ms Rossana BOLDI**

Chair, Committee on EU Policy  
*LNP- ALDE*

Officials:

**Ms Beatrice GIANANI**  
National Parliament Representative

**KYΠΡΟΣ (Cyprus)**

***ΒΟΥΛΗ ΤΩΝ ΑΝΤΙΠΡΟΣΩΠΩΝ (House of Representatives)***

Members:



**Ms Stella DEMETRIOU - MISIAOULI**

Vice-Chair, Committee on Internal Affairs  
*AKEL-Left-New Forces- GUE-NLG*

Officials:

**Ms Mary SAVVA**  
National Parliament Representative

**LATVIJA (Latvia)**

***SAEIMA***

Members:



**Ms Zanda KALNINA-LUKASEVICA**

Chair, Committee on European Affairs  
*Reform Party- No Group affiliation in EP*

## LUXEMBOURG

### CHAMBRE DES DEPUTES

#### Members:



**Mr Alex BODRY**

Chair, Commission de l'Economie  
*LSAP- S&D*



**Mr Claude HAAAGEN**

Member, Commission de l'Economie  
*LSAP- S&D*

#### Officials:

**Mr Frédéric BOHLER**  
National Parliament Representative

## MAGYARORSZÁG (Hungary)

### ORSZÁGGYŰLÉS (National Assembly)

#### Members:



**Ms Lorántné HEGEDŰS**

Member, Committee on Local Government and  
Regional Development

*Jobbik- Non attached*



**Mr László HABIS**

Vice Chair, Committee on Local Government and Regional Development

*KDNP- EPP*

Officials:

**Ms Éva SZEKRÉNYES**

National Parliament Representative

## ÖSTERREICH (Austria)

### *NATIONALRAT*

Members:



**Mr Bernhard THEMESSEL**

Vice-Chair, Committee on Economy and Industry  
*Freedom Party of Austria- No Group Affiliation in the EP*

Officials:

**Mr Philipp NEUHAUSER**

Secretary, Committee on Economy and Industry

## POLSKA (Poland)

### *SENAT*

Members:



**Mr Janusz SEPIOŁ**

Chair, Committee on Local Government and State Administration  
*Platforma Obywatelska- EPP*

Officials:

**Ms Magdalena SKULIMOWSKA**

National Parliament Representative

## PORTUGAL

### ASSEMBLEIA DA REPÚBLICA

#### Members:



**Mr José LINO RAMOS**

Member, European Affairs Committee  
*CDS-PP - EPP*



**Mr Honório NOVO**

Member, Committee on Economics and Public works  
*Portuguese Communist Party - GUE-NGL*

#### Officials:

**Mr Bruno DIAS PINHEIRO**

National Parliament Representative

## ROMÂNIA (Romania)

### CAMERA DEPUTATILOR (*Chamber of Deputies*)

#### Members:



**Ms Bogdan Nicolae NICULESCU-DUVAZ**

Chair, Committee on European Affairs  
*Social Democrat Party - S&D*

#### Officials:

**Mr Tudor DOBRINESCU**

National Parliament Representative

**Ms Camelia TOANCHINA**

Adviser, Directorate for relations in international  
organisations

## SVERIGE (Sweden)

### *RIKSDAGEN*

#### Members:



**Ms Krister ÖRNFJÄDER**

Member, Committee on Industry and Trade  
Social Democratic Party - S&D



**Mr Johan JOHANSSON**

Member, Committee on Industry and Trade  
*Moderate Party - EPP*

#### Officials:

**Ms Tuula ZETTERMAN**  
National Parliament Representative

## UNITED KINGDOM

### *HOUSE OF COMMONS*

#### Members:



**Mr Simon DANCZUK**

Member, Committee on Communities and Local  
Government  
*Labour Party - S&D*

#### Officials:

**Mr Edward BEALE**  
National Parliament Representative

## **Officials of national Parliaments**

(not having a delegation of Members at the present meeting)

### **EESTI (Estonia)**

#### ***RIIGIKOGU***

**Ms Malle KUULER**, National Parliament Representative

### **FRANCE**

#### ***SENATE***

**Mr Jonathan PAPILLON**, National Parliament Representative

### **ITALIA (Italy)**

#### ***CAMERA DEI DEPUTATI***

**Ms Maria SCHININA**, National Parliament Representative

### **LIETUVA (Lithuania)**

#### ***SEIMAS***

**Ms Živilė PAVILONYTĖ**, National Parliament Representative

### **MALTA**

#### ***KAMRA TAD-DEPUTATI (House of Representatives)***

**Ms Eleanor SCERRI**, National Parliament Representative

### **POLSKA (Poland)**

#### ***SEJM***

**Ms Magdalena SKRZYNSKA**, National Parliament Representative

### **SLOVENIJA (Slovenia)**

#### ***DRŽAVNI ZBOR (National Assembly)***

**Ms Romana NOVAK**, National Parliament Representative



## VADEMECUM

### (C12 REGI) Commission du développement régional

#### 1 - Bureau



Mme HÜBNER Danuta Maria  
(PR, PPE, PL, PL)



M. STAVRAKAKIS Georgios  
(1VP, S-D, GR, EL)



M. PIEPER Markus  
(2VP, PPE, DE, DE)



Mme HYUSMENOVA Filiz Hakaeva  
(3VP, ALDE, BG, BG)



M. CHRYSOGELOS Nikos  
(4VP, Verts/ALE, GR, EL)

# VADEMECUM

## 2 - Titulaires

### 01 - PPE



M. CADEC Alain  
(FR, FR)



M. DEUTSCH Tamás  
(HU, HU)



Mme ESTARÀS FERRAGUT Rosa  
(ES, ES)



M. HORTEFEUX Brice  
(FR, FR)



M. KELLY Seán  
(IE, EN)



M. LUHAN Petru Constantin  
(RO, RO)



Mme ŁUKACIJEWSKA Elżbieta  
Katarzyna  
(PL, PL)



M. MATULA Iosif  
(RO, RO)



Mme MAZZONI Erminia  
(IT, IT)



M. MIKOLÁŠIK Miroslav  
(SK, SK)



M. VAN NISTELROOIJ Lambert  
(NL, NL)



M. OLBRYCHT Jan  
(PL, PL)



M. TEIXEIRA Nuno  
(PT, PT)



M. WINKLER Hermann  
(DE, DE)



M. ZELLER Joachim  
(DE, DE)

## VADEMECUM



## VADEMECUM

### 02 - S-D



M. ALVES Luis Paulo  
(PT, PT)

M. BOȘTINARU Victor  
(RO, RO)

M. CARONNA Salvatore  
(IT, IT)

M. DE ANGELIS Francesco  
(IT, IT)



Mme IRIGOYEN PÉREZ María  
(ES, ES)

Mme KLEVA Mojca  
(SI, SL)

Mme KREHL Constanze Angela  
(DE, DE)

M. MAŇKA Vladimír  
(SK, SK)



M. NILSSON Jens  
(SE, SV)

M. OLEJNICZAK Wojciech Michał  
(PL, PL)

Mme SMOLKOVÁ Monika  
(SK, SK)

Mme WESTPHAL Kerstin  
(DE, DE)

## VADEMECUM

### 03 - ALDE



Mme BEARDER Catherine  
(GB, EN)



M. IOVINE Vincenzo  
(IT, IT)



Mme MĂNESCU Ramona Nicole  
(RO, RO)



Mme MANNER Riikka  
(FI, FI)



M. USPASKICH Viktor  
(LT, LT)

## VADEMECUM

### 04 - Verts/ALE



M. ALFONSI François  
(FR, FR)

M. BICEP Jean-Jacob  
(FR, FR)

Mme MIRANDA Ana  
(ES, ES)

## VADEMECUM

05 - ECR



M. CZARNECKI Ryszard  
(PL, PL)

M. PORĘBA Tomasz Piotr  
(PL, PL)

M. VLASÁK Oldřich  
(CZ, CS)

## VADEMECUM

06 - EFD



M. BUFTON John  
(GB, EN)



M. KURSKI Jacek Olgierd  
(PL, PL)



M. DE VILLIERS Philippe  
(FR, FR)



## VADEMECUM

07 - GUE/NGL



M. ANGOURAKIS Charalampos  
(GR, EL)

M. OMARJEE Younous  
(FR, FR)

## VADEMECUM

08 - NI



M. STADLER Ewald  
(AT, DE)

M. SZEGEDI Csanád  
(HU, HU)

# VADEMECUM

## 3 - Suppléants

### 01 - PPE



M. ANTINORO Antonello  
(IT, IT)

M. BŘEZINA Jan  
(CZ, CS)

Mme KOLARSKA-BOBIŃSKA Lena  
(PL, PL)

M. KOVATCHEV Andrey  
(BG, BG)



Mme KRATSA-TSAGAROPOULOU  
Rodi  
(GR, EL)

Mme LOPE FONTAGNÉ Veronica  
(ES, ES)

Mme MORKŪNAITĖ-MIKULĖNIENĖ  
Radvilė  
(LT, LT)

M. PATRICIELLO Aldo  
(IT, IT)



M. PONGA Maurice  
(FR, FR)

M. REUL Herbert  
(DE, DE)

Mme SANCHEZ-SCHMID  
Marie-Thérèse  
(FR, FR)

M. SEEBER Richard  
(AT, DE)



M. SIEKIERSKI Czesław Adam  
(PL, PL)

M. SURJÁN László  
(HU, HU)

M. WEBER Manfred  
(DE, DE)

M. WINKLER Iuliu  
(RO, HU)

## VADEMECUM



## VADEMECUM

02 - S-D



M. COZZOLINO Andrea  
(IT, IT)



M. CUSCHIERI Joseph  
(MT, MT)



Mme DĂNCILĂ Vasilica Viorica  
(RO, RO)



M. DOMENICI Leonardo  
(IT, IT)



M. FALBR Richard  
(CZ, CS)



Mme GARCÍA PÉREZ Iratxe  
(ES, ES)



M. GEIER Jens  
(DE, DE)



Mme KADENBACH Karin  
(AT, DE)



M. KIRILOV Evgeni  
(BG, BG)



M. PADAR Ivari  
(EE, ET)



M. SIMON Peter  
(DE, DE)



M. TIROLIEN Patrice  
(FR, FR)



M. VAUGHAN Derek  
(GB, EN)

## VADEMECUM

### 03 - ALDE



M. BENNAHMIA Jean-Luc  
(FR, FR)



M. GALLAGHER Pat the Cope  
(IE, GA)



M. GODMANIS Ivars  
(LV, LV)



Mme SAVISAAR-TOOMAST Vilja  
(EE, ET)



M. THEURER Michael  
(DE, DE)



M. UGGIAS Giommara  
(IT, IT)

## VADEMECUM

### 04 - Verts/ALE



Mme DELLI Karima  
(FR, FR)

Mme GRÈZE Catherine  
(FR, FR)

Mme RÜHLE Heide  
(DE, DE)

Mme SCHROEDTER Elisabeth  
(DE, DE)



## VADEMECUM

05 - ECR



M. NICHOLSON James  
(GB, EN)

M. PIOTROWSKI Mirosław  
(PL, PL)

M. TOŠENOVSKÝ Evžen  
(CZ, CS)

## VADEMECUM

06 - EFD



M. IMBRASAS Juozas  
(LT, LT)

M. PAŠKA Jaroslav  
(SK, SK)

M. SCOTTÀ Giancarlo  
(IT, IT)

## VADEMECUM

07 - GUE/NGL



Mme ANDERSON Martina  
(GB, EN)

Mme ERNST Cornelia  
(DE, DE)

## VADEMECUM

08 - NI



M. STOYANOV Dimitar  
(BG, BG)





ЕВРОПЕЙСКИ ПАРЛАМЕНТ   PARLAMENTO EUROPEO   EVROPSKÝ PARLAMENT   EUROPA-PARLAMENTET  
EUROPÄISCHES PARLAMENT   EUROOPA PARLAMENT   ΕΥΡΩΠΑΪΚΟ ΚΟΙΝΟΒΟΥΛΙΟ   EUROPEAN PARLIAMENT  
PARLEMENT EUROPÉEN   PARLAIMINT NA HEORPA   PARLAMENTO EUROPEO   EIROPAS PARLaments  
EUROPOS PARLAMENTAS   EURÓPAI PARLAMENT   IL-PARLAMENT EWROPEW   EUROPEES PARLEMENT  
PARLAMENT EUROPEJSKI   PARLAMENTO EUROPEU   PARLAMENTUL EUROPEAN  
EURÓPSKY PARLAMENT   EVROPSKI PARLAMENT   EUROOPAN PARLAMENTTI   EUROPAPARLAMENTET

1

## **Committee on Regional Development**

### **4th Interparliamentary Committee Meeting with national Parliaments**

#### **COHESION POLICY 2014-2020: TOWARDS A COMMON STRATEGIC FRAMEWORK AND PARTNERSHIP CONTRACTS**

**11 October 2012 - 9h00 - 12h30**

**József Antall building (JAN) - room 2Q2**

**European Parliament**

**Brussels**







***Committee on Regional Development***  
***4th Interparliamentary Committee Meeting with national Parliaments***

**COHESION POLICY 2014-2020:  
TOWARDS A COMMON STRATEGIC FRAMEWORK  
AND PARTNERSHIP CONTRACTS**

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***József Antall building (JAN) - room 2Q2***  
***European Parliament***  
***Brussels***

**PROGRAMME**

- |               |   |
|---------------|---|
| 8:00 - 9:00   | <b>Registration of participants:</b> The Solidarność 1980 Esplanade,<br><i>József Antall building (JAN) entrance</i>  |
| 9:00 - 9:10   | <b>Welcome and introductory remarks</b><br><b><i>Ms Danuta Hübner, Chairperson</i></b><br><i>Committee on Regional Development, European Parliament</i>   |
| 9:10 - 9:40   | <b><i>Mr Johannes Hahn, Commissioner for Regional Policy,</i></b><br><i>European Commission</i>   |
| 9:40 - 10:00  | <b><i>Mr Kornelios Korneliou, Ambassador, Permanent Representative</i></b><br><i>of Cyprus to the EU</i>  |
| 10:00 - 10:30 | <b>Launching the debate:</b><br><br>"Partnership-building in a strong multi-annual strategic agreement<br>- key principles for programming and implementation of the future<br>Cohesion Policy": <ul style="list-style-type: none"><li>• <b>Mr Rainer Robra</b>, Minister of State and Head of the State<br/>Chancellery of Saxony-Anhalt, Member of the Committee<br/>on European Affairs, German Bundesrat</li><li>• <b>Mr Liam Twomey</b>, Vice-Chair of the Finance, Public<br/>Expenditure and Reform Committee, Irish Parliament<br/>(Oireachtas)</li></ul> |
| 10:30 - 12:20 | <b>Open Debate</b><br><i>between the Members of the European Parliament and national<br/>Parliaments</i>  |
| 12:20 - 12:30 | <b>Conclusions:</b> <b><i>Ms Danuta Hübner, Chairperson</i></b><br><i>Committee on Regional Development, European Parliament</i>  |
| 12:30         | Cocktail  |



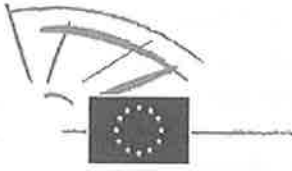
- 1. Draft programme**
- 2. Guidelines for the debate**
- 3. MANDATE<sup>1</sup> for opening inter-institutional negotiations on the CPR<sup>2</sup>**
- 4. Commission Staff working document on the partnership principle in the implementation of the Common Strategic Framework Funds - elements for a European Code of Conduct on Partnership**
- 5. Contribution of national parliaments:**
  - Contribution on behalf of the Estonian Parliament European Union Affairs Committee
  - Contribution of the Hellenic Parliament
  - Contribution of the Italian Chamber of Deputies
  - Contribution of the Senate of Romania

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<sup>1</sup> Vote on the Mandate according to Rule 70(2) of EP Rules of Procedure.

<sup>2</sup> Mandate for opening inter-institutional negotiations adopted by the Committee on Regional Development at its meeting on 11 July 2012 on the proposal for a regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006.





PARLAMENTO EUROPEO EVROPSKÝ PARLAMENT EUROPA-PARLAMENTET  
 EUROPÄISCHES PARLAMENT EUROOPA PARLAMENT ΕΥΡΩΠΑΪΚΟ ΚΟΙΝΟΒΟΥΛΙΟ EUROPEAN PARLIAMENT  
 PARLEMENT EUROPÉEN PARLAMENTO EUROPEO EIROPAS PARLaments  
 EUROPOS PARLAMENTAS EURÓPAI PARLAMENT IL-PARLAMENT EWROPEW EUROPEES PARLEMENT  
 PARLAMENT EUROPEJSKI PARLAMENTO EUROPEU EURÓPSKY PARLAMENT  
 EVROPSKI PARLAMENT EUROOPAN PARLAMENTTI EUROPA-PARLAMENTET

## INTERPARLIAMENTARY COMMITTEE MEETING

### *Committee on Regional Development*

### *4th Interparliamentary Committee Meeting with national parliaments*

### **Cohesion Policy 2014-2020: Towards a Common Strategic Framework and Partnership Contracts**

**11 October 2012 - 09.00 - 12.30**  
**József Antall building (JAN) - room 2Q2**  
**European Parliament**  
**Brussels**

### **Guidelines for the debate**

Following last year's ICM general discussion on the future cohesion policy post-2013, this year we would like to **focus on some key elements of the strategic coherence and programming of the future cohesion policy, and specifically on two new strategic programming and implementation tools: the Common Strategic Framework and the Partnership Contracts.**

#### **1. General context - the legislative package on the future cohesion policy**

The negotiations on the future cohesion policy are now entering a very critical phase. In July the REGI Committee adopted its mandate to **open the trialogues with the Council and the Commission – a process which may take some time before the final text is adopted, probably by the middle of 2013.**

Although there is broad agreement on many key issues, strong divergences still persist between Parliament, the Commission and the Council. **Some controversy seems to arise over thematic blocks such as macroeconomic conditionality of the future policy, the extent and degree of the thematic concentration and over the categories of regions. In other words where and how much to invest remains to a huge extent uncertain.**

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However, there is a consensus around the concept that the new policy will have to deliver a real boost to growth and jobs, especially in the current economic context. To do so, the future policy will **have to be delivered with greater strategic coordination between different funds and various policies of the EU, in order to better address the objectives of the Europe 2020 strategy, which is the overall framework for sustainable development of the EU.**

The programming process for the period 2014-2020 therefore foresees two major new elements: **the Common Strategic Framework and the Partnership Contract. Both documents aim at more effective policy coordination between the various funds and policies and between the Member States and the Commission.**

## **2. Strategic coherence and programming tools of the future cohesion policy**

The top EU-level strategic layer is the **Common Strategic Framework (CSF), which translates the Europe 2020 strategy into a joint strategic framework for all CSF funds. (The CSF is now part of the Common Provisions Regulation and thus becomes legally binding).**

**The Partnership Contract, building on the existing National Strategic Reference Framework, would translate the Common Strategic Framework into a national strategy.**

Both elements are on the table for negotiations. The European Parliament and a majority of Member States have insisted that the CSF should be a legally binding instrument annexed to the Common Provisions Regulation. In response, the Commission has recently modified its initial proposal.

**To avoid inconsistency in the implementation of the legislative proposals for EU cohesion policy 2014-2020, the requirements relating to partnership and multi-level governance are being strengthened.** These provisions will require all Member States to establish a partnership contract with key players, including the regional and local public authorities, economic and social partners and bodies representing civil society.

As yet the strategic orientation of the funds and partnership-building as key principles for the programming and implementation of cohesion policy have had a limited impact. Therefore a number of questions need to be addressed regarding the content and the form of these instruments in the broader context of strategic coordination and programming:

**1) What should be the form and the content of the Common Strategic Framework and of the Partnership Contracts as major tools of strategic coordination and programming? What is the role of national parliaments in developing strong synergies across policy areas and instruments?**



**2) How can we improve the clarity of the partnership principle? What does partnership mean, and how this does notion vary across different Operational Programmes? Which partners should be taken into consideration? To what extent can the OPs and/or the PCs be perceived as a framework open to wide partnership consultation?**

**3) What should be the links between the partnership contracts (PCs) and the broader macroeconomic context? Should there be a direct link between PCs and the Country-Specific Recommendations or the National Reform Programmes (as suggested by the EP's position)?**

**4) What are the risks of excessive thematic concentration? How can we provide the programmes with strong strategic guidance while at the same time allowing sufficient flexibility?**

In order to make the debate as productive as possible, participants are asked to build their interventions on previous discussions at EU level, as well as on the following basic documents:

- the Common Provisions Regulation comparative text table, prepared by the REGI secretariat for the negotiations with the Council and the Commission **(available on the website)**;
- a number of studies from the European Parliament's policy department B focusing on the future cohesion policy, the Partnership Contracts, and the broader role of national parliaments in Cohesion policy under the Lisbon Treaty **(available on the website)**;
- written contributions of the national parliaments.

Participants wishing to take the floor have to fill in the 'request for speaking time' which will be distributed during the meeting by the ushers.

Parliament's Committee on Regional Development looks forward to a constructive debate on these issues. In order to facilitate informal contacts between participants, the meeting will be followed by a cocktail reception in front of the meeting room.

After the meeting a summary note will be sent to all participants.





11.7.2012

## **MANDATE<sup>1</sup> for opening inter-institutional negotiations adopted by the Committee on Regional Development at its meeting on 11 July 2012<sup>2</sup>**

on the proposal for a regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006  
(COM(2011)0615 – C7-0335/2011 – 2011/0276(COD))

Committee on Regional Development

Rapporteur: Lambert van Nistelrooij, Constanze Angela Krehl

<sup>1</sup> Vote on the Mandate according to Rule 70(2) of EP Rules of Procedure.

<sup>2</sup> Pending verification by DLA Lawyer-Linguists.

## Amendment 1

## Proposal for a regulation

## Title 1 – title

*Text proposed by the Commission*

Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund ***covered by the Common Strategic Framework*** and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006

*Amendment*

Proposal for a REGULATION OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006

## Amendment 2

## Proposal for a regulation

## Part 1 – article 1 – paragraph 1

*Text proposed by the Commission*

This Regulation lays down the common rules applicable to the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Agricultural Fund for Rural Development (EAFRD) and the European Maritime and Fisheries Fund (EMFF), ***which are operating under the Common Strategic Framework*** (the '***CSF Funds***'). It also ***defines*** the provisions necessary to ensure the effectiveness of the ***CSF Funds*** and their coordination with one another and with other Union instruments.

*Amendment*

This Regulation lays down the common provisions applicable to the European Regional Development Fund (ERDF), the European Social Fund (ESF), the Cohesion Fund (CF), the European Agricultural Fund for Rural Development (EAFRD) and the European Maritime and Fisheries Fund (EMFF), (***hereinafter together referred to as the 'Funds covered by the CPR'***). It also ***lays down*** the provisions necessary to ensure the effectiveness of the Funds ***covered by the CPR*** and their coordination with one another and with other Union instruments.

### Amendment 3

#### Proposal for a regulation Part 1 – article 2 – paragraph 1

*Text proposed by the Commission*

*Amendment*

***For the purposes of this Regulation, the definitions on financial instruments as laid down in the Financial Regulation shall apply to financial instruments supported by the CSF Funds, except where otherwise provided in this Regulation.***

***deleted***

### Amendment 4

#### Proposal for a regulation Part 1 – article 2 – paragraph 2 – introductory sentence

*Text proposed by the Commission*

*Amendment*

***In addition***, the following definitions shall apply:

***For the purposes of this Regulation***, the following definitions shall apply:

### Amendment 5

#### Proposal for a regulation Part 1 – article 2 – paragraph 2 – point 2

*Text proposed by the Commission*

*Amendment*

(2) 'Common Strategic Framework' means ***the document translating*** the objectives and targets of the Union strategy for smart, sustainable and inclusive growth ***into key actions for the CSF Funds, establishing*** for each thematic objective ***the key actions to be supported by each CSF Fund and*** the mechanisms for ensuring the coherence and consistency of the programming of the CSF Funds with the economic and

(2) 'Common Strategic Framework' means ***a framework that coordinates and balances priorities, in order to achieve*** the objectives and targets of the Union strategy for smart, sustainable and inclusive growth, ***that establishes*** for each thematic objective the mechanisms for ensuring the coherence and consistency of the programming of the Funds ***covered by the CPR*** with the economic and employment ***and***

employment policies of the Member States and of the Union;

**sustainable development** policies of the Member States and of the Union;

#### Amendment 6

##### Proposal for a regulation

##### Part 1 – article 2 – paragraph 2 – point 4

*Text proposed by the Commission*

(4) ‘programming’ means the process of organisation, decision-making and allocation of financial resources in several stages intended to implement, on a multi-annual basis, the joint action by the Union and the Member States to achieve Union strategy for smart, sustainable and inclusive growth;

*Amendment*

(4) ‘programming’ means the process of organisation, decision-making and allocation of financial resources in several stages, ***with the involvement of partners and in line with the multi-level governance approach in accordance with Article 5,*** intended to implement, on a multi-annual basis, the joint action by the Union and the Member States to achieve ***the main objectives of the Union through*** the strategy for smart, sustainable and inclusive growth;

#### Amendment 7

##### Proposal for a regulation

##### Part 1 – article 2 – paragraph 2 – point 10 a (new)

*Text proposed by the Commission*

*Amendment*

***(10a) "Financial instruments" means financial instruments as defined in the Financial Regulation, save where otherwise provided;***

#### Amendment 8

##### Proposal for a regulation

##### Part 1 – article 2 – paragraph 2 – point 10 b (new)

*Text proposed by the Commission*

*Amendment*

***(10b) "Fund of funds" means a fund set up with the objective of providing support from programmes to several bodies implementing financial instruments;***



## Amendment 9

### Proposal for a regulation

#### Part 1 – article 2 – paragraph 2 – point 16

*Text proposed by the Commission*

(16) 'local development strategy' means a coherent set of operations to meet local objectives and needs, which contributes to meeting the Union strategy for smart, sustainable and inclusive growth and which is implemented in partnership at the appropriate *level*;

*Amendment*

(16) 'local development strategy' means a coherent set of operations to meet local objectives and needs, which contributes to meeting the Union strategy for smart, sustainable and inclusive growth, and which is ***designed and*** implemented in partnership ***with local action groups*** at the appropriate ***territorial levels***;

## Amendment 10

### Proposal for a regulation

#### Part 1 – article 2 – paragraph 2 – point 22

*Text proposed by the Commission*

(22) 'SME' means a micro, small or medium sized enterprise ***in the meaning of*** Commission Recommendation 2003/361/EC, or subsequent amendments thereof;

*Amendment*

(22) 'SME' means a micro, small or medium sized enterprise ***as defined in*** Commission Recommendation 2003/361/EC, or subsequent amendments thereof;

## Amendment 11

### Proposal for a regulation

#### Part 1 – article 2 – paragraph 2 – point 24 a (new)

*Text proposed by the Commission*

*Amendment*

***(24a) 'macro-region' means an integrated framework composed of territories from a number of Member States associated with one or more common features and challenges.***

## Amendment 12

## Proposal for a regulation

## Part 1 – article 2 – paragraph 2 – point 24 b (new)

*Text proposed by the Commission**Amendment*

***(24a) 'ex ante conditionality' means a concretely and precisely pre-defined critical factor, which is a necessary prerequisite for, and has a genuine link to, and direct impact on, the effective and efficient implementation of the concrete content of investment to be financed from any of the Funds covered by the CPR.***

## Amendment 13

## Proposal for a regulation

## Part 2 – article 4 – paragraph 1

*Text proposed by the Commission**Amendment*

1. The **CSF** Funds shall provide support, through multi-annual programmes, which complements national, regional and local intervention, to deliver the Union strategy for smart, sustainable and inclusive growth, taking account of the Integrated Guidelines, ***the country-specific recommendations under Article 121(2) of the Treaty and the relevant Council recommendations adopted under 148(4) of the Treaty.***

1. The Funds ***covered by the CPR*** shall provide support, through multi-annual programmes, which complements national, regional and local intervention, to ***achieve economic, social and territorial cohesion and to*** deliver the Union strategy for smart, sustainable and inclusive growth, ***as well as to fulfil the specific missions of the Funds covered by the CPR*** taking account of the Integrated Guidelines ***and the National Reform Programmes.***

*(This amendment applies throughout the text. Adopting it will necessitate corresponding changes throughout.)*

## Amendment 14

## Proposal for a regulation

## Part 2 – article 4 – paragraph 2

*Text proposed by the Commission**Amendment*

2. The Commission and the Member States

2. The Commission and the Member States

shall ensure that support from the **CSF** Funds is consistent with the policies and priorities of the Union and complementary to other instruments of the Union.

shall ensure that support from the Funds **covered by the CPR** is consistent with the relevant policies, **horizontal principles** and priorities of the Union and complementary to other instruments of the Union

## Amendment 15

### Proposal for a regulation Part 2 – article 4 – paragraph 4

#### *Text proposed by the Commission*

4. Member States and the bodies designated by them for that purpose shall be responsible for implementing programmes and carrying out their tasks under this Regulation and the Fund-specific rules ***at the appropriate territorial level, in accordance with the institutional, legal and financial framework of the Member State and subject to compliance with this Regulation and the Fund-specific rules.***

#### *Amendment*

4. Member States, ***at the appropriate territorial levels, in accordance with their institutional, legal and financial framework, and subject to compliance with this Regulation and the Fund-specific rules,*** and the bodies designated by them for that purpose, shall be responsible for ***preparing and*** implementing programmes, ***in partnership with relevant partners as referred in article 5,*** and carrying out their tasks under this Regulation and the Fund-specific rules.

## Amendment 16

### Proposal for a regulation Part 2 – article 4 – paragraph 7

#### *Text proposed by the Commission*

7. The part of the Union budget allocated to the **CSF** Funds shall be implemented within the framework of shared management between the Member States and the Commission, in accordance with Article 53(b) of the Financial Regulation, with the exception of the amount of ***the CEF transferred to the Connecting Europe Facility referred to in Article 84(4) and*** innovative actions at the initiative of the Commission under Article 9 of the ERDF Regulation, and technical assistance at the initiative of the

#### *Amendment*

7. The part of the Union budget allocated to the Funds ***covered by the CPR*** shall be implemented within the framework of shared management between the Member States and the Commission, in accordance with Article 53(b) of the Financial Regulation, with the exception of the amount of innovative actions at the initiative of the Commission under Article 9 of the ERDF Regulation, and technical assistance at the initiative of the Commission.

**Amendment 17****Proposal for a regulation****Part 2 – article 4 – paragraph 10***Text proposed by the Commission*

10. The Commission and the Member States shall carry out their respective roles in relation to the **CSF** Funds with the aim of reducing the administrative burden for beneficiaries.

*Amendment*

10. The Commission and the Member States shall carry out their respective roles in relation to the Funds ***covered by the CPR*** with the aim of reducing the administrative burden for beneficiaries, ***for national, regional and local public authorities and bodies acting at different levels of administration as managing authorities.***

**Amendment 18****Proposal for a regulation****Part 2 – article 5 – paragraph 1***Text proposed by the Commission*

1. For the Partnership Contract and each programme ***respectively***, a Member State shall organise a partnership with the following partners:

- (a) competent ***regional, local, urban and other*** public authorities;
- (b) economic and social partners; ***and***
- (c) bodies representing civil society, including environmental partners, non-governmental organisations, and bodies responsible for promoting equality and non-discrimination.

*Amendment*

1. For the Partnership Contract and each programme, a Member State shall organise a partnership ***by concluding a partnership agreement with the relevant regional and local authorities in accordance with Article 4(4).***

***Member States and the respective regional and local authorities shall also cooperate*** with the following partners:

- (a) competent public authorities ***other than those referred to in the introductory sentence to this paragraph; and***
- (b) economic and social partners;
- (c) ***relevant*** bodies representing civil society, including ***inter alia*** environmental partners, non-governmental organisations, ***among them non-profit organisations promoting social inclusion and those active in the areas of culture, education***

*and youth policy*, and bodies responsible for promoting *gender* equality and non-discrimination;

*(ca) churches and religious communities active in the areas of transnational cooperation, education, culture and social inclusion.*

## Amendment 19

### Proposal for a regulation Part 2 – article 5 – paragraph 2

*Text proposed by the Commission*

2. In accordance with the multi-level governance approach, the partners shall be involved by Member States in the preparation of Partnership Contracts and progress reports *and* in the preparation, implementation, monitoring and evaluation of programmes. The partners shall participate in the monitoring committees for programmes.

*Amendment*

2. In accordance with the multi-level governance approach, *and in line with the partnership agreement, as referred to in paragraph 1*, the partners shall be involved by Member States in *all stages of* the preparation of Partnership Contracts and progress reports, *as well as* and in *all stages of* the preparation, implementation, monitoring and evaluation of programmes, *from the earliest stage possible*. The partners shall participate in the monitoring committees for programmes.

## Amendment 20

### Proposal for a regulation Part 2 – article 5 – paragraph 3

*Text proposed by the Commission*

3. *The Commission shall be empowered to adopt delegated acts in accordance with Article 142 to provide for a* European code of conduct *that lays* down objectives and criteria to *support* the implementation of partnership and to facilitate the sharing of information, experience, results and *good* practices among Member States.

*Amendment*

3. *A* European code of conduct *shall be provided for in order to lay* down *specific* objectives and criteria to *ensure* the implementation of partnership and to facilitate the sharing of information, experience, results and *best* practices among Member States. *The Commission shall be empowered to adopt delegated acts in accordance with Article 142 to provide for this European code of*

## Amendment 21

**Proposal for a regulation**  
**Part 2 – article 5 – paragraph 3 a (new)**

*Text proposed by the Commission*

*conduct.*

*Amendment*

***3a. The European Code of Conduct should outline inter alia the following specifications:***

***(a) minimum requirements to ensure transparent selection of partners and clarity about their role in the policy process and their responsibilities;***

***(b) minimum requirements and indications on how to identify relevant partners, ranging from authorities of different territorial levels, social and economic partners, civil society, science and technology organisations and bodies responsible for promoting gender equality, social inclusion and non-discrimination or active in the areas of culture, education and youth policy;***

***(c) the cooperation procedure among the competent national, regional and local authorities;***

***(d) guidance on how to tailor partnership to programmes, including specificities of multifund programmes, joint action plans and integrated territorial investments;***

***(e) minimum requirements of ensuring meaningful involvement of partners in the preparation of the Partnership Contract and the Programmes;***

***(f) minimum requirements in terms of the procedures established to ensure effective organisation of partnerships;***

***(g) guidance on the involvement of partners in monitoring committees, project selection, monitoring and evaluation;***

*(h) minimum requirements on providing guidance to partners and on facilitating capacity building among partners;*

*(i) outlining the framework to exchange good practices across Member States.*

## Amendment 22

### Proposal for a regulation Part 2 – article 5 – paragraph 4

*Text proposed by the Commission*

3. At least once a year, for each **CSF** Fund, the Commission shall consult the organisations which represent the partners at Union level on the implementation of support from the **CSF** Funds.

*Amendment*

3. At least once a year, for each Fund ***covered by the CPR***, the Commission shall consult the organisations which represent the partners at Union level on the implementation of support from the Funds ***covered by the CPR. To this end, the Commission shall undertake an analysis of this implementation and the participation of relevant partners and stakeholders, in particular the economic and social partners.***

## Amendment 23

### Proposal for a regulation Part 2 – article 7 – paragraph 1

*Text proposed by the Commission*

The Member States and the Commission shall ensure that equality between men and women and the integration of gender perspective ***is*** promoted ***in the*** preparation and implementation of programmes.

*Amendment*

The Member States and the Commission shall ensure that equality between men and women and the ***coherent*** integration of gender perspective ***are taken into account and*** promoted ***in all phases*** of preparation, ***programming*** and implementation, ***as well as monitoring and evaluation*** of programmes.

## Amendment 24

**Proposal for a regulation**  
**Part 2 – article 7 – paragraph 2**

*Text proposed by the Commission*

The Member States and the Commission shall take appropriate steps to prevent any discrimination based on sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation during the preparation and implementation of programmes.

*Amendment*

The Member States and the Commission shall take appropriate steps to prevent **and eliminate any** discrimination based on sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation **or gender identity and to avoid segregation** during the preparation and implementation of programmes. **In particular, accessibility for disabled persons shall be taken into consideration, in the preparation, programming and implementation of programmes.**

## Amendment 25

**Proposal for a regulation**  
**Part 2 – article 8 – paragraph 1**

*Text proposed by the Commission*

The objectives of the **CSF** Funds shall be pursued in the framework of sustainable development and the Union's promotion of the aim of protecting and improving the environment, as set out in Article 11 of the Treaty, taking into account the polluter pays principle.

*Amendment*

The objectives of the Funds **covered by the CPR** shall be pursued in the framework of sustainable development and the Union's promotion of the aim of **preserving**, protecting and improving the environment, as set out in Article 11 **and Article 191(1)** of the Treaty, taking into account the polluter pays principle.

## Amendment 26

**Proposal for a regulation**  
**Part 2 – article 8 – paragraph 2**

*Text proposed by the Commission*

The Member States and the Commission shall ensure that environmental protection requirements, resource efficiency, climate change mitigation and adaptation, disaster

*Amendment*

The Member States and **the partners, as set out in Article 5(1), as well as the** Commission shall ensure that environmental protection requirements,



resilience and risk prevention and management are promoted in the preparation and implementation of Partnership Contracts and programmes. Member States shall provide information on the support for climate change objectives using the methodology adopted by the Commission. The Commission shall ***adopt*** this methodology ***by means of an implementing act. The implementing act shall be adopted in accordance with the examination procedure referred to in Article 143(3).***

resource efficiency, ***biodiversity and ecosystem protection***, climate change mitigation and adaptation, ***and ecosystem-based*** disaster resilience and risk prevention and management are promoted in the preparation and implementation of Partnership Contracts and programmes. Member States shall provide information on the support for climate change ***and biodiversity*** objectives using the methodology adopted by the Commission. The Commission shall ***be empowered to adopt delegated acts, in accordance with Article 142, laying down*** this methodology.

## Amendment 27

### Proposal for a regulation Part 2 – article 8 a (new)

*Text proposed by the Commission*

*Amendment*

#### ***Article 8a***

#### ***Macro regional strategies and sea basins strategies***

***The Funds covered by the CPR shall contribute to macro regional strategies and sea basins strategies, where Member States and regions participate in such strategies. The Commission and the Member States concerned shall ensure coordination of the Funds covered by the CPR with these strategies at the level of the Common Strategic Framework, of Partnership Contracts and of programmes in order to ensure sufficient allocation from the Funds to these strategies.***

*Text proposed by the Commission*

## Thematic objectives

Each *CSF Fund* shall support the following thematic objectives in accordance with its mission in order to contribute to the Union strategy for smart, sustainable and inclusive growth:

- (1) strengthening research, technological development and innovation;
- (2) enhancing access to, and use and quality of, information and communication technologies;
- (3) enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF);
- (4) supporting the shift towards a low-carbon economy in all sectors;
- (5) promoting climate change adaptation, risk prevention and management;
- (6) protecting the environment and promoting resource efficiency;
- (7) **promoting sustainable transport and** removing bottlenecks in key network infrastructures;
- (8) promoting employment and supporting labour mobility;
- (9) promoting social inclusion and combating poverty;
- (10) investing in education, skills and lifelong learning;

*Amendment*

## Thematic objectives

Each *of the Funds covered by the CPR*, in compliance with its mission to achieve **economic, social and territorial cohesion, as stipulated in Article 177 TFEU** and in order to contribute to the Union strategy for smart, sustainable and inclusive growth **shall support the following thematic objectives:**

- (1) strengthening research, technological development and innovation;
- (2) enhancing access to, and use and quality of, information and communication technologies;
- (3) enhancing the competitiveness of small and medium-sized enterprises, the agricultural sector (for the EAFRD) and the fisheries and aquaculture sector (for the EMFF);
- (4) supporting the shift towards a low-carbon economy in all sectors **and promoting sustainable transport;**
- (5) promoting climate change adaptation, risk prevention and management;
- (6) **preserving and** protecting the environment, and promoting resource efficiency;
- (7) removing bottlenecks in key network infrastructures **and filling in missing cross-border links;**
- (8) promoting **quality** employment and supporting labour mobility;
- (9) promoting social inclusion, combating poverty **and discrimination;**
- (10) investing in education, **training, including vocational training** skills and lifelong learning;

(11) enhancing institutional capacity and an efficient public administration.

Thematic objectives shall be translated into priorities specific to each *CSF Fund* and set out in the Fund-specific rules.

#### Amendment 29

##### Proposal for a regulation Part 2 – article 10

*Text proposed by the Commission*

##### Common Strategic Framework

In order to promote the harmonious, balanced and sustainable development of the Union, a Common Strategic Framework shall *translate* the objectives and targets of the Union strategy for smart, sustainable and inclusive growth *into key actions for the CSF Funds*.

#### Amendment 30

##### Proposal for a regulation Part 2 – article 11

*Text proposed by the Commission*

##### Content

The Common Strategic Framework shall establish:

*(a) for each thematic objective, the key actions to be supported by each CSF Fund;*

(b) the key territorial challenges for urban, rural, coastal *and* fisheries areas, as well as for areas with particular territorial features referred to in Articles 174 and 349 of the

(11) enhancing institutional capacity and an efficient public administration *and promoting capacity building of relevant partners*.

Thematic objectives shall be translated into priorities specific to each *of the Funds covered by the CPR* and set out in the Fund-specific rules.

*Amendment*

##### Common Strategic Framework

In order to promote the harmonious, balanced and sustainable development of the Union, *and in order to achieve* the objectives and targets of the Union strategy for smart, sustainable and inclusive growth, a Common Strategic Framework shall *coordinate and balance priorities with the thematic objectives set out in Article 9*.

*Amendment*

##### Content

The Common Strategic Framework shall establish:

*deleted*

(b) the key territorial challenges for urban, *peri-urban*, rural, coastal, *mountain* fisheries and *cultural heritage* areas, as well as for areas with particular territorial features referred to in Articles 174 and 349

Treaty, to be addressed by the **CSF** Funds;

(c) horizontal principles and policy objectives for the implementation of the **CSF** Funds;

(d) priority areas for cooperation activities for each of the **CSF** Funds, *where appropriate*, taking account of macro-regional and sea basin strategies;

(e) coordination mechanisms among the **CSF** Funds, and with other relevant Union policies and instruments, including external instruments for cooperation;

(f) mechanisms for ensuring the coherence and consistency of the programming of the **CSF** Funds with the *country-specific recommendations under Article 121(2) of the Treaty* and the relevant *Council recommendations adopted under 148(4) of the Treaty*.

#### Amendment 31

##### Proposal for a regulation

##### Part 2 – article 12 – paragraph 1

*Text proposed by the Commission*

***The Commission shall be empowered to adopt a delegated act in accordance with Article 142 on the Common Strategic Framework within 3 months of the adoption of this Regulation.***

#### Amendment 32

##### Proposal for a regulation

##### Part 2 – article 12 – paragraph 2

*Text proposed by the Commission*

Where there are major changes in the

of the Treaty, to be addressed by the Funds ***covered by the CPR***;

(c) horizontal principles and policy objectives for the implementation of the Funds ***covered by the CPR***;

(d) priority areas for territorial cooperation activities ***for each of the Funds covered by the CPR***, taking account of macro-regional and sea basin strategies ***where Member States and regions participate in such strategies***;

(e) coordination mechanisms among the Funds ***covered by the CPR***, and with other relevant Union policies and instruments, including external instruments for cooperation;

(f) mechanisms for ensuring the coherence and consistency of the programming of the Funds ***covered by the CPR as compared with the National Reform Programmes***.

*Amendment*

***The Common Strategic Framework is set out in Annex [X].***

*Amendment*

Where there are major changes in the

Union strategy for smart, sustainable and inclusive growth, *the Commission shall review and, where appropriate, adopt, by delegated act in accordance with Article 142, a revised Common Strategic Framework.*

Union strategy for smart, sustainable and inclusive growth, *as a result of adverse developments in the economic or social situation within the Union, the Commission may submit a proposal to review the Common Strategic Framework or the European Parliament and the Council may ask the Commission to submit such a proposal.*

## Amendment 33

### Proposal for a regulation Part 2 – article 12 a (new)

*Text proposed by the Commission*

*Amendment*

#### *Article 12 a*

##### *Guide for beneficiaries*

*1. The Commission shall prepare a detailed practical guide on how to effectively access and use the Funds covered by the CPR, and how to exploit complementarities with other instruments of relevant Union policies.*

*2. This guide shall be drawn up by the latest 30 June 2014 and shall provide for each thematic objective an overview of the available relevant instruments at EU level with detailed sources of information, examples of good practices to combine available funding instruments within and across policy areas, description of relevant authorities and bodies involved in the management of each instrument, checklist for potential beneficiaries to identify best resources of funding.*

*3. The Guide shall be made public on the website of the relevant Directorate Generals of the Commission. The Commission and managing authorities acting in accordance with Article 105, in cooperation with the Committee of the Regions, shall ensure dissemination of the Guide to potential beneficiaries.*

## Amendment 34

## Proposal for a regulation

## Part 2 – article 13 – paragraph 2

*Text proposed by the Commission*

2. The Partnership Contract shall be drawn up by Member States in cooperation with the partners referred to in Article 5. The Partnership Contract shall be prepared in dialogue with the Commission.

*Amendment*

2. The Partnership Contract shall be drawn up, ***and implemented at all stages*** by Member States in cooperation with the partners, ***as*** referred to in ***Article 4(4) and*** Article 5. The Partnership Contract shall be prepared in dialogue with the Commission.

## Amendment 35

## Proposal for a regulation

## Part 2 – article 13 – paragraph 3 a (new)

*Text proposed by the Commission**Amendment*

***3a. The Partnership Contract shall undergo public consultation prior to its submission to the Commission.***

## Amendment 36

## Proposal for a regulation

## Part 2 – article 13 – paragraph 4

*Text proposed by the Commission**Amendment*

4. Each Member State shall transmit its Partnership Contract to the Commission ***within 3 months of the adoption of the Common Strategic Framework.***

4. Each Member State shall transmit its Partnership Contract to the Commission ***within 6 months of the entry into force of this Regulation.***

## Amendment 37

## Proposal for a regulation

## Part 2 – article 14 – point a

*Text proposed by the Commission**Amendment*

(a) arrangements to ensure alignment with the Union strategy for smart, sustainable

(a) arrangements to ensure alignment with the Union strategy for smart, sustainable

and inclusive growth, including:

- (i) an analysis of disparities and development needs with reference to the thematic objectives **and key actions defined in** the Common Strategic Framework and the targets set **in the country-specific recommendations under Article 121(2) of the Treaty and the relevant Council recommendations adopted under Article 148 (4) of the Treaty**;
- (ii) a summary analysis of the *ex ante* evaluations of the programmes justifying the selection of the thematic objectives and the indicative allocations **of the CSF Funds**;
- (iii) for each thematic objective, a summary of the main results expected for each of the **CSF Funds**;
- (iv) the indicative allocation of support by the Union by thematic objective at national level for each of the **CSF Funds**, as well as the total indicative amount of support foreseen for climate change objectives;
- (v) the main priority areas for cooperation, taking account, **where appropriate**, of macro-regional and sea basin strategies;
- (vi) horizontal principles and policy objectives for the implementation of the **CSF Funds**;
- (vii) the list of the programmes under the ERDF, the ESF and the CF, except those under the European territorial cooperation goal, and of the programmes of the

and inclusive growth **and to promote reduction of disparities between the levels of development** , including:

- (i) an analysis of disparities and **sustainable** development needs **of Member States and regions** with reference to the thematic objectives, **the horizontal principles laid down in articles 7 and 8 of this Regulation**, the Common Strategic Framework **annex** and the targets set out **in the National Reform Programmes**;
- (ii) a summary analysis of the *ex ante* evaluations of the programmes justifying the selection of the thematic objectives and the indicative allocations of the **Funds covered by the CPR**; **the thematic objectives may differ from one region to another, according to the identified needs of each region**;
- (iii) for each thematic objective **that has been selected**, a summary of the main results expected for each of the Funds **covered by the CPR**;
- (iv) the indicative allocation of support by the Union by thematic objective at national level for each of the Funds **covered by the CPR**, as well as the total indicative amount of support foreseen for climate change objectives;
- (v) the main priority areas for cooperation, taking account of macro-regional, **metropolitan and** sea basin strategies, **in the event that Member States and regions participate in such strategies, with a view to ensuring sufficient allocation from the Funds covered by the CPR to these strategies**;
- (vi) horizontal principles and policy objectives for the implementation of the Funds **covered by the CPR**;
- (vii) the list of the programmes under the ERDF, the ESF and the CF, except those under the European territorial cooperation goal, and of the programmes of the

EAFRD and the EMFF, with the respective indicative allocations by **CSF** Fund and by year;

EAFRD and the EMFF, with the respective indicative allocations by Fund **covered by the CPR** and by year;

### Amendment 38

#### Proposal for a regulation Part 2 – article 14 – point b

##### *Text proposed by the Commission*

- (b) an integrated approach to territorial development supported by the **CSF** Funds setting out:
- (ii) the mechanisms at national and regional level that ensure coordination between the **CSF** Funds and other Union and national funding instruments and with the EIB;
- (ii) the arrangements to ensure an integrated approach to the use of the **CSF** Funds for the territorial development of urban, rural, coastal and fisheries areas **and** areas with particular territorial features, in particular the implementation arrangements for Articles 28, 29 and 99 accompanied, **where appropriate, by a list of the cities to participate in the urban development platform referred to in Article 8 of the ERDF Regulation;**

##### *Amendment*

- (b) an integrated approach to **sustainable** territorial development supported by the Funds **covered by the CPR** setting out:
- (ii) the mechanisms at national and regional level that ensure coordination between the Funds **covered by the CPR** and other Union and national funding instruments and with the EIB;
- (ii) the arrangements to ensure an integrated approach to the use of the Funds **covered by the CPR** for the territorial **sustainable** development of **different types of territories including** urban, **peri-urban**, rural, coastal, fisheries **and cross-border areas, as well as** areas with particular territorial features **such as mountain ranges, islands or very low density areas**, in particular the implementation arrangements for Articles 28, 29 and 99 accompanied **by the list of criteria for the designation of cities or functional urban areas;**

### Amendment 39

#### Proposal for a regulation Part 2 – article 14 – point c

##### *Text proposed by the Commission*

- (c) an integrated approach to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised

##### *Amendment*

- (c) an integrated approach to address **regional demographic challenges and** the specific needs of geographical areas most affected **by serious and permanent natural and demographic disadvantages, as**



communities, where appropriate, including the indicative financial allocation for the relevant **CSF** Funds;

*defined in Article 174 of the Treaty*, by poverty and **unemployment**, with special regard to youth unemployment or of target groups at highest risk of discrimination or **social** exclusion, with special regard to marginalised communities, **and persons with disabilities** where appropriate, including the indicative financial allocation for the relevant Fund **among the Funds covered by the CPR**;

#### **Amendment 40**

##### **Proposal for a regulation**

##### **Part 2 – article 14 – point c a (new)**

*Text proposed by the Commission*

*Amendment*

*(ca) an integrated strategic approach to infrastructure investments in regions, particularly with reference to the coordinated use of the Funds covered by the CPR, the Connecting Europe Facility and the TENs;*

#### **Amendment 41**

##### **Proposal for a regulation**

##### **Part 2 – article 14 – point c b (new)**

*Text proposed by the Commission*

*Amendment*

*(cb) an integrated approach to climate and environment related challenges, particularly in view of ensuring a complementary use of the Funds covered by the CPR and the LIFE program;*

**Proposal for a regulation**  
**Part 2 – article 14 – point d**

*Text proposed by the Commission*

(d) arrangements to ensure effective implementation, including:

(i) a consolidated table of milestones and targets established in programmes for the performance framework referred to in Article 19(1), together with the methodology and mechanism to ensure consistency across programmes and **CSF** Funds;

(ii) a summary of the assessment of the fulfilment of *ex ante* conditionalities and of the actions to be taken at national and regional level, and the timetable for their implementation, where *ex ante* conditionalities are not fulfilled;

(iii) the information required for *ex ante* verification of compliance with the rules on additionality as they are defined in Part Three of this Regulation;

(iv) the actions taken to involve *the* partners and their role in the preparation of the Partnership Contract and the progress report as defined in Article 46 of this Regulation;

*Amendment*

(d) arrangements to ensure effective implementation, including:

(i) a consolidated table of **measurable** milestones and targets established in programmes for the performance framework referred to in Article 19(1), together with the methodology and mechanism to ensure consistency across programmes and Funds **covered by the CPR**;

(ii) a summary of the assessment of the fulfilment of *ex ante* conditionalities, **in accordance with Article 17** and of the actions to be taken at national and regional level, and the timetable for their implementation, where *ex ante* conditionalities are not fulfilled;

(iii) the information required for *ex ante* verification of compliance with the rules on additionality as they are defined in Part Three of this Regulation;

**(iii a) measures for the correct, efficient and transparent allocation of resources under consideration of competitive procedures;**

(iv) **an indicative list of partners and the actions taken by the Member State and the competent regional and local authorities** to involve *those* partners and their role in the preparation **and implementation** of the Partnership Contract and the progress report as defined in Article 46 of this Regulation, **in accordance with the Code of Conduct**;

**(iv a) where appropriate , the identification of the legal and administrative barriers to the implementation of partnership in the national context and actions envisaged to**

## Amendment 43

### Proposal for a regulation Part 2 – article 15 – paragraph 1

#### *Text proposed by the Commission*

1. The Commission shall assess the consistency of the Partnership Contract with this Regulation, with the ***Common Strategic Framework, and the country-specific recommendations under Article 121(2) of the Treaty and the Council recommendations adopted under 148(4) of the Treaty***, taking account of the *ex ante* evaluations of the programmes, and shall make observations within three months of the date of submission of the Partnership Contract. The Member State shall provide all necessary additional information and, where appropriate, shall revise the Partnership Contract.

#### *Amendment*

1. The Commission shall assess the consistency of the Partnership Contract with this Regulation, with the ***National Reform Programmes***, taking account of the *ex ante* evaluations of the programmes, and shall make observations within three months of the date of submission of the Partnership Contract. The Member State shall provide all necessary additional information and, where appropriate, shall revise the Partnership Contract.

## Amendment 44

### Proposal for a regulation Part 2 – article 15 – paragraph 2

#### *Text proposed by the Commission*

2. The Commission shall adopt a decision, by means of implementing acts, approving the Partnership Contract no later than six months after its submission by the Member State, provided that any observations made by the Commission have been satisfactorily taken into account. The Partnership Contract shall not enter into force before 1 January 2014.

#### *Amendment*

2. The Commission shall adopt a decision, by means of implementing acts, approving the Partnership Contract no later than six months after its submission by the Member State, provided that any observations made by the Commission have been satisfactorily taken into account. ***Within this timeframe, competent regional and local authorities as referred to in Article 5(1), may notify the Commission, where significant aspects of partnership have been incorrectly implemented in the preparation of the Partnership Contract.*** The Partnership Contract shall not enter into force before 1

**Amendment 45****Proposal for a regulation****Part 2 – article 15 – paragraph 2 a (new)***Text proposed by the Commission**Amendment*

**2a. The Commission shall prepare a Communication on the outcome of the negotiations concerning the Partnership Contracts and the programmes, including a detailed overview, per Member State, by 31 December 2016. This Communication shall be submitted to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.**

**Amendment 46****Proposal for a regulation****Part 2 – article 15 – paragraph 3***Text proposed by the Commission**Amendment*

3. Where a Member State proposes an amendment to the Partnership Contract, the Commission shall carry out an assessment in accordance with paragraph 1 and, where appropriate, shall adopt a decision, by means of implementing acts, approving the amendment.

3. Where a Member State proposes an amendment to the Partnership Contract, the Commission shall carry out an assessment in accordance with paragraph 1 and, where appropriate, shall adopt a decision, by means of implementing acts, approving the amendment, ***within three months of its submission by the Member State at the latest.***

**Amendment 47****Proposal for a regulation****Part 2 – article 16***Text proposed by the Commission**Amendment*

Thematic concentration

Thematic concentration

Member States shall concentrate support, in accordance with the Fund-specific rules, on **actions** bringing the greatest added value in relation to the Union strategy for smart, sustainable and inclusive growth, **addressing** the challenges identified **in the country-specific recommendations under Article 121(2) of the Treaty and the relevant Council recommendations adopted under 148(4) of the Treaty, and** taking into account national and regional needs.

Member States, **in agreement with partners referred to in Article 5(1)** shall concentrate support, in accordance with the Fund-specific rules, on **interventions** bringing the greatest added value in relation to the Union strategy for smart, sustainable and inclusive growth, **and which address** the challenges identified in **National Reform Programmes, while** taking into account national, regional **and where appropriate macro-regional** needs **and ensuring a balance between those needs and Union objectives.**

#### Amendment 48

##### Proposal for a regulation Part 2 – article 17 – paragraph 1

*Text proposed by the Commission*

1. *Ex ante* conditionalities shall be defined for each **CSF** Fund in the Fund-specific rules.

*Amendment*

1. *Ex ante* conditionalities shall be defined for each Fund **covered by the CPR** in the Fund-specific rules. **An ex ante conditionality is a prerequisite and shall be applied only when it has a direct link to and an impact on the effective implementation of the Funds covered by the CPR.**

#### Amendment 49

##### Proposal for a regulation Part 2 – article 17 – paragraph 2

*Text proposed by the Commission*

2. Member States shall assess whether the applicable *ex ante* conditionalities are fulfilled.

*Amendment*

2. Member States shall, **in accordance with Article 4(4),** assess whether the applicable *ex ante* conditionalities **laid down in the Fund- specific rules** are fulfilled.

## Proposal for a regulation

## Part 2 – article 17 – paragraph 4

*Text proposed by the Commission*

4. Member States shall set out the detailed actions relating to the fulfilment of *ex ante* conditionalities, including the timetable for their implementation, in the relevant programmes.

*Amendment*

4. Member States shall set out the detailed actions **to be carried out within the set timeframe** relating to the fulfilment of *ex ante* conditionalities, including the timetable for their implementation, in the relevant programmes.

## Amendment 51

## Proposal for a regulation

## Part 2 – article 17 – paragraph 5

*Text proposed by the Commission*

5. The Commission shall assess the information provided on the fulfilment of *ex ante* conditionalities in the framework of its assessment of the Partnership Contract and programmes. **It** may decide, when adopting a programme, to suspend all or part of interim payments to the programme pending the satisfactory completion of actions to fulfil an *ex ante* conditionality. The failure to complete actions to fulfil an *ex ante* conditionality by the deadline set out in the programme shall constitute a basis for suspending payments by the Commission.

*Amendment*

5. The Commission shall assess the **consistency of** the information provided on the **applicability and** fulfilment of *ex ante* conditionalities in the framework of its assessment of the Partnership Contract and programmes. **The Commission** may decide, when adopting a programme, to suspend all or part of **any** interim payments to the **respective** programme, **in accordance with the Fund-specific rules**, pending the satisfactory completion of actions to fulfil an *ex ante* conditionality **which is instrumental to the achievement of that programme's objectives**. The failure to complete actions to fulfil an *ex ante* conditionality by the deadline set out in the programme shall constitute a basis for suspending payments by the Commission, **in accordance with the Fund-specific rules. The suspension of payments shall be lifted without delay after the Member State fulfils the ex ante conditionalities applicable to the programme.**

## Amendment 52

### Proposal for a regulation Part 2 – article 18

*Text proposed by the Commission*

*Amendment*

#### Article 18

*deleted*

#### *Performance reserve*

*5% of the resources allocated to each CSF Fund and Member State, with the exception of resources allocated to the European territorial cooperation goal and to Title V of the EMFF Regulation, shall constitute a performance reserve to be allocated in accordance with Article 20.*

## Amendment 53

### Proposal for a regulation Part 2 – article 19 – paragraph 2

*Text proposed by the Commission*

*Amendment*

2. The review shall examine the achievement of the milestones of the programmes at the level of priorities, on the basis of the information and the assessments presented in the progress reports submitted by the Member States in the years 2017 and 2019.

2. The review shall examine the achievement of the milestones of the programmes at the level of priorities, on the basis of the information and the assessments presented, *in accordance with Article 46*, in the progress reports submitted by the Member States in the years 2017 and 2019.

## Amendment 54

### Proposal for a regulation Part 2 – article 20 – title

*Text proposed by the Commission*

*Amendment*

*Allocation of performance reserve*

Performance *framework*

## Amendment 55

## Proposal for a regulation

## Part 2 – article 20 – paragraph 2

*Text proposed by the Commission*

2. On the basis of the review undertaken in 2019, the Commission shall adopt a decision, by means of implementing acts, to determine for each *CSF* Fund and Member State the programmes and priorities which have attained their milestones. ***The Member State shall propose the attribution of the performance reserve for the programmes and priorities set out in that Commission decision. The Commission shall approve the amendment of the programmes concerned in accordance with Article 26. Where a Member State fails to submit the information in accordance with Article 46(2) and (3), the performance reserve for the programmes or the priorities concerned shall not be allocated.***

*Amendment*

2. On the basis of the review undertaken in 2019, the Commission shall adopt a decision, by means of implementing acts, to determine for each Fund ***covered by the CPR*** and Member State the programmes and priorities which have attained their milestones.

## Amendment 56

## Proposal for a regulation

## Part 2 – article 20 – paragraph 3

*Text proposed by the Commission*

3. Where there is evidence resulting from a performance review that a priority has failed to achieve the milestones set out in the performance framework, the Commission may suspend all or part of an interim payment of a priority of a programme in accordance with the procedure laid down in Fund-specific rules.

*Amendment*

3. Where there is evidence resulting from a performance review that a priority has failed to achieve the milestones set out in the performance framework, the Commission may ***call upon the Member State to propose amendments to the relevant programmes. If the Member State does not respond satisfactorily within three months***, the Commission may suspend all or part of an interim payment of a priority of a programme in accordance with the procedure laid down in ***the*** Fund-specific rules. ***The suspension shall be lifted and funds made available again as soon as the Member State takes the***



## Amendment 57

### Proposal for a regulation

#### Part 2 – article 20 – paragraph 4

##### *Text proposed by the Commission*

4. Where the Commission, based on the examination of the final implementation report of the programme, establishes a serious failure to achieve the targets set out in the performance framework, it may apply financial corrections in respect of the priorities concerned in accordance with Fund-specific rules. The Commission shall be empowered to adopt delegated acts in accordance with Article 142 to establish criteria and the methodology for determining the level of financial correction to be applied.

##### *Amendment*

4. Where the Commission, based on the examination of the final implementation report of the programme, establishes a serious failure to achieve the targets set out in the performance framework **and the remedial actions have not been taken on board**, it may apply financial corrections in respect of the priorities concerned in accordance with Fund-specific rules. The Commission shall be empowered to adopt delegated acts in accordance with Article 142 to establish criteria and the methodology for determining the level of financial correction to be applied.

## Amendment 58

### Proposal for a regulation

#### Part 2 – article 21

##### *Text proposed by the Commission*

##### *Article 21*

##### ***Conditionality linked to the coordination of Member States' economic policies***

***1. The Commission may request a Member State to review and propose amendments to its Partnership Contract and the relevant programmes, where this is necessary:***

***(a) to support the implementation of a Council recommendation, addressed to the Member State concerned and adopted in accordance with Articles 121(2) and/or 148(4) of the Treaty, or to support the implementation of measures addressed to***

##### *Amendment*

***deleted***

*the Member State concerned and adopted in accordance with Article 136(1) of the Treaty;*

*(b) to support the implementation of a Council recommendation addressed to the Member State concerned and adopted in accordance with Article 126(7) of the Treaty;*

*(c) to support the implementation of a Council recommendation addressed to the Member State concerned and adopted in accordance with Article 7(2) of Regulation (EU) No .../2011 [on the prevention and correction of macroeconomic imbalances], provided that these amendments are deemed necessary to help correct the macroeconomic imbalances; or*

*(d) to maximise the growth and competitiveness impact of the available CSF Funds pursuant to paragraph 4, if a Member State meets one of the following conditions:*

*(i) Union financial assistance is made available to it under Council Regulation (EU) No 407/2010;*

*(ii) medium-term financial assistance is made available to it in accordance with Council Regulation (EC) No 332/2002;*

*(iii) financial assistance in the form of an ESM loan is made available to it in accordance with the Treaty establishing the European Stability Mechanism.*

*2. The Member State shall submit a proposal for amending the Partnership Contract and the relevant programmes within one month. If necessary, the Commission shall make observations within one month from the submission of the amendments, in which case the Member State shall re-submit its proposal within one month.*

*3. Where the Commission has not made observations or where its observations have been satisfactorily taken into*

*account, the Commission shall adopt a decision approving the amendments to the Partnership Contract and the relevant programmes without undue delay.*

*4. By derogation to paragraph 1, where financial assistance is made available to a Member State in accordance with paragraph 1(d) and is linked to an adjustment programme, the Commission may without any proposal from the Member State amend the Partnership Contract and the programmes with a view to maximising the growth and competitiveness impact of the available CSF Funds. To ensure effective implementation of the Partnership Contract and the relevant programmes, the Commission shall become involved in their management as detailed in the adjustment programme or the Memorandum of Understanding signed with the Member State concerned.*

*5. Where the Member State fails to respond to the Commission's request referred to in paragraph 1 or does not reply satisfactorily within one month to the observations of the Commission referred to in paragraph 2, the Commission may, within three months following its observations, adopt a decision, by means of implementing acts, suspending part or all of the payments for the programmes concerned.*

*6. The Commission shall suspend, by means of implementing acts, part or all of the payments and commitments for the programmes concerned where:*

*(a) the Council decides that the Member State does not comply with the specific measures set out by the Council in accordance with Article 136(1) of the Treaty;*

*(b) the Council decides in accordance with Article 126(8) or Article 126(11) of the Treaty that the Member State concerned has not taken effective action*

*to correct its excessive deficit;*

*(c) the Council concludes in accordance with Article 8(3) of Regulation (EU) No [...] /2011 [on the prevention and correction of macroeconomic imbalances] that, on two successive instances, the Member State has not submitted a sufficient corrective action plan or the Council adopts a decision declaring non-compliance in accordance with Article 10(4) of that Regulation;*

*(d) the Commission concludes that the Member State has not taken measures to implement the adjustment programme referred to in Council Regulation (EU) No 407/2010 or Council Regulation (EC) No 332/2002 and as a consequence decides not to authorise the disbursement of the financial assistance granted to this Member State; or*

*(e) the Board of Directors of the European stability mechanism concludes that the conditionality attached to an ESM financial assistance in the form of an ESM loan to the concerned Member State was not met and as a consequence decides not to disburse the stability support granted to it.*

*7. When deciding to suspend part or all of the payments or commitments in accordance with paragraphs 5 and 6 respectively, the Commission shall ensure that the suspension is proportionate and effective, taking into account the economic and social circumstances of the Member State concerned, and respects equality of treatment between Member States, in particular with regard to the impact of the suspension on the economy of the Member State concerned.*

*8. The Commission shall without delay lift the suspension of payments and commitments where the Member State has proposed amendments to the Partnership Contract and the relevant programmes as requested by the Commission, which the Commission has approved and, where*

*applicable:*

*(a) the Council has decided that the Member State complies with the specific measures set out by the Council in accordance with Article 136(1) of the Treaty;*

*(b) the excessive deficit procedure is held in abeyance in accordance with Article 9 of Regulation (EC) No 1467/97 or the Council has decided in accordance with Article 126(12) of the Treaty to abrogate the decision on the existence of an excessive deficit;*

*(c) the Council has endorsed the corrective action plan submitted by the concerned Member State in accordance with Article 8(2) of Regulation (EU) No [...] [EIP Regulation] or the excessive imbalance procedure is placed in a position of abeyance in accordance with Article 10(5) of that Regulation or the Council has closed the excessive imbalance procedure in accordance with Article 11 of that Regulation;*

*(d) the Commission has concluded that the Member State has taken measures to implement the adjustment programme referred to in Council Regulation (EU) No 407/2010 or Council Regulation (EC) No 332/2002 and as a consequence has authorised the disbursement of the financial assistance granted to this Member State; or*

*(e) the Board of Directors of the European stability mechanism has concluded that the conditionality attached to a financial assistance in the form of an ESM loan to the concerned Member State is met and as a consequence has decided to disburse the stability support granted to it.*

*At the same time, the Council shall decide, on a proposal from the Commission, to re-budget the suspended commitments in accordance with Article 8 of Council Regulation (EU) No [...]*

**Amendment 59**

**Proposal for a regulation**

**Part 2 – article 22 – paragraph 2 a (new)**

*Text proposed by the Commission*

*Amendment*

***2a. The increased interim payments shall be made available as soon as possible to the managing authority, ensuring fully transparent use thereof for making payments for the implementation of the programme.***

**Amendment 60**

**Proposal for a regulation**

**Part 2 – article 23 – paragraph 2**

*Text proposed by the Commission*

*Amendment*

2. Programmes shall be drawn up by Member States or any authority designated by them, in cooperation with the partners.

***2. Following a public consultation*** programmes shall be drawn up by Member States or any authority designated by them, in cooperation with the partners, ***as referred to in Article 5 and on the basis the European Code of Conduct, prior to their submission to the Commission.***

**Amendment 61**

**Proposal for a regulation**

**Part 2 – article 23 – paragraph 2 a (new)**

*Text proposed by the Commission*

*Amendment*

***2a. The Commission shall support the possibility of preparing and implementing multi-fund programmes (ERDF, ESF, Cohesion Fund, EAFRD, EMFF), subject to the proportionality principle.***

## Amendment 62

### Proposal for a regulation Part 2 – article 23 – paragraph 3

#### *Text proposed by the Commission*

3. Programmes shall be submitted by the Member States ***at the same time*** as the Partnership Contract, with the exception of European territorial cooperation programmes, which shall be submitted within ***six months of the approval of the Common Strategic Framework***. All programmes shall be accompanied by the *ex ante* evaluation as set out in Article 48.

#### *Amendment*

3. Programmes shall be submitted by the Member States ***within three months of the adoption by the European Commission of the Partnership Contract***, with the exception of European territorial cooperation programmes, which shall be submitted within ***nine months of the entry into force of this Regulation***. All programmes shall be accompanied by the *ex ante* evaluation as set out in Article 48.

## Amendment 63

### Proposal for a regulation Part 2 – article 24 – paragraph 1

#### *Text proposed by the Commission*

1. Each programme shall set out a strategy for the programme's contribution to the Union strategy for smart, sustainable and inclusive growth consistent with the ***Common Strategic Framework*** and Partnership Contract. Each programme shall include the arrangements to ensure effective, efficient and coordinated implementation of the ***CSF*** Funds and actions to achieve a reduction of administrative burden for beneficiaries.

#### *Amendment*

1. Each programme shall set out a strategy for the programme's contribution to the Union strategy for smart, sustainable and inclusive growth consistent with the ***provisions set out in this Regulation and with the content of the Partnership Contract***. Each programme shall include the arrangements, ***for example in terms of competitive procedures***, to ensure effective, efficient and coordinated implementation of the Funds ***covered by the CPR*** and actions to achieve a reduction of administrative burden for beneficiaries.

## Amendment 64

### Proposal for a regulation Part 2 – article 24 – paragraph 2

#### *Text proposed by the Commission*

2. Each programme shall define priorities

#### *Amendment*

2. Each programme shall define priorities



setting out specific objectives, financial appropriations of support from the **CSF** Funds and corresponding national co-financing.

setting out specific objectives, financial appropriations of support from the Funds ***covered by the CPR*** and ***the*** corresponding ***public and private*** national co-financing.

#### Amendment 65

##### Proposal for a regulation

##### Part 2 – article 24 – paragraph 2 a (new)

*Text proposed by the Commission*

*Amendment*

***2 a. Where Member States and regions participate in macro regional strategies or sea basins strategies, the programme shall be coordinated with these strategies, in accordance with the Partnership Contract.***

#### Amendment 66

##### Proposal for a regulation

##### Part 2 – article 24 – paragraph 3

*Text proposed by the Commission*

*Amendment*

3. Each priority shall set out indicators to assess progress of programme implementation towards achievement of objectives as the basis for monitoring, evaluation and review of performance. These shall include:

- (a) financial indicators relating to expenditure allocated;
- (b) output indicators relating to the operations supported;
- (c) result indicators relating to the priority.

For each **CSF** Fund, the Fund-specific rules shall set out common indicators and may provide for programme-specific

3. Each priority shall set out ***measurable*** indicators to assess progress of programme implementation towards achievement of objectives as the basis for monitoring, evaluation and review of performance. These shall include:

- (a) financial indicators relating to expenditure allocated;
- (b) output indicators relating to the operations supported;
- (c) result indicators relating to the priority;
- (ca) where appropriate, indicators related to the programme's contribution to macro regional strategies and sea basins strategies.***

For each Fund ***covered by the CPR***, the Fund-specific rules shall set out ***provisions related to*** common indicators and may

indicators.

provide for programme-specific indicators.

3

#### Amendment 67

##### Proposal for a regulation Part 2 – article 24 – paragraph 4

*Text proposed by the Commission*

4. Each programme, except those which cover exclusively technical assistance, shall include a description of the actions to take into account the principles set out in Articles 7 and 8.

*Amendment*

4. Each programme, except those which cover exclusively technical assistance, shall ***also include the actions taken to involve the partners referred to in Article 5 at all stages of the preparation, implementation, evaluation and monitoring of the programme, and*** a description of the actions to take into account the principles set out in Articles 7 and 8.

#### Amendment 68

##### Proposal for a regulation Part 2 – article 24 – paragraph 5

*Text proposed by the Commission*

5. Each programme, except those where technical assistance is undertaken under a specific programme, shall set out the indicative amount of support to be used for climate change objectives.

*Amendment*

5. Each programme, except those where technical assistance is undertaken under a specific programme, shall set out the indicative amount of support to be used for climate change objectives, ***based on the categories of interventions in the respective programme.***

#### Amendment 69

##### Proposal for a regulation Part 2 – article 25 – paragraph 1

*Text proposed by the Commission*

1. The Commission shall assess the consistency of programmes with this Regulation, the Fund-specific rules, their effective contribution to the thematic

*Amendment*

1. The Commission shall assess the consistency of programmes ***and their coherence with the provisions set out in this Regulation (Annex X, CSF),*** the Fund-

objectives and the Union priorities specific to each *CSF* Fund, *the Common Strategic Framework*, the Partnership Contract, *the country-specific recommendations under Article 121(2) of the Treaty and the Council recommendations adopted under 148(4) of the Treaty*, taking account of the *ex ante* evaluation. The assessment shall address, in particular, the adequacy of the programme strategy, the corresponding objectives, indicators, targets and the allocation of budgetary resources.

specific rules, their effective contribution to the thematic objectives and the Union priorities specific to each Fund *covered by the CPR, with the content of* the Partnership Contract, *the National Reform Programmes*, taking account of the *ex ante* evaluation. The assessment shall address, in particular, the adequacy of the programme strategy, the corresponding objectives, indicators, targets and the allocation of budgetary resources.

#### Amendment 70

##### Proposal for a regulation

##### Part 2 – article 25 – paragraph 2

*Text proposed by the Commission*

2. The Commission shall make observations within *three* months of the date of submission of the programme. The Member State shall provide to the Commission all necessary additional information and, where appropriate, revise the proposed programme.

*Amendment*

2. The Commission shall make observations within *two* months of the date of submission of the programme. The Member State shall provide to the Commission all necessary additional information and, where appropriate, revise the proposed programme *within two months*.

#### Amendment 71

##### Proposal for a regulation

##### Part 2 – article 25 – paragraph 3

*Text proposed by the Commission*

3. In accordance with the Fund-specific rules, the Commission shall approve each programme no later than *six* months following its formal submission by the Member State(s), provided that any observations made by the Commission have been satisfactorily taken into account, but not before 1 January 2014 or before adoption by the Commission of a decision approving the Partnership Contract.

*Amendment*

3. In accordance with the Fund-specific rules, the Commission shall approve each programme no later than *five* months following its formal submission by the Member State(s), provided that any observations made by the Commission have been satisfactorily taken into account, but not before 1 January 2014 or before adoption by the Commission of a decision approving the Partnership Contract.

## Amendment 72

### Proposal for a regulation

#### Part 2 – article 26 – paragraph 1

##### *Text proposed by the Commission*

1. Requests for amendment of programmes submitted by a Member State shall be duly substantiated and shall in particular set out the expected impact of the changes to the programme on achieving the Union strategy for smart, sustainable and inclusive growth and the specific objectives defined in the programme, taking account of the **Common Strategic Framework** and the Partnership Contract. They shall be accompanied by the revised programme and, where appropriate, a revised Partnership Contract.

In the case of amendment of programmes under the European territorial cooperation goal, the relevant Partnership Contract shall not be amended.

##### *Amendment*

1. Requests for amendment of programmes submitted by a Member State shall be duly substantiated and shall in particular set out the expected impact of the changes to the programme on achieving the Union strategy for smart, sustainable and inclusive growth, **sustainable development** and the specific objectives defined in the programme, taking account of **this Regulation** and the Partnership Contract. They **shall be prepared in accordance with the Article 5 and** shall be accompanied by the revised programme and, where appropriate, a revised Partnership Contract.

In the case of amendment of programmes under the European territorial cooperation goal, the relevant Partnership Contract shall not be amended.

## Amendment 73

### Proposal for a regulation

#### Part 2 – article 26 – paragraph 2

##### *Text proposed by the Commission*

2. The Commission shall assess the information provided in accordance with paragraph 1, taking account of the justification provided by the Member State. The Commission may make observations and the Member State shall provide to the Commission all necessary additional information. In accordance with Fund-specific rules, the Commission shall approve requests for amendment of a programme no later than **five** months after their formal submission by the Member

##### *Amendment*

2. The Commission shall assess the information provided in accordance with paragraph 1, taking account of the justification provided by the Member State. The Commission may make observations and the Member State shall provide to the Commission all necessary additional information. In accordance with Fund-specific rules, the Commission shall approve requests for amendment of a programme no later than **two** months after their formal submission by the Member

State provided that any observations made by the Commission have been satisfactorily taken into account. The Commission shall, where necessary, amend at the same time the decision approving the Partnership Contract in accordance with Article 15(3).

State provided that any observations made by the Commission have been satisfactorily taken into account. The Commission shall, where necessary, amend at the same time the decision approving the Partnership Contract in accordance with Article 15(3).

#### Amendment 74

##### Proposal for a regulation

##### Part 2 – article 27 – paragraph 3

###### *Text proposed by the Commission*

3. The Commission may request the EIB to examine the technical quality and economic **and** financial viability of major projects and to assist it as regards the financial instruments to be implemented or developed.

###### *Amendment*

3. The Commission may request the EIB to examine the technical quality and economic, financial viability **and sustainability** of major projects and to assist it as regards the financial instruments to be implemented or developed.

#### Amendment 75

##### Proposal for a regulation

##### Part 2 – article 28 – paragraph 1

###### *Text proposed by the Commission*

1. Community-led local development, which is designated as LEADER local development in relation to the EAFRD, shall be:

(a) focused on specific sub-regional territories;

(b) community-led, by local action groups composed of representatives of public and private local socio-economic interests, where at the decision-making level neither the public sector nor any single interest group shall represent more than 49 % of the voting rights;

###### *Amendment*

1. Community-led local development, which is designated as LEADER local development in relation to the EAFRD, shall be:

(a) focused on specific sub-regional territories, **defined by the Member States in accordance with their specific territorial units, after consultation with the relevant partners;**

(b) community-led, by local action groups composed of representatives of public and private local socio-economic interests, **open to civil society participation**, where at the decision-making level neither the public sector nor any single interest group shall represent more than 49 % of the voting rights;

(c) carried out through integrated and multi-sectoral area-based local development strategies;

(d) designed taking into consideration local needs and potential, and include innovative features in the local context, networking and, where appropriate, cooperation.

(c) carried out through integrated and multi-sectoral area-based local development strategies ***taking into account the long term effects***;

(d) designed ***by*** taking into consideration local needs and potential, and include innovative and socio-cultural features in the local context, networking and, where appropriate, ***territorial*** cooperation.

#### Amendment 76

##### Proposal for a regulation Part 2 – article 28 – paragraph 2

*Text proposed by the Commission*

2. Support from the **CSF** Funds to local development shall be consistent and coordinated between the **CSF Funds**. This shall be ensured inter alia through coordinated capacity-building, selection, approval and funding of local development strategies and local development groups.

*Amendment*

2. Support from the Funds ***covered by the CPR*** to local development shall be consistent and coordinated between ***them***. This shall be ensured inter alia through coordinated capacity-building, selection, approval and funding of local development strategies and local development groups.

#### Amendment 77

##### Proposal for a regulation Part 2 – article 28 – paragraph 3

*Text proposed by the Commission*

3. Where the selection committee for the local development strategies set up under Article 29(3) determines that the implementation of the local development strategy selected requires support from more than one Fund, a lead Fund ***may be designated***.

*Amendment*

3. Where the selection committee for the local development strategies set up under Article 29(3) determines that the implementation of the local development strategy selected requires support from more than one Fund, ***the committee may designate*** a lead Fund.

## Amendment 78

## Proposal for a regulation

## Part 2 – article 28 – paragraph 5

*Text proposed by the Commission*

5. Local development supported by the **CSF** Funds shall be carried out under one or more priorities of the programme.

*Amendment*

5. Local development supported by the Funds ***covered by the CPR*** shall be carried out under one or more ***programmes, and one or more*** priorities of the programme.

## Amendment 79

## Proposal for a regulation

## Part 2 – article 29 – paragraph 1

*Text proposed by the Commission*

1. A local development strategy shall contain at least the following elements:

(a) the definition of the area and population covered by the strategy;

(b) an analysis of the development needs and potential of the area, including an analysis of strengths, weaknesses, opportunities and threats;

(c) a description of the strategy and its objectives, ***a description of the*** integrated and innovative character ***of the strategy*** and a hierarchy of objectives, including clear and measurable targets for outputs or results. The strategy shall be coherent with the relevant programmes of all the **CSF** Funds involved;

(d) a description of the process of community involvement in the development of the strategy;

(e) an action plan demonstrating how

*Amendment*

1. A local development strategy shall contain at least the following elements:

(a) the definition of the area and population covered by the strategy, ***depending on the functionality of the respective territories and urban-rural links***;

(b) an analysis of the development needs and potential of the area, including an analysis of strengths, weaknesses, opportunities and threats;

(c) a description of the strategy and its objectives ***with a focus on its*** integrated and innovative character and a hierarchy of objectives, including clear and measurable targets for outputs or results. ***Special emphasis should be put on strategies for peri-urban areas that include both urban and rural stakeholders.*** The strategy shall be coherent with the relevant programmes of ***each of the*** Funds ***covered by the CPR*** involved, ***as well as with the relevant strategies of local public authorities***;

(d) a description of the process of ***local*** community involvement in the development of the strategy;

(e) an action plan demonstrating how

objectives are translated into actions;

(f) a description of the management and monitoring arrangements of the strategy, demonstrating the capacity of the local action group to implement the strategy and a description of specific arrangements for evaluation;

(g) the financial plan of the strategy, including the planned allocation of each of the **CSF** Funds.

objectives are translated into actions;

(f) a description of the management and monitoring arrangements of the strategy, demonstrating the capacity of the local action group to implement the strategy and a description of specific arrangements for **the evaluation by the local action group**;

(g) the financial plan of the strategy, including the planned allocation of each of the Funds **covered by the CPR, with the explicit reference to the allocation for Integrated Territorial Investments and Joint Action Plans, where appropriate**;

**(ga) capacity building measures foreseen for local actors and partners involved in the preparation and implementation of projects.**

## Amendment 80

### Proposal for a regulation

#### Part 2 – article 29 – paragraph 2

*Text proposed by the Commission*

2. Member States shall define criteria for the selection of local development strategies. **The** Fund-specific rules may set out selection criteria.

*Amendment*

2. Member States, **in agreement with partners as defined in Article 5(1)** shall define criteria for the selection of local **sustainable** development strategies. The Fund-specific rules may set out **minimum requirements for** selection criteria.

## Amendment 81

### Proposal for a regulation

#### Part 2 – article 29 – paragraph 3

*Text proposed by the Commission*

3. Local development strategies shall be selected by a committee set up for this purpose by the relevant managing authorities of the programmes.

*Amendment*

3. Local development strategies shall be selected by a committee set up for this purpose by the relevant managing authorities of the programmes; **relevant partners shall be represented in this committee.**



## Amendment 82

## Proposal for a regulation

## Part 2 – article 29 – paragraph 4

*Text proposed by the Commission*

4. The selection and approval of all local development strategies shall be completed by 31 December 2015 at the latest.

*Amendment*

4. The selection and approval of all local **sustainable** development strategies shall be completed by 31 December 2015 at the latest.

## Amendment 83

## Proposal for a regulation

## Part 2 – article 29 – paragraph 5

*Text proposed by the Commission*

5. The decision **to approve** a local development strategy by the managing **authority** shall set out the allocations of each **CSF** Fund. It shall also set out the roles of the authorities responsible for the implementation of the relevant programmes for all implementation tasks relating to the strategy.

*Amendment*

5. The decision, **by the relevant** managing **authorities of the programmes, with regard to approval of** a local development **strategy**, shall set out the allocations of each Fund **covered by the CPR**. It shall also set out the roles of the **local** authorities responsible for the implementation of the relevant programmes for all implementation tasks relating to the strategy.

## Amendment 84

## Proposal for a regulation

## Part 2 – article 29 – paragraph 6

*Text proposed by the Commission*

6. The Commission shall be empowered to adopt delegated acts in accordance with Article 142 concerning the definition of the area and population covered by the strategy referred in paragraph 1(a).

*Amendment*

6. The Commission shall be empowered to adopt delegated acts in accordance with Article 142 concerning the **criteria for the** definition of the area and population covered by the strategy referred **to** in paragraph 1(a).

## Amendment 85

### Proposal for a regulation Part 2 – article 30 – paragraph 1

#### *Text proposed by the Commission*

1. Local action groups shall design and implement the local development strategies.

**Member States** shall define the respective roles of the local action **group** and the authorities responsible for the implementation of the relevant programmes, for all implementation tasks relating to the strategy.

#### *Amendment*

1. Local action groups shall design and implement the local development strategies.

**The relevant managing authorities** shall define the respective roles of the local action **groups** and the authorities responsible for the implementation of the relevant programmes, for all implementation tasks relating to the strategy.

## Amendment 86

### Proposal for a regulation Part 2 – article 30 – paragraph 2

#### *Text proposed by the Commission*

2. The managing **authority** shall ensure that the local action groups either select one partner within the group as a lead partner in administrative and financial matters, or come together in a legally constituted common structure.

#### *Amendment*

2. The **relevant managing authorities** shall ensure that the local action groups either select one partner within the group as a lead partner in administrative and financial matters, or come together in a legally constituted common **public** structure. ***In either case, the respective managing authority shall assess the competency as regards programme management.***

## Amendment 87

### Proposal for a regulation Part 2 – article 30 – paragraph 3

#### *Text proposed by the Commission*

3. The tasks of local action groups shall include the following:

(a) building the capacity of local actors to

#### *Amendment*

3. The tasks of local action groups shall include, ***inter alia***, the following:

(a) building the capacity of local actors to develop and implement operations ***and***

develop and implement operations;

(b) drawing up a non-discriminatory and transparent selection procedure and criteria for the selection of operations, which avoid conflicts of interest, that shall ensure that at least 50% of the votes in selection decisions are from the non public sector partners, providing for the possibility of appeal against selection decisions and allowing selection by written procedure;

(c) ensuring coherence with the local development strategy when selecting operations, by prioritising them according to their contribution to meeting the strategies' objectives and targets;

(d) preparing and publishing calls for proposals or an ongoing project submission procedure, including definition of selection criteria;

(e) receiving applications for support and assessing them;

(f) selecting operations and fixing the amount of support and, where relevant, presenting the proposals to the responsible body for final verification of eligibility before approval;

(g) monitoring the implementation of the local development strategy and the operations supported and carrying out specific evaluation activities linked to the local development strategy.

*foster their project and programme management capabilities;*

(b) drawing up a non-discriminatory and transparent selection procedure and criteria for the selection of operations, which avoid conflicts of interest, that shall ensure that at least 50 % of the votes in selection decisions are from the non public sector partners, ***namely private partners and NGOs***, providing for the possibility of appeal against selection decisions and allowing selection by written procedure;

(c) ensuring coherence with the local development strategy when selecting operations, ***and eligible investments*** by prioritising them according to their contribution to meeting the strategies' objectives ***action plan*** and targets;

(d) preparing and publishing calls for proposals or an ongoing project submission procedure, including definition of selection criteria;

(e) receiving applications for support and assessing them;

(f) selecting operations and fixing the amount of support and, where relevant, presenting the proposals to the responsible body for final verification of eligibility before approval;

(g) monitoring the implementation of the local development strategy and the operations supported and carrying out specific evaluation activities linked to the local development strategy.

## Amendment 88

### Proposal for a regulation Part 2 – article 31

#### *Text proposed by the Commission*

Support from the **CSF** Funds for local development

Support for local development shall

#### *Amendment*

Support from the Funds ***covered by the CPR*** for ***community-led*** local development

Support for ***community-led*** local

include:

(a) the costs of preparatory support;

(b) implementation of operations under the local development strategy;

(c) preparation and implementation of cooperation activities of the local action group;

(d) running costs and animation of the local development strategy up to the limit of 25 % of the total public expenditure incurred within the local development strategy.

development shall include:

(a) the costs of preparatory support *for local development strategies, including support for the local action groups whose local development strategies will not be selected for funding by the selection committee set up under Article 29(3);*

(b) implementation of operations under the local development strategy;

(c) preparation and implementation of cooperation activities of the local action group;

(d) running costs and animation of the local development strategy up to the limit of 25 % of the total public expenditure incurred within the local development strategy;

*(da) capacity building measures for the local actors involved in the local development strategy as regards planning, designing and implementing programmes.*

## Amendment 89

### Proposal for a regulation

#### Part 2 – article 42 – paragraph 1

*Text proposed by the Commission*

1. The monitoring committee shall be composed of representatives of the managing authority and intermediate bodies and of representatives of the partners. Each member of the monitoring committee shall have a voting right.

The monitoring committee of a programme under the European territorial cooperation goal shall also include representatives of any third country participating in that programme.

*Amendment*

1. The monitoring committee shall be composed of representatives of the managing authority and intermediate bodies and of representatives of the **relevant partners involved, in accordance with Article 5. Partners delegated to be part of the monitoring committee shall be selected through transparent processes.** Each member of the monitoring committee shall have a voting right.

The monitoring committee of a programme under the European territorial cooperation goal shall also include representatives of any third country participating in **and making a financial contribution to** that programme **and may include representatives of the EGTC in the**

**Amendment 90****Proposal for a regulation****Part 2 – article 42 – paragraph 1 a (new)***Text proposed by the Commission**Amendment****1a. The list of members of the monitoring committee shall be published.*****Amendment 91****Proposal for a regulation****Part 2 – article 43 – paragraph 2***Text proposed by the Commission**Amendment*

2. The monitoring committee shall examine in detail all issues that affect the performance of the programme.

2. The monitoring committee shall examine in detail all issues that affect the performance of the programme, ***as well as the conclusions of the performance reviews.***

**Amendment 92****Proposal for a regulation****Part 2 – article 43 – paragraph 4***Text proposed by the Commission**Amendment*

4. The monitoring committee may issue recommendations to the managing authority regarding implementation of the programme and ***its evaluation***. It shall monitor actions taken as a result of its recommendations.

4. The monitoring committee may issue recommendations to the managing authority regarding implementation of the programme and ***ways of reducing the administrative burden on beneficiaries***. It shall monitor actions taken as a result of its recommendations.

**Amendment 93****Proposal for a regulation****Part 2 – article 43 – paragraph 4 a (new)**

**4a. The monitoring committee shall be involved in the preparation of the annual implementation reports of the programmes referred to in Article 44 and the progress reports referred to in Article 46.**

## Amendment 94

### Proposal for a regulation Part 2 – article 44 – paragraph 2

*Text proposed by the Commission*

2. Annual implementation reports shall set out information on implementation of the programme and its priorities by reference to the financial data, common and programme-specific indicators and quantified target values, including changes in result indicators, and the milestones defined in the performance framework. The data transmitted shall relate to values for indicators for fully implemented operations and also for **selected** operations. They shall also set out actions taken to fulfil the *ex ante* conditionalities and any issues which affect the performance of the programme, and the corrective measures taken.

*Amendment*

2. Annual implementation reports shall set out **basic** information on implementation of the programme and its priorities by reference to the financial data, common and programme-specific indicators and quantified target values, including changes in result indicators, and the milestones defined in the performance framework. The data transmitted shall relate to values for indicators for fully implemented operations and also for operations **which have been selected for support under a programme**.

They shall also set out actions taken to fulfil the **relevant** *ex ante* conditionalities **in accordance with Article 17, to ensure the simplification of the administrative procedures** and any issues which affect the performance of the programme, and the corrective measures taken. **Where appropriate, a report, carried out in accordance with Article 40, covering the operations comprising financial instruments shall be annexed to annual implementation reports.**

## Amendment 95

## Proposal for a regulation

## Part 2 – article 44 – paragraph 3

*Text proposed by the Commission*

3. The annual implementation report submitted in 2017 shall set out and assess the information set out in paragraph 2 and progress towards achieving the objectives of the programme, including the contribution of the **CSF** Funds to changes in result indicators, when evidence is available from evaluations. It shall also assess the implementation of actions to take into account the principles set out in Articles 6, 7 and 8 and report on support used for climate change targets.

*Amendment*

3. The annual implementation report submitted in 2017 shall set out and assess the information set out in paragraph 2 and **any** progress towards achieving the objectives of the programme, including the contribution of the Funds **covered by the CPR** to changes in result indicators, when evidence is available from evaluations. It shall also assess the implementation of actions to take into account the principles set out in Articles 6, 7 and 8 and report on support used for climate change targets **and where appropriate the actions taken to reduce poverty.**

## Amendment 96

## Proposal for a regulation

## Part 2 – article 44 – paragraph 3 a (new)

*Text proposed by the Commission**Amendment*

**3a. The annual implementation report shall assess the role of the partners referred to in Article 5 in the implementation of the programme.**

## Amendment 97

## Proposal for a regulation

## Part 2 – article 44 – paragraph 6

*Text proposed by the Commission**Amendment*

6. The Commission shall examine the annual implementation **report** and inform the Member State of its observations within two months of the receipt of the annual implementation report and within 5 months of receipt of the final report. Where

6. The Commission shall examine the annual **and the final** implementation **reports** and inform the Member State of its observations within two months of the receipt of the annual implementation report and within 5 months of receipt of the final

the Commission does not provide observations within these deadlines, the reports shall be deemed to be accepted.

report. Where the Commission does not provide observations within these deadlines, the reports shall be deemed to be accepted.

#### Amendment 98

##### Proposal for a regulation

##### Part 2 – article 44 – paragraph 7

###### *Text proposed by the Commission*

7. The Commission may issue recommendations to address any issues which affect the implementation of the programme. Where such recommendations are made, the managing authority shall inform the Commission within three months of the corrective measures taken.

###### *Amendment*

7. The Commission may issue recommendations to address any issues which affect the **management and** implementation of the programme. Where such recommendations are made, the managing authority shall inform the Commission within three months of the corrective measures taken.

#### Amendment 99

##### Proposal for a regulation

##### Part 2 – article 44 – paragraph 8

###### *Text proposed by the Commission*

8. **A citizen's summary of the contents of** the annual and the final implementation reports shall be made public.

###### *Amendment*

8. The annual and the final implementation reports, **as well as a citizen's summary of their content** shall be made public.

#### Amendment 100

##### Proposal for a regulation

##### Part 2 – article 45 – paragraph 5

###### *Text proposed by the Commission*

5. The Member State shall ensure that appropriate follow-up is given to any comments of the Commission **following the meeting**.

###### *Amendment*

5. The Member State shall ensure that appropriate follow-up is given to any comments of the Commission **within three months**.



*Text proposed by the Commission*

2. The progress report shall set out information on and assess:

(a) changes in the development needs in the Member State since the adoption of the Partnership Contract;

(b) progress towards achievement of the Union strategy for smart, sustainable and inclusive growth, in particular in respect of the milestones set out for each programme in the performance framework and the support used for climate change objectives;

(c) whether the actions taken to fulfil *ex ante* conditionalities not fulfilled at the date of adoption of the Partnership Contract have been implemented in accordance with the timetable established;

(d) implementation of mechanisms to ensure coordination between the **CSF** Funds and other Union and national funding instruments and with the EIB;

(e) progress towards achievement of priority areas established for cooperation;

(f) actions taken to reinforce the capacity of the Member State authorities and, where appropriate, beneficiaries to administer and use the **CSF** Funds;

(g) actions planned and corresponding targets in the programmes to achieve a reduction in the administrative burden for beneficiaries;

(h) the role of the partners referred in Article 5 in the implementation of the Partnership Contract.

*Amendment*

2. The progress report shall set out information on and assess:

(a) changes in the development needs in the Member State since the adoption of the Partnership Contract;

(b) progress towards achievement of the Union strategy for smart, sustainable and inclusive growth, **and towards reducing regional disparities**, in particular in respect of the **measurable** milestones set out for each programme in the performance framework and the support used for climate change, **resource efficiency and biodiversity** objectives, **as well as for reducing poverty, where applicable**;

(c) whether the actions taken to fulfil *ex ante* conditionalities not fulfilled at the date of adoption of the Partnership Contract have been implemented in accordance with the timetable established;

(d) implementation of mechanisms to ensure coordination between the Funds **covered by the CPR** and other Union and national funding instruments and with the EIB;

(e) progress towards achievement of priority areas established for cooperation;

(f) actions taken to reinforce the capacity of the Member State authorities and, where appropriate, beneficiaries to administer and use the Funds **covered by the CPR**;

(g) actions planned and corresponding targets in the programmes to achieve a reduction in the administrative burden for beneficiaries;

(h) the role of the partners referred in Article 5 **and an assessment of this partnership** in the implementation of the

*(ha) constraints met in the implementation of the Funds covered by the CPR.*

#### Amendment 102

##### Proposal for a regulation

##### Part 2 – article 46 – paragraph 4

###### *Text proposed by the Commission*

4. In 2017 and 2019, the Commission shall prepare a strategic report summarising the progress reports of the Member States, which it shall submit to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.

###### *Amendment*

4. In 2017 and 2019, the Commission shall prepare a strategic report summarising the progress reports of the Member States, which ***by 31 December 2017 and 31 December 2019, respectively,*** it shall submit to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions.

#### Amendment 103

##### Proposal for a regulation

##### Part 2 – article 46 – paragraph 5

###### *Text proposed by the Commission*

5. In 2018 and 2020, the Commission shall include in its Annual Progress Report to the spring meeting of the European Council a section summarising the strategic report, in particular with regard to progress made towards Union strategy for smart, sustainable and inclusive growth.

###### *Amendment*

5. In 2018 and 2020, the Commission shall include in its Annual Progress Report to the spring meeting of the European Council a section summarising the strategic report, in particular with regard to progress made towards ***the*** Union strategy for smart, sustainable and inclusive growth, ***and the Flagship Initiatives established under that strategy.***

## Amendment 104

## Proposal for a regulation

## Part 2 – article 47 – paragraph 1

*Text proposed by the Commission*

1. Evaluations shall be carried out to improve the quality of the design and implementation of programmes, as well as to assess their effectiveness, efficiency and impact. **Impact** of programmes shall be evaluated in accordance with the mission of the respective **CSF** Funds in relation to the targets for the Union strategy for smart, sustainable and inclusive growth as well as in relation to Gross Domestic Product (GDP) and unemployment, where appropriate.

*Amendment*

1. Evaluations shall be carried out to improve the quality of the design and implementation of programmes, as well as to assess their effectiveness, efficiency and impact. **In addition, the impact** of programmes shall be evaluated in accordance with the mission of the respective Funds **covered by the CPR** in relation to the targets for the Union strategy for smart, sustainable and inclusive growth<sup>1</sup> as well as in relation to Gross Domestic Product (GDP), **regional and local needs, climate targets** and unemployment, where appropriate.

## Amendment 105

## Proposal for a regulation

## Part 2 – article 47 – paragraph 2

*Text proposed by the Commission*

2. Member States shall provide the resources necessary for carrying out evaluations, **and** shall ensure that procedures are in place to produce and collect the data necessary for evaluations, including data related to common and where appropriate programme-specific indicators.

*Amendment*

2. Member States shall provide the resources necessary for carrying out evaluations, **in accordance with point (e) of Article 51(1). Member States** shall ensure that procedures are in place to produce and collect the data necessary for evaluations, including data related to common and where appropriate programme-specific indicators.

## Amendment 106

## Proposal for a regulation

## Part 2 – article 47 – paragraph 3

*Text proposed by the Commission*

3. Evaluations shall be carried out by

*Amendment*

3. Evaluations shall be carried out by

experts that are functionally independent of the authorities responsible for programme implementation. The Commission shall provide guidance on how to carry out evaluations.

experts that are functionally independent of the authorities responsible for programme implementation. The Commission shall provide guidance on how to carry out evaluations, ***immediately following the entry into force of this Regulation.***

## Amendment 107

### Proposal for a regulation Part 2 – article 48 – paragraph 3

#### *Text proposed by the Commission*

3. *Ex ante* evaluations shall appraise:

(a) the contribution to the Union strategy for smart, sustainable and inclusive growth, having regard to the selected thematic objectives and priorities, taking into account national and regional needs;

(b) the internal coherence of the proposed programme or activity and its relation with other relevant instruments;

(c) the consistency of the allocation of budgetary resources with the objectives of the programme;

(d) the consistency of the selected thematic objectives, the priorities and corresponding objectives of the programmes with ***the Common Strategic Framework***, the Partnership Contract and the ***country-specific recommendations under Article 121(2) of the Treaty and the Council recommendations adopted under Article 148(4) of the Treaty***;

(e) the relevance and clarity of the proposed programme indicators;

(f) how the expected outputs will contribute to results;

(g) whether the quantified target values for indicators are realistic, having regard to the

#### *Amendment*

3. *Ex ante* evaluations shall appraise:

(a) the contribution to the Union strategy for smart, sustainable and inclusive growth, having regard to the selected thematic objectives and priorities, ***while*** taking into account national and regional needs, ***and ensuring a balance between those needs and Union objectives, including in terms of cohesion***;

(b) the internal coherence of the proposed programme or activity and its relation with other relevant instruments;

(c) the consistency of the allocation of budgetary resources with the objectives of the programme;

(d) the consistency of the selected thematic objectives, the priorities and corresponding objectives of the programmes with ***this Regulation***, the Partnership Contract and the ***National Reform Programmes***;

(e) the relevance and clarity of the proposed programme indicators;

(f) how the expected outputs will contribute to results;

(g) whether the quantified target values for indicators are realistic, having regard to the

support from the **CSF** Funds envisaged;

(h) the rationale for the form of support proposed;

(i) the adequacy of human resources and administrative capacity for management of the programme;

(j) the suitability of the procedures for monitoring the programme and for collecting the data necessary to carry out evaluations;

(k) the suitability of the milestones selected for the performance framework;

(l) the adequacy of planned measures to promote equal opportunities between men and women and to **prevent** discrimination;

(m) the **adequacy of** planned measures to promote sustainable development.

support from the Funds **covered by the CPR** envisaged;

(h) the rationale for the form of support proposed;

(i) the adequacy of human resources and administrative capacity for management of the programme;

(j) the suitability of the procedures for monitoring the programme and for collecting the data necessary to carry out evaluations;

(k) the suitability of the milestones selected for the performance framework;

(l) the adequacy of **any** planned measures to promote equal opportunities between men and women and to **combat** discrimination, **including measures to remove barriers to accessibility for persons with disabilities**;

(m) the planned measures to promote sustainable development;

**(ma) measures taken to involve partners in accordance with Article 4(4) and Article 5;**

**(mb) measures aimed at simplifying the administrative procedures.**

## Amendment 108

### Proposal for a regulation

#### Part 2 – article 48 – paragraph 4

##### *Text proposed by the Commission*

4. The *ex ante* evaluation shall incorporate, where appropriate, the requirements for Strategic Environmental Assessment set out in implementation of Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment<sup>1</sup>.

##### *Amendment*

4. The *ex ante* evaluation shall incorporate, where appropriate, the requirements for Strategic Environmental Assessment set out in implementation of Directive 2001/42/EC of the European Parliament and of the Council of 27 June 2001 on the assessment of the effects of certain plans and programmes on the environment<sup>1</sup> **and shall include climate change mitigation assessments of the programmes.**

**Amendment 109****Proposal for a regulation****Part 2 – article 49 – paragraph 3***Text proposed by the Commission*

3. During the programming period, managing authorities shall **carry out** evaluations including evaluations to assess effectiveness, efficiency and impact, for each programme on the basis of the evaluation plan. At least once during the programming period, an evaluation shall assess how support from the **CSF** Funds has contributed to the objectives for each priority. All evaluations shall be examined by the monitoring committee and sent to the Commission.

*Amendment*

3. During the programming period, managing authorities shall **ensure that** evaluations **are carried out**, including evaluations to assess effectiveness, efficiency and impact, for each programme on the basis of the evaluation plan. At least once during the programming period, an evaluation shall assess how support from the Funds **covered by the CPR** has contributed to the objectives for each priority. All evaluations shall be examined by the monitoring committee and sent to the Commission.

**Amendment 110****Proposal for a regulation****Part 2 – article 49 – paragraph 3 a (new)***Text proposed by the Commission**Amendment*

**3a. In line with the findings of the evaluation referred to in paragraph 3, managing authorities shall make the necessary changes and improvements to each programme's mechanisms and implementing procedures.**

**Amendment 111****Proposal for a regulation****Part 2 – article 50***Text proposed by the Commission**Amendment**Ex post evaluation**Ex post evaluation*

The *ex post* evaluations shall be carried out by the Commission or by the Member States, in close cooperation. *Ex post* evaluations shall examine the effectiveness and efficiency of the **CSF** Funds and their contribution to the Union strategy for smart, sustainable and inclusive growth in accordance with specific requirements established in *the* Fund-specific rules. *Ex post* evaluations shall be completed by 31 December 2023.

The *ex post* evaluations shall be carried out by the Commission or by the Member States, in close cooperation. *Ex post* evaluations shall examine the effectiveness and efficiency of the Funds **covered by the CPR** and their contribution to the Union strategy for smart, sustainable and inclusive growth in accordance with *the relevant flagships targets, the contribution to addressing regional and local needs, as well as* specific requirements established in the Fund-specific rules. *Ex post evaluations shall also contain, where appropriate, an assessment of the constraints upon the implementation of the programmes for the Funds covered by the CPR. Ex post* evaluations shall be completed by 31 December 2023. *For each Fund of the Funds covered by the CPR, the Commission shall prepare yearly synthesis and evaluation reports summarising ex-post evaluations, which it shall submit to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions. Such synthesis and evaluation reports shall be completed by 30 June 2024.*

## Amendment 112

### Proposal for a regulation

#### Part 2 – article 51 – paragraph 1

##### *Text proposed by the Commission*

1. At the initiative of, or on behalf of the Commission, the **CSF** Funds may support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing this Regulation.

Those measures may include but not limited to:

##### *Amendment*

1. At the initiative of, or on behalf of the Commission, the Funds **covered by the CPR** may support the preparatory, monitoring, administrative and technical assistance, evaluation, audit and control measures necessary for implementing this Regulation.

Those measures may include but not limited to:

- (a) assistance for project preparation and appraisal, including with the EIB;
- (b) support for institutional strengthening and administrative capacity-building for the effective management of the **CSF** Funds;
- (c) studies linked to the Commission's reporting on the **CSF** Funds and the cohesion report;
- (d) measures related to the analysis, management, monitoring, information exchange and implementation of the **CSF** Funds, as well as measures relating to the implementation of control systems and technical and administrative assistance;
- (e) evaluations, expert reports, statistics and studies, including those of a general nature, concerning the current and future operation of the **CSF** Funds, which may be carried out where appropriate by the EIB;
- (f) actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries. To bring about greater efficiency in communication to the public at large and stronger synergies between the communication activities undertaken at the initiative of the Commission, the resources allocated to communication actions under this Regulation shall also contribute to the corporate communication of the political priorities of the European Union **as far as they** are related to the general objectives of this Regulation;
- (g) the installation, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation;

- (a) assistance for project preparation and appraisal, including with the EIB;
- (b) support for institutional strengthening and administrative capacity-building for the effective management of the Funds **covered by the CPR**;
- (c) studies linked to the Commission's reporting on the Funds **covered by the CPR** and the cohesion report;
- (d) measures related to the analysis, management, monitoring, information exchange and implementation of the Funds **covered by the CPR**, as well as measures relating to the implementation of control systems and technical and administrative assistance;
- (e) evaluations, expert reports, statistics and studies, including those of a general nature, concerning the current and future operation of the Funds **covered by the CPR**, which may be carried out where appropriate by the EIB;
- (f) actions to disseminate information, support networking, carry out communication activities, raise awareness and promote cooperation and exchange of experience, including with third countries. To bring about greater efficiency in communication to the public at large and stronger synergies between the communication activities undertaken at the initiative of the Commission, the resources allocated to communication actions under this Regulation shall also contribute to **covering** the corporate communication of the political priorities of the European Union **provided that such priorities** are related to the general objectives of this Regulation;
- (g) the installation, operation and interconnection of computerised systems for management, monitoring, audit, control and evaluation;



(h) actions to improve evaluation methods and the exchange of information on evaluation practices;

(i) actions related to audit;

(j) the strengthening of national and regional capacity regarding investment planning, needs assessment, preparation, design and implementation of financial instruments, joint action plans and major projects, including joint initiatives with the EIB.

(h) actions to improve evaluation methods and the exchange of information on evaluation practices;

(i) actions related to audit;

(j) the strengthening of national and regional capacity regarding investment planning, needs assessment, preparation, design and implementation of financial instruments, joint action plans and major projects, including joint initiatives with the EIB;

***(ja) the strengthening of the capacity and competence of the partners referred to in Article 5 and their umbrella organizations, including their continuous training and the formation of project managers or administrators; assistance may also include exchanges of views and cooperation between partners working on specific areas in existing and new thematic networks.***

## Amendment 113

### Proposal for a regulation

#### Part 2 – article 52 – paragraph 1

##### *Text proposed by the Commission*

1. At the initiative of a Member State, the **CSF** Funds may support actions for preparation, management, monitoring, evaluation, information and communication, networking, complaint resolution, and control and audit. The **CSF** Funds may be used by the Member State to support actions for the reduction of administrative burden for beneficiaries, including electronic data exchange systems, and actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the **CSF** Funds. These actions may concern preceding and subsequent programming periods.

##### *Amendment*

1. At the initiative of a Member State, the Funds ***covered by the CPR*** may support actions for ***capacity building*** preparation, management, monitoring, evaluation, information and communication, networking, complaint resolution, and control and audit. The Funds ***covered by the CPR*** may be used by the Member State to support actions for the reduction of administrative burden for beneficiaries, including electronic data exchange systems, and actions to reinforce the capacity of Member State authorities, ***including local and regional public authorities*** and beneficiaries to administer and use the Funds ***covered by the CPR***. These actions may concern preceding and

subsequent programming periods. *The technical assistance resources may also contribute to capacity building for the partners referred to in Article 5, and thereby give them the opportunity to fulfil their responsibilities. The exchange of views and best practices amongst local action groups shall be promoted at national level.*

#### Amendment 114

##### Proposal for a regulation Part 2 – article 52 – paragraph 2

*Text proposed by the Commission*

2. The Fund-specific rules may add or exclude actions which may be financed by the technical assistance of each *CSF* Fund.

*Amendment*

2. The Fund-specific rules may add or exclude actions which may be financed by the technical assistance of each Fund *of the Funds covered by the CPR*.

#### Amendment 115

##### Proposal for a regulation Part 2 – article 54 – paragraph 1

*Text proposed by the Commission*

1. Net revenue generated after completion of an operation over a specific reference period shall be determined in advance by one of the following methods:

- (a) application of a flat rate revenue percentage for the type of operation concerned;
- (b) calculation of the current value of the net revenue of the operation, taking into account the application of the polluter-pays principle and, if appropriate, considerations of equity linked to the relative prosperity of the Member State concerned.

The eligible expenditure of the operation to be co-financed shall not exceed the current value of the investment cost of the

*Amendment*

1. Net revenue generated after completion of an operation over a specific reference period shall be determined in advance by one of the following methods:

- (a) application of a flat rate revenue percentage for the type of operation concerned;
- (b) calculation of the current value of the net revenue of the operation, taking into account the application of the polluter-pays principle and, if appropriate, considerations of equity linked to the relative prosperity of the Member State concerned.

The eligible expenditure of the operation to be co-financed shall not exceed the current value of the investment cost of the

operation less the current value of the net revenue, determined according to one of these methods.

The Commission shall be empowered to adopt delegated acts in accordance with Article 142 concerning the definition of the flat rate referred to in point (a) *above*.

*The Commission shall adopt the methodology under point (b) by means of implementing acts in accordance with the examination procedure referred to in Article 143(3).*

#### Amendment 116

##### Proposal for a regulation

##### Part 2 – article 54 – paragraph 3

###### *Text proposed by the Commission*

3. Paragraphs 1 and 2 shall apply only to operations whose total cost exceeds EUR 1 000 000.

#### Amendment 117

##### Proposal for a regulation

##### Part 2 – article 55 – paragraph 1

###### *Text proposed by the Commission*

1. The eligibility of expenditure shall be determined on the basis of national rules, except where specific rules are laid down in or on the basis of this Regulation or the Fund-specific rules.

operation less the current value of the net revenue, determined according to one of these methods.

The Commission shall be empowered to adopt delegated acts in accordance with Article 142 concerning the definition of the flat rate referred to in point (a) *of the first subparagraph and the method referred to in point (b) of the first subparagraph of this paragraph*.

*deleted*

###### *Amendment*

3. Paragraphs 1 and 2 shall apply only to operations whose total *eligible* cost exceeds EUR 1 000 000.

###### *Amendment*

1. The eligibility of expenditure shall be determined on the basis of national rules, except where specific rules are laid down in or on the basis of this Regulation or the Fund-specific rules. *Rules on eligibility of expenditure shall guarantee an equality of treatment between PPP projects and projects under a public management contract.*

**Amendment 118****Proposal for a regulation  
Part 2 – article 55 – paragraph 6***Text proposed by the Commission*

6. Net revenue directly generated by an operation during its implementation which has not been taken into account at the time of approval of the operation, shall be deducted from the eligible expenditure of the operation in the final payment claim submitted by the beneficiary. This rule shall not apply to financial instruments and prizes.

*Amendment*

6. Net revenue directly generated by an operation during its implementation which has not been taken into account at the time of approval of the operation, shall be deducted from the eligible expenditure of the operation **at the latest** in the final payment claim submitted by the beneficiary. This rule shall not apply to financial instruments and prizes.

**Amendment 119****Proposal for a regulation  
Part 2 – article 58***Text proposed by the Commission*

Flat rate financing for indirect costs for grants

Where the implementation of an operation gives rise to indirect costs, they may be calculated as a flat rate in one of the following ways:

- (a) a flat rate of up to **20 %** of eligible direct costs, where the rate is calculated on the basis of a fair, equitable and verifiable calculation method or a method applied under schemes for grants funded entirely by the Member State for a similar type of operation and beneficiary;
- (b) a flat rate of up to **15 %** of eligible direct staff costs;
- (c) a flat rate applied to eligible direct costs based on existing methods and corresponding rates, applicable in Union policies for a similar type of operation and beneficiary.

The Commission shall be empowered to adopt delegated acts in accordance with

*Amendment*

Flat rate financing for indirect costs for grants

Where the implementation of an operation gives rise to indirect costs, they may be calculated as a flat rate in one of the following ways:

- (a) a flat rate of up to **25%** of eligible direct costs, where the rate is calculated on the basis of a fair, equitable and verifiable calculation method or a method applied under schemes for grants funded entirely by the Member State for a similar type of operation and beneficiary;
- (b) a flat rate of up to **20%** of eligible direct staff costs;
- (c) a flat rate applied to eligible direct costs based on existing methods and corresponding rates, applicable in Union policies for a similar type of operation and beneficiary.

The Commission shall be empowered to adopt delegated acts in accordance with

Article 142 concerning the definition of the flat rate and the related methods referred to in point (c) above.

Article 142 concerning the definition of the flat rate and the related methods referred to in point (c) above.

## Amendment 120

### Proposal for a regulation

#### Part 2 – article 59 – paragraph 3

##### *Text proposed by the Commission*

The following costs shall not be eligible for a contribution from the **CSF** Funds:

- (a) interest on debt;
- (b) the purchase of land not built on and land built on **in the amount** exceeding 10% of the total eligible expenditure for the operation concerned. In exceptional and duly justified cases, a higher percentage may be permitted for operations concerning environmental conservation;
- (c) value added tax. However, VAT amounts shall be eligible where they are not recoverable under national VAT legislation **and are paid by a beneficiary other than non-taxable person as defined in the first subparagraph of Article 13(1) of Directive 2006/112/EC, provided that such VAT amounts are not incurred in relation to the provision of infrastructure.**

##### *Amendment*

The following costs shall not be eligible for a contribution from the Funds **covered by the CPR**:

- (a) interest on debt;
- (b) the purchase of land not built on and land built on **equivalent to that of land not built on and** exceeding 10% of the total eligible expenditure for the operation concerned. In exceptional and duly justified cases, a higher percentage may be permitted for operations concerning environmental conservation;
- (c) value added tax. However, VAT amounts shall be eligible where they are not recoverable under national VAT legislation.

## Amendment 121

### Proposal for a regulation

#### Part 2 – article 60 – paragraph 2

##### *Text proposed by the Commission*

2. The managing authority may accept that an operation is implemented outside the programme area but within the Union, provided that all the following conditions are satisfied:

##### *Amendment*

2. The managing authority may accept that an operation is implemented outside the programme area but within the Union, provided that all the following conditions are satisfied:

- (a) the operation is for the benefit of the programme area;
- (b) the total amount allocated under the programme to operations located outside the programme area **does not exceed** 10 % of the support from the ERDF, Cohesion Fund and EMFF at the level of the priority, or 3% of the support from the EAFRD at the level of the programme;
- (c) the monitoring committee has given its agreement to the operation or types of operations concerned;
- (d) the obligations of the authorities for the programme in relation to management, control and audit concerning the operation are fulfilled by the authorities responsible for the programme under which that operation is supported or they enter into agreements with authorities in the area in which the operation is implemented.

- (a) the operation is for the benefit of the programme area;
- (b) the total amount allocated under the programme to operations located outside the programme area **is not less than 1 % but not more than** 10 % of the support from the ERDF, Cohesion Fund and EMFF at the level of the priority, or 4% of the support from the EAFRD at the level of the programme; ***Such amounts may be allocated to actions referred to in points (c) and (v, vi) of Article 87(2);***
- (c) the monitoring committee has given its agreement to the operation or types of operations concerned;
- (d) the obligations of the authorities for the programme in relation to management, control and audit concerning the operation are fulfilled by the authorities responsible for the programme under which that operation is supported or they enter into agreements with authorities in the area in which the operation is implemented.

## Amendment 122

### Proposal for a regulation

#### Part 2 – article 61 – paragraph 1

##### *Text proposed by the Commission*

1. An operation comprising investment in infrastructure or productive investment shall repay the contribution from the **CSF** Funds if within **five** years from the final payment to the beneficiary or within the period of time set out in the State aid rules, where applicable, it is subject to:
- (a) a cessation or relocation of a productive activity;
  - (b) a change in ownership of an item of

##### *Amendment*

1. An operation comprising investment in infrastructure or productive investment shall repay the contribution from the Funds **covered by the CPR** if within **ten** years from the final payment to the beneficiary or within the period of time set out in the State aid rules, where applicable, it is subject to:
- (a) a cessation or relocation of a productive activity ***to another region, Member state or outside the Union; relocation within the same region shall constitute an exception to this rule;***
  - (b) a change in ownership of an item of

infrastructure which gives to a firm or a public body an undue advantage; or

(c) a substantial change affecting its nature, objectives or implementation conditions which would result in undermining its original objectives.

Sums unduly paid in respect of the operation shall be recovered by the Member State.

infrastructure which gives to a firm or a public body an undue advantage; or

(c) a substantial change affecting its nature, objectives or implementation conditions which would result in undermining its original objectives.

Sums unduly paid in respect of the operation shall be recovered by the Member State.

## Amendment 123

### Proposal for a regulation Part 2 – article 62

#### *Text proposed by the Commission*

General principles of management and control systems

Management and control systems shall provide for:

- (a) a description of the functions of each body concerned in management and control, and the allocation of functions within each body;
- (b) compliance with the principle of separation of functions between and within such bodies;
- (c) procedures for ensuring the correctness and regularity of expenditure declared;
- (d) computerised systems for accounting, for the storage and transmission of financial data and data on indicators, for monitoring and for reporting;
- (e) systems for reporting and monitoring where the responsible body entrusts execution of tasks to another body;
- (f) arrangements for auditing the functioning of the management and control systems;

#### *Amendment*

General principles of management and control systems

Management and control systems shall provide for:

- (a) a description of the functions of each body concerned in management and control, and the allocation of functions within each body;
- (b) compliance with the principle of separation of functions between and within such bodies;
- (c) procedures for ensuring the correctness and regularity of expenditure declared ***and respect for the principle of sound financial management;***
- (d) computerised systems for accounting, for the storage and transmission of financial data and data on indicators, for monitoring and for reporting;
- (e) systems for reporting and monitoring where the responsible body entrusts execution of tasks to another body;
- (f) arrangements for auditing the functioning of the management and control systems;

(g) systems and procedures to ensure an adequate audit trail;

(h) the prevention, detection and correction of irregularities, including fraud, and the recovery of amounts unduly paid, together with any interest.

(g) systems and procedures to ensure an adequate audit trail;

(h) the prevention, detection and correction of irregularities, including fraud, and the recovery of amounts unduly paid, together with any interest.

## Amendment 124

### Proposal for a regulation Part 2 – article 65 – paragraph 2

#### *Text proposed by the Commission*

Without prejudice to audits carried out by Member States, Commission officials or authorised Commission representatives may carry out on-the-spot audits or checks upon giving **adequate** prior notice. The scope of such audits or checks may include, in particular, verification of the effective functioning of management and control systems in a programme or a part thereof, operations and assessment of the sound financial management of operations or programmes. Officials or authorised representatives of the Member State may take part in such audits.

Commission officials or authorised Commission representatives, duly empowered to carry out on-the-spot audits, shall have access to all records, documents and metadata, irrespective of the medium in which they are stored, relating to operations supported by the **CSF** Funds or to management and control systems. Member States shall provide copies of such records, documents and metadata to the Commission upon request.

The powers set out in this paragraph shall not affect the application of national provisions which reserve certain acts for agents specifically designated by national legislation. Commission officials and authorised representatives shall not take

#### *Amendment*

Without prejudice to audits carried out by Member States, Commission officials or authorised Commission representatives may carry out on-the-spot audits or checks upon giving prior notice **of minimum of 10 working days, except in urgent cases**. The scope of such audits or checks may include, in particular, verification of the effective functioning of management and control systems in a programme or a part thereof, operations and assessment of the sound financial management of operations or programmes. Officials or authorised representatives of the Member State may take part in such audits.

Commission officials or authorised Commission representatives, duly empowered to carry out on-the-spot audits, shall have access to all records, documents and metadata, irrespective of the medium in which they are stored, relating to operations supported by the Funds **covered by the CPR** or to management and control systems. Member States shall provide copies of such records, documents and metadata to the Commission upon request.

The powers set out in this paragraph shall not affect the application of national provisions which reserve certain acts for agents specifically designated by national legislation. Commission officials and authorised representatives shall not take



part, inter alia, in home visits or the formal questioning of persons within the framework of national legislation. However, they shall have access to the information thus obtained.

part, inter alia, in home visits or the formal questioning of persons within the framework of national legislation. However, they shall have access to the information thus obtained.

#### **Amendment 125**

##### **Proposal for a regulation**

##### **Part 2 – article 66 – paragraph 4**

*Text proposed by the Commission*

*Amendment*

***As regards the performance reserve, budget commitments shall follow the Commission decision approving the amendment of the programme.***

***deleted***

#### **Amendment 126**

##### **Proposal for a regulation**

##### **Part 2 – article 71 a (new)**

*Text proposed by the Commission*

*Amendment*

##### ***Article 71a***

##### ***General principle on the use of pre-financing***

***Pre-financing shall be used only for making payments to beneficiaries in the implementation of the programme. It shall be made available without delay to the responsible body for this purpose.***

#### **Amendment 127**

##### **Proposal for a regulation**

##### **Part 2 – article 72 – paragraph 2**

*Text proposed by the Commission*

*Amendment*

***2. Pre-financing shall be used only for making payments to beneficiaries in the implementation of the programme. It shall be made available without delay to***

***deleted***

## **Amendment 128**

### **Proposal for a regulation**

#### **Part 2 – article 74 – paragraph 1**

##### *Text proposed by the Commission*

1. The payment deadline for an interim payment claim may be interrupted by the authorising officer by delegation within the meaning of the Financial Regulation for a maximum period of **nine** months if:

(a) following information provided by a national or Union audit body, there is evidence to suggest a significant deficiency in the functioning of the management and control system;

(b) the authorising officer by delegation has to carry out additional verifications following information coming to his attention alerting him that expenditure in a request for payment is linked to an irregularity having serious financial consequences;

(c) there is a failure to submit one of the documents required under Article 75(1).

##### *Amendment*

1. The payment deadline for an interim payment claim may be interrupted by the authorising officer by delegation within the meaning of the Financial Regulation for a maximum period of **six** months if:

(a) following information provided by a national or Union audit body, there is evidence to suggest a significant deficiency in the functioning of the management and control system;

(b) the authorising officer by delegation has to carry out additional verifications following information coming to his attention alerting him that expenditure in a request for payment is linked to an irregularity having serious financial consequences;

(c) there is a failure to submit one of the documents required under Article 75(1).

## **Amendment 129**

### **Proposal for a regulation**

#### **Part 2 – article 77 - paragraph 1**

##### *Text proposed by the Commission*

1. The Commission shall make financial corrections by cancelling all or part of the Union contribution to a programme and effecting recovery from the Member State in order to exclude from Union financing expenditure which is in breach of applicable Union and national law, including in relation to deficiencies in the management and control systems of

##### *Amendment*

1. The Commission shall make financial corrections by cancelling all or part of the Union contribution to a programme and effecting recovery from the Member State in order to exclude from Union financing expenditure which is in breach of applicable Union and national law, including in relation to deficiencies in the management and control systems of

Member States which have been detected by the Commission or the European Court of Auditors.

Member States which have been detected by the Commission or the European Court of Auditors ***or which have been detected by the Member State but which have not been subject to an adequate correction by the Member State concerned.***

#### Amendment 130

##### Proposal for a regulation

##### Part 2 – article 77 – paragraph 2

###### *Text proposed by the Commission*

2. A breach of applicable Union or national law shall lead to a financial correction only where one of the following conditions is met:

(a) the breach has ***or could have*** affected the selection of an operation by the responsible body for support by the ***CSF*** Funds;

(b) ***there is a risk that*** the breach has ***or could have*** affected the amount of expenditure declared for reimbursement by the Union budget.

###### *Amendment*

2. A breach of applicable Union or national law shall lead to a financial correction only where one of the following conditions is met:

(a) the breach has affected the selection of an operation by the responsible body for support by the Funds ***covered by the CPR***;

(b) the breach has affected the amount of expenditure declared for reimbursement by the Union budget.

#### Amendment 131

##### Proposal for a regulation

##### Part 2 – article 77 – paragraph 3

###### *Text proposed by the Commission*

3. When deciding on the amount of a financial correction under paragraph 1, the Commission shall take account of the nature and gravity of the breach of applicable Union or national law and its financial implications for the Union budget.

###### *Amendment*

3. When deciding on the amount of a financial correction under paragraph 1, the Commission shall take account of the nature and gravity of the breach of applicable Union or national law, ***including in relation to deficiencies in the management and control systems of Member States***, and its financial implications for the Union budget. ***The Commission shall inform the European Parliament timely about its decision.***

## Amendment 132

### Proposal for a regulation

#### Part 3 – article 81 – paragraph 1

##### *Text proposed by the Commission*

1. The Funds shall contribute to developing and pursuing the actions of the Union leading to strengthening of its economic, social and territorial cohesion in accordance with Article 174 of the Treaty.

The actions supported by the Funds shall contribute to the Union strategy for smart, sustainable and inclusive growth.

##### *Amendment*

1. The Funds shall contribute to developing and pursuing the actions of the Union leading to strengthening of its economic, social and territorial cohesion in accordance with Article 174 of the Treaty.

The actions supported by the Funds shall **also contribute in a balanced way to the implementation of the** Union strategy for smart, sustainable and inclusive growth.

## Amendment 133

### Proposal for a regulation

#### Part 3 – article 82 – paragraph 2

##### *Text proposed by the Commission*

2. Resources for the Investment for growth and jobs goal shall be allocated among the following three categories of NUTS level 2 regions:

- (a) less developed regions, whose GDP per capita is less than 75 % of the average GDP of the EU-27;
- (b) transition regions, whose GDP per capita is between 75% and 90% of the average GDP of the EU-27;
- (c) more developed regions, whose GDP per capita is above 90 % of the average GDP of the EU-27.

The three categories of regions **are** determined on the basis of how their GDP per capita, measured in purchasing power parities and calculated on the basis of Union figures for the period **2006 to 2008**, relates to the average GDP of the EU-27 for the same reference period.

##### *Amendment*

2. Resources for the Investment for growth and jobs goal shall be allocated among the following three categories of NUTS level 2 regions:

- (a) less developed regions, whose GDP per capita is less than 75 % of the average GDP of the EU-27;
- (b) transition regions, whose GDP per capita is between 75% and 90% of the average GDP of the EU-27;
- (c) more developed regions, whose GDP per capita is above 90 % of the average GDP of the EU-27.

The **classification of regions under one of the** three categories of regions **shall be** determined on the basis of how their GDP per capita of **each region**, measured in purchasing power parities and calculated on the basis of Union figures for the period **XXXX to XXXX (the last three years)**

*available*), relates to the average GDP of the EU-27 for the same reference period.

#### Amendment 134

##### Proposal for a regulation

##### Part 3 – article 82 – paragraph 3

###### *Text proposed by the Commission*

3. The Cohesion Fund shall support those Member States whose gross national income (GNI) per capita, measured in purchasing power parities and calculated on the basis of Union figures for the period **2007 to 2009**, is less than 90 % of the average GNI per capita of the EU-27 for the same reference period.

The Member States eligible for funding from the Cohesion Fund in 2013, but whose nominal GNI per capita exceeds 90% of the average GNI per capita of the EU-27 as calculated under the first subparagraph shall receive support from the Cohesion Fund on a transitional and specific basis.

#### Amendment 135

##### Proposal for a regulation

##### Part 3 – article 82 – paragraph 4

###### *Text proposed by the Commission*

4. Immediately following the entry into force of this Regulation, the Commission shall adopt a decision by implementing act setting out the list of region fulfilling the criteria of the three categories of regions referred to in paragraph 2 and of Member States fulfilling the criteria of paragraph 3. ***Those implementing acts shall be adopted in accordance with the examination procedure referred to in Article 143(3).*** This list shall be valid from 1 January 2014

###### *Amendment*

3. The Cohesion Fund shall support those Member States whose gross national income (GNI) per capita, measured in purchasing power parities and calculated on the basis of Union figures for the period **XXXX to XXXX ( the last three years available)**, is less than 90 % of the average GNI per capita of the EU-27 for the same reference period.

The Member States eligible for funding from the Cohesion Fund in 2013, but whose nominal GNI per capita exceeds 90% of the average GNI per capita of the EU-27 as calculated under the first subparagraph shall receive support from the Cohesion Fund on a transitional and specific basis.

###### *Amendment*

4. Immediately following the entry into force of this Regulation, the Commission shall adopt a decision by implementing act setting out the list of regions fulfilling the criteria of the three categories of regions referred to in paragraph 2 and of Member States fulfilling the criteria of paragraph 3. This list shall be valid from 1 January 2014 to 31 December 2020.

### Amendment 136

#### Proposal for a regulation

#### Part 3 – article 83 – paragraph 1

##### *Text proposed by the Commission*

1. The global resources available for budgetary commitment from the Funds for the period 2014 to 2020 shall be EUR **336 020 492 848** at 2011 prices, in accordance with the annual breakdown shown in Annex II. For the purposes of programming and subsequent inclusion in the general budget of the Union, the amount of global resources shall be indexed at 2 % per year.

##### *Amendment*

1. The global resources available for budgetary commitment from the Funds for the period 2014 to 2020 shall be EUR **XXX XXX XXX** at 2011 prices, in accordance with the annual breakdown shown in Annex II. For the purposes of amount of global resources shall be indexed at 2 % per year.

### Amendment 137

#### Proposal for a regulation

#### Part 3 – article 83 – paragraph 2

##### *Text proposed by the Commission*

2. The Commission shall adopt a decision, by means of implementing acts, setting out the annual breakdown of the global resources by Member State, without prejudice to paragraph 3 of this Article and Article 84(7).

##### *Amendment*

2. The Commission shall adopt a decision, by means of implementing acts, setting out the annual breakdown of the global resources by Member State ***under the goal "Investment for growth and jobs"***, without prejudice to paragraph 3 of this Article and Article 84(7) and ***by cooperation programme under the goal "European Territorial Cooperation"***.

### Amendment 138

#### Proposal for a regulation

#### Part 3 – article 83 – paragraph 3

##### *Text proposed by the Commission*

3. **0,35** % of the global resources shall be allocated to technical assistance at the

##### *Amendment*

3. **XX** % of the global resources shall be allocated to technical assistance at the

**Amendment 139****Proposal for a regulation****Part 3 – article 84 – paragraph 1***Text proposed by the Commission*

1. Resources for the Investment for growth and jobs goal shall amount to **96,52 %** of the global resources (i.e., a total of EUR **324 320 492 844**) and shall be allocated as follows:

- (a) **50,13 %** (i.e., a total of EUR **162 589 839 384**) for less developed regions;
- (b) **12,01 %** (i.e., a total of EUR **38 951 564 661**) for transition regions;
- (c) **16,39 %** (i.e., a total of EUR **53 142 922 017**) for more developed regions;
- (d) **21,19 %** (i.e., a total of EUR **68 710 486 782**) for Member States supported by the Cohesion Fund;
- (e) **0,29 %** (i.e., a total of EUR **925 680 000**) as additional funding for the outermost regions identified in Article 349 of the Treaty and the NUTS level 2 regions fulfilling the criteria laid down in Article 2 of Protocol No 6 to the Treaty of Accession of Austria, Finland and Sweden.

All regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27 shall receive an allocation under the Structural Funds equal to at least two thirds of their 2007-2013 allocation.

*Amendment*

1. Resources for the Investment for growth and jobs goal shall amount to **XX %** of the global resources (i.e., a total of EUR **XXX XXX XXX XXX**) and shall be allocated as follows:

- (a) **XX %** (i.e., a total of EUR **XXX XXX XXX XXX**) for less developed regions;
- (b) **XX %** (i.e., a total of EUR **XXX XXX XXX XXX**) for transition regions;
- (c) **XX %** (i.e., a total of EUR **XXX XXX XXX XXX**) for more developed regions;
- (d) **XX %** (i.e., a total of EUR **XXX XXX XXX XXX**) for Member States supported by the Cohesion Fund;
- (e) **XX %** (i.e., a total of EUR **XXX XXX XXX**) as additional funding for the outermost regions (**XX EUR per habitant**) identified in Article 349 of the Treaty and the NUTS level 2 regions fulfilling the criteria laid down in Article 2 of Protocol No 6 to the Treaty of Accession of Austria, Finland and Sweden.

All regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27 **and regions designated with the phasing-out status in the 2007-2013 period** shall receive an allocation under the Structural Funds equal to at least two thirds of their 2007-2013 allocation. **Single region Island States eligible for funding from the Cohesion Fund in 2013 and outermost regions covered by the categories referred to points 1(b) and 1(c) above shall receive**

**Amendment 140**

**Proposal for a regulation  
Part 3 – article 84 – paragraph 2**

*Text proposed by the Commission*

2. The following criteria shall be used for the breakdown by Member State:

(a) eligible population, regional prosperity, national prosperity and unemployment rate for less developed regions and transition regions;

(b) eligible population, regional prosperity, unemployment rate, employment rate, educational level and population density for more developed regions;

(c) population, national prosperity and surface area for the Cohesion Fund.

*Amendment*

2. The following criteria shall be used for the breakdown by Member State:

(a) eligible population, regional prosperity ***taking into account where appropriate, the specific situation of regions with severe and permanent natural or demographic handicaps***, national prosperity, ***ageing of the population*** and unemployment rate for less developed regions and transition regions;

(b) eligible population , regional prosperity, unemployment rate, employment rate, educational level and population density, ***net adjusted income per inhabitant, school drop out rate, intraregional disparities (NUTS 3) and the demographic vulnerability index*** for more developed regions;

(c) population, national prosperity and surface area for the Cohesion Fund.



## Amendment 141

## Proposal for a regulation

## Part 3 – article 84 – paragraph 3

*Text proposed by the Commission*

3. At least 25 % of the Structural Funds resources for less developed regions, 40% for transition regions and 52% for more developed regions in each Member State shall be allocated to the ESF. For the purposes of this provision, the support to a Member State through the [Food for deprived people instrument] shall be considered as part of the share of Structural Funds allocated to the ESF.

*Amendment*

3. ***Indicatively***, at least 25 % of the Structural Funds resources for less developed regions, 40% for transition regions and 52% for more developed regions in each Member State shall be allocated to the ESF. ***The exact share of ESF for each Member State will be established during the negotiations for the Partnership Contract reflecting the specific needs of regions and shall be equal to at least the 2007-2013 allocations. Nevertheless, if in accordance with the Partnership Contract, a minimum share for a category of regions is lower than the minimum level, such a decrease must be compensated by an increase in other categories of regions. The resulting sum at national level for all categories of regions shall accordingly not be less than the sum at national level resulting from applying the indicative levels.*** For the purposes of this provision, the support to a Member State through the [Food for deprived people instrument] shall be considered as part of the share of Structural Funds allocated to the ESF.

## Amendment 142

## Proposal for a regulation

## Part 3 – article 84 – paragraph 4

*Text proposed by the Commission*

4. The support from the Cohesion Fund for transport infrastructure under the Connecting Europe Facility shall be EUR **10 000 000 000**.

*Amendment*

4. The support from the Cohesion Fund for transport infrastructure ***projects of European added value*** under the Connecting Europe Facility shall be EUR **XXX**.

*The Commission shall adopt a decision by implementing act setting out the amount to be transferred from each Member State's Cohesion Fund allocation for the whole period. The Cohesion Fund allocation of each Member State shall be reduced accordingly.*

*The annual appropriations corresponding to the support from the Cohesion Fund mentioned in the first subparagraph shall be entered in the relevant budget lines of the Connecting Europe Facility as from the 2014 budgetary exercise.*

*Support from the Cohesion Fund under the Connecting Europe Facility shall be implemented in accordance with Article [13] of Regulation (EU) [...] /2012 on establishing the Connecting Europe Facility<sup>4</sup> in respect of projects listed in Annex 1 to that Regulation, giving greatest possible priority to projects respecting the national allocations under the Cohesion Fund.*

*Support from the Cohesion Fund for transport infrastructure projects of European added value as listed in Annex 1 of Regulation (EU) [...] /2012 on establishing the Connecting Europe Facility shall be implemented in accordance with the Cohesion Fund Regulation.*

*The Commission shall adopt a decision after a prior agreement with the Member State concerned by implementing act, setting out the amount to be allocated and the transport infrastructure projects of European added value that will be carried out by each Member State.*

#### **Amendment 143**

##### **Proposal for a regulation**

##### **Part 3 – article 84 – paragraph 4 a (new)**

*Text proposed by the Commission*

*Amendment*

**4a. For Member States, whose average GDP growth [2007-2009] is negative and whose absorption rate as at 1 January 2012 is above [X%], the capping rate will be set at least at the level of the current period, reducing the level of capping according to the exclusion of fisheries and rural development funds.**

**Amendment 144****Proposal for a regulation****Part 3 – article 84 – paragraph 5***Text proposed by the Commission*

5. The support from the Structural Funds for [food for deprived people] under the Investment for Growth and Jobs shall be EUR 2 500 000 000.

The Commission shall adopt a decision by implementing act setting out the amount to be transferred from each Member State's Structural Funds allocation for the whole period in each Member State. The Structural Funds allocation of each Member State shall be reduced accordingly.

The annual appropriations corresponding to the support from the Structural Funds mentioned in the first subparagraph shall be entered in the relevant budget lines of the [food for deprived people instrument] with the 2014 budgetary exercise.

*Amendment*

5. The support from the Structural Funds for [food for deprived people] under the Investment for Growth and Jobs shall be EUR XXX XXX XXX.

The Commission shall adopt a decision by implementing act setting out the amount to be transferred from each Member State's Structural Funds allocation for the whole period in each Member State. The Structural Funds allocation of each Member State shall be reduced accordingly.

The annual appropriations corresponding to the support from the Structural Funds mentioned in the first subparagraph shall be entered in the relevant budget lines of the [food for deprived people instrument] with the 2014 budgetary exercise.

**Amendment 145****Proposal for a regulation****Part 3 – article 84 – paragraph 6***Text proposed by the Commission*

**6. 5% of the resources for the Investment for growth and jobs goal shall constitute the performance reserve to be allocated in accordance with Article 20.**

*Amendment*

**deleted**

**Amendment 146****Proposal for a regulation****Part 3 – article 84 – paragraph 7***Text proposed by the Commission*

7. 0,2% of the ERDF resources for the

*Amendment*

7. XX% of the ERDF resources for the

Investment for growth and jobs goal shall be allocated to innovative actions at the initiative of the Commission in the area of sustainable urban development.

Investment for growth and jobs goal shall be allocated to innovative actions at the initiative of the Commission in the area of sustainable urban development.

#### **Amendment 147**

##### **Proposal for a regulation**

##### **Part 3 – article 84 – paragraph 8**

###### *Text proposed by the Commission*

8. Resources for the European territorial cooperation goal shall amount to **3,48** % of the global resources available for budgetary commitment from the Funds for the period 2014 to 2020 (i.e., a total of EUR **11 700 000 004**).

###### *Amendment*

8. Resources for the European territorial cooperation goal shall amount to **indicatively** 7 % of the global resources available for budgetary commitment from the Funds for the period 2014 to 2020 (i.e., a total of EUR **XX XXX XXX**).

#### **Amendment 148**

##### **Proposal for a regulation**

##### **Part 3 – article 85 – paragraph 2**

###### *Text proposed by the Commission*

2. By way of derogation from paragraph 1, the Commission may accept, in duly justified circumstances which are linked to the implementation of one or more thematic objectives, a proposal by a Member State in its first submission of the Partnership Contract to transfer up to **2%** of the total appropriation for a category of regions to other categories of regions.

###### *Amendment*

2. By way of derogation from paragraph 1, the Commission may accept, in duly justified circumstances which are linked to the implementation of one or more thematic objectives, a proposal by a Member State in its first submission of the Partnership Contract to transfer up to **4%** of the total appropriation for a category of regions to other categories of regions.

#### **Amendment 149**

##### **Proposal for a regulation**

##### **Part 3 – article 86 – paragraph 6**

###### *Text proposed by the Commission*

6. If it is established by the Commission in the ex post verification that a Member State has not maintained the reference level

###### *Amendment*

6. If it is established by the Commission in the ex post verification that a Member State has not maintained the reference level

of public or equivalent structural expenditure under the Investment for growth and jobs goal set out in the Partnership Contract as set out in Annex III, the Commission may carry out a financial correction. In deciding whether or not to carry out a financial correction, the Commission will **take into account** whether the economic situation of the Member State has significantly changed since the mid-term verification and whether the change was taken into account at that time. The detailed rules relating to financial correction rates are set out in point 3 of Annex III.

of public or equivalent structural expenditure under the Investment for growth and jobs goal set out in the Partnership Contract as set out in Annex III, the Commission may carry out a financial correction. In deciding whether or not to carry out a financial correction, the Commission **must have taken the view that the Member State has not taken the necessary steps to comply with the recommendations it has made to this effect and** will consider whether the economic situation of the Member State has significantly changed since the mid-term verification and whether the change was taken into account at that time. The detailed rules relating to financial correction rates are set out in point 3 of Annex III.

#### Amendment 150

##### Proposal for a regulation

##### Part 3 – article 87 – paragraph 1

###### *Text proposed by the Commission*

1. An operational programme shall consist of priority axes. A priority axis **shall** concern **one Fund for a category of region and shall correspond, without prejudice to Article 52, to a thematic objective and comprise one or more investment** priorities of that thematic objective, in accordance with the Fund specific rules. **For the ESF, a priority axis may combine investment priorities from different thematic objectives set out in Article 9(8), (9), (10) and (11) in order to facilitate their contribution to other priority axes, in duly justified circumstances.**

###### *Amendment*

1. An operational programme shall consist of priority axes. A priority axis **may** concern **one or more categories of region, or combine one or more complementary investment priorities from different thematic objectives and Funds**, in accordance with the Fund-specific rules.

## Amendment 151

### Proposal for a regulation

#### Part 3 – article 87 – paragraph 2 – point a

##### *Text proposed by the Commission*

- (a) a strategy for the operational programme's contribution to the Union strategy for smart, sustainable and inclusive growth, including:
- (i) an identification of needs addressing the challenges identified in the *country specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies adopted under Article 148(4) of the Treaty*, and taking into account national and regional *needs*;
- (ii) a justification of the choice of thematic objectives and corresponding investment priorities, having regard to the Partnership Contract and the results of the *ex ante* evaluation;

##### *Amendment*

- (a) a strategy for the operational programme's contribution to *the achievement of economic, social and territorial cohesion and to* the Union strategy for smart, sustainable and inclusive growth, including:
- (i) an identification of needs addressing the challenges identified in *the National Reforms Programmes*, and taking into account the Integrated Guidelines and national and regional *specificities*;
- (ii) a justification of the choice of thematic objectives and corresponding investment priorities, having regard to the Partnership Contract and the results of the *ex ante* evaluation; *and taking into account, where appropriate, the development needs of the region*;

## Amendment 152

### Proposal for a regulation

#### Part 3 – article 87 – paragraph 2 – point c

##### *Text proposed by the Commission*

- (c) the contribution to the integrated approach for territorial development set out in the Partnership Contract, including:
- (i) the mechanisms that ensure coordination between the Funds, the EAFRD, the EMFF and other Union and national funding instruments, and with the

##### *Amendment*

- (c) the contribution to the integrated approach for territorial development set out in the Partnership Contract, including:
- (i) the mechanisms that ensure coordination between the Funds, the EAFRD, the EMFF and other Union and national funding instruments, *inter alia*

(ii) where appropriate, a planned integrated approach to the territorial development of urban, rural, coastal and fisheries areas and areas with particular territorial features, in particular the implementation arrangements for Articles 28 and 29;

(iii) *the* list of cities where integrated actions for sustainable urban development will be implemented, the indicative annual allocation of the ERDF support for these actions, including the resources delegated to cities for management under Article 7(2) of Regulation (EU) No [ERDF] and the indicative annual allocation of ESF support for integrated actions;

(iv) the identification of the areas in which community-led local development will be implemented;

(v) the arrangements for interregional and transnational actions with beneficiaries located in at least one other Member State;

(vi) *where appropriate*, the contribution of the planned interventions towards macro regional strategies and sea basin strategies;

(ii) where appropriate, a planned integrated approach to the territorial development of urban, rural, coastal, *mountain* and fisheries areas and areas with particular territorial features, in particular the implementation arrangements for Articles 28 and 29; *and the mechanisms contributing to Integrated Territorial Investments(' ITIs')*;

(iii) *an indicative* list of cities *or functional urban areas in accordance with the criteria laid down in subpoint (ii) of Article 14(b))* where integrated actions for sustainable urban development *in accordance with article 7* will be implemented, the indicative annual allocation of the ERDF support for these actions, including the resources delegated to cities for management under Article 7(2) of Regulation (EU) No [ERDF] and the indicative annual allocation of ESF support for integrated actions;

(iv) the identification of the areas in which community-led local development will be implemented;

(v) the arrangements for *cross-border*, interregional and transnational actions with beneficiaries located in at least one other Member State;

(vi) the contribution of the planned interventions towards macro regional strategies and sea basin strategies *in the event that Member States and regions participate in such strategies*;

#### Amendment 153

##### Proposal for a regulation

##### Part 3 – article 87 – paragraph 2 – point d

*Text proposed by the Commission*

(d) the contribution to the integrated

*Amendment*

(d) the contribution to the integrated

approach set out in the Partnership Contract to address the specific needs of geographical areas most affected by poverty or target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities, and the indicative financial allocation;

approach **as** set out in the Partnership Contract to address **regional demographic challenges and** the specific needs of geographical areas most affected by poverty or target groups at highest risk of discrimination or exclusion, with special regard to marginalized communities **and persons with disabilities**, and the indicative financial allocation;

#### Amendment 154

##### Proposal for a regulation

##### Part 3 – article 87 – paragraph 2 – point e

###### *Text proposed by the Commission*

- (e) arrangements to ensure the effective implementation of the Funds, including:
  - (i) a performance framework in accordance with Article 19(1);
  - (ii) for each *ex ante* conditionality, established in accordance with Annex IV, that is not fulfilled at the date of submission of **the Partnership Contract and** operational programme, a description of the actions **to fulfil the ex ante conditionality** and a timetable **for such actions**;
  - (iii) the actions taken to involve the partners in the preparation of the operational programme, and the role of the partners in the implementation, monitoring and evaluation of the operational programme;

###### *Amendment*

- (e) arrangements to ensure the effective implementation of the Funds, including:
  - (i) a performance framework in accordance with Article 19(1);
  - (ii) for each **relevant** *ex ante* conditionality, established in accordance with **Article 17 and** Annex IV, that is not fulfilled at the date of submission of an operational programme, a description of the actions **to be taken at national and regional level** and a timetable **for their implementation to ensure their fulfilment within two years of the adoption of the Partnership Contract, or by 31 December 2016 whichever is earlier**;
  - (iii) the actions taken to involve the **relevant** partners in the preparation of the operational programme, and the role of the partners in the implementation, monitoring and evaluation of the operational programme;



## Amendment 155

## Proposal for a regulation

## Part 3 – article 87 – paragraph 2 – point f

*Text proposed by the Commission*

(f) arrangements to ensure the efficient implementation of the Funds, including:

(i) the planned use of technical assistance including actions to reinforce the administrative capacity of authorities and beneficiaries with the relevant information referred to in paragraph 2 (b) for the priority axis concerned;

(ii) an assessment of the administrative burden for beneficiaries and the actions planned to achieve a reduction accompanied by targets;

(iii) a list of major projects for which the estimated start date for the execution of the main works is before 1 January 2018;

*Amendment*

(f) arrangements to ensure the efficient implementation of the Funds, including:

(i) the planned use of technical assistance including actions to reinforce the administrative capacity of authorities and beneficiaries with the relevant information referred to in paragraph 2 (b) for the priority axis concerned;

(ii) an assessment of the administrative burden for beneficiaries and the actions planned to achieve a reduction accompanied by targets **and deadlines**;

(iii) a list of major projects for which the estimated start date for the execution of the main works is before 1 January 2018;

## Amendment 156

## Proposal for a regulation

## Part 3 – article 87 – paragraph 2 – point g

*Text proposed by the Commission*

(g) a financing plan containing two tables:

(i) a table specifying for each year, in accordance with Articles 53, 110 and 111, the amount of the total financial appropriation envisaged for the support from each of the Funds;

(ii) a table specifying, for the whole programming period, for the operational programme and for each priority axis, the amount of the total financial appropriation of the support from the Funds and the national co-financing. Where the national co-financing is made up of public and private co-financing, the table shall give the indicative breakdown between the public and the private components. It shall

*Amendment*

(g) a financing plan containing two tables:

(i) a table specifying for each year, in accordance with Articles 53, 110 and 111, the amount of the total financial appropriation envisaged for the support from each of the Funds;

(ii) a table specifying, for the whole programming period, for the operational programme and for each priority axis, the amount of the total financial appropriation of the support from the Funds and the national co-financing. **For priority axes, which concern more than one category of region, the table shall specify the different amounts provided by each specific Fund and the respective co-financed amounts**

show, for information purposes, the envisaged participation from the EIB;

*for each category of region. For priority axes, which combine investment priorities from different thematic objectives, the table shall specify the different amounts from the specific Funds and the respective co-financed amounts for each of the investment priorities.* Where the national co-financing is made up of public and private co-financing, the table shall give the indicative breakdown between the public and the private components. It shall show, for information purposes, the envisaged participation from the EIB;

#### Amendment 157

##### Proposal for a regulation

##### Part 3 – article 87 – paragraph 2 – point h

###### *Text proposed by the Commission*

- (h) the implementing provisions for the operational programme containing:
- (i) identification of the **accrediting** body, the managing authority, the certifying authority, where applicable, and the audit authority;
  - (ii) identification of the body to which payments will be made by the Commission.

###### *Amendment*

- (h) the implementing provisions for the operational programme containing:
- (i) identification of the **designated** body (**according to the provisions of the Financial Regulation**), the managing authority, the certifying authority, where applicable, and the audit authority;
  - (ii) identification of the body to which payments will be made by the Commission.

#### Amendment 158

##### Proposal for a regulation

##### Part 3 – article 88 – paragraph 2

###### *Text proposed by the Commission*

2. The ERDF and the ESF may finance, in a complementary manner and subject to a limit of **5 %** of Union funding for each priority axis of an operational programme, a part of an operation for which the costs are eligible for support from the other Fund on the basis of eligibility rules applied to that

###### *Amendment*

2. The ERDF and the ESF may finance, in a complementary manner and subject to a limit of **10 %** of Union funding for each priority axis of an operational programme, a part of an operation for which the costs are eligible for support from the other Fund on the basis of eligibility rules applied to that

Fund, provided that they are necessary for the satisfactory implementation of the operation and are directly linked to it.

Fund, provided that they are necessary for the satisfactory implementation of the operation and are directly linked to it.

## Amendment 159

### Proposal for a regulation

#### Part 3 – article 90 – paragraph 1

##### *Text proposed by the Commission*

As part of an operational programme or operational programmes, the ERDF and the Cohesion Fund may support an operation comprising a series of works, activities or services intended in itself to accomplish an indivisible task of a precise economic or technical nature which has clearly identified goals and whose total cost exceeds EUR 50 000 000 (a ‘major project’). Financial instruments shall not be considered major projects.

##### *Amendment*

As part of an operational programme or operational programmes, the ERDF and the Cohesion Fund may support an operation comprising a series of works, activities or services intended in itself to accomplish an indivisible task of a precise economic or technical nature ***that creates jobs*** which has clearly identified goals and whose total ***eligible*** cost exceeds EUR 50 000 000, ***and in case of operations contributing to the thematic objective set out in point 7 of Article 9 where the total eligible cost exceeds EUR 75 000 000*** (a ‘major project’). Financial instruments shall not be considered major projects.

## Amendment 160

### Proposal for a regulation

#### Part 3 – article 91 – paragraph 1

##### *Text proposed by the Commission*

1. The Member State or the managing authority shall submit the following information on major projects to the Commission as soon as preparatory work has been completed:

- (a) information on the body to be responsible for implementation of the major project, and its capacity;
- (b) a description of and information on the investment and its location;

##### *Amendment*

1. The Member State or the managing authority shall submit the following information on major projects to the Commission as soon as preparatory work has been completed:

- (a) information on the body to be responsible for implementation of the major project, and its capacity;
- (b) ***a strategic analysis which includes the description of and information on the investment, its location and how it will contribute to economic development of the***

*region;*

(c) total cost and total eligible cost, taking account of the requirements set out in Article 54;

(d) information on the feasibility studies carried out, including the options *analysis*, the results, and independent quality review;

(e) a cost-benefit analysis, including an economic and a financial analysis, and a risk assessment;

(f) an analysis of the environmental impact, taking into account climate change adaptation and mitigation needs, and disaster resilience;

(g) the consistency with the relevant priority axes of the operational programme or programmes concerned, and its expected contribution to achieving the specific objectives of those priority axes;

(h) the financing plan showing the total planned financial resources and the planned support from the Funds, the EIB, and all other sources of financing, together with physical and financial indicators for monitoring progress, taking account of the identified risks;

(i) the timetable for implementing the major project and, where the implementation period is expected to be longer than the programming period, the phases for which support from the Funds is requested during the 2014 to 2020 programming period.

(c) total cost and total eligible cost, taking account of the requirements set out in Article 54;

(d) information on the feasibility studies carried out, including *the analysis of the* options, the results, and *the* independent quality review *of the long term impact of the projects and coherence with regard to regional and local development*;

(e) a cost-benefit analysis, including an economic and a financial analysis, and a risk assessment;

(f) an analysis of the environmental impact, taking into account climate change adaptation and mitigation needs, *biodiversity protection* and disaster resilience;

*(fa) a description of the public consultation proposed and the involvement of partners referred in to Article 5;*

(g) the consistency with the relevant priority axes of the operational programme or programmes concerned, and its expected contribution to achieving the specific objectives of those priority axes;

(h) the financing plan showing the total planned financial resources and the planned support from the Funds, the EIB, and all other sources of financing, together with physical and financial indicators for monitoring progress, taking account of the identified risks;

(i) the timetable for implementing the major project and, where the implementation period is expected to be longer than the programming period, the phases for which support from the Funds is requested during the 2014 to 2020 programming period.

The Commission shall *provide indicative* guidance on the methodology to be used in carrying out the cost-benefit analysis referred to in point (e) above *in accordance with the advisory procedure referred to in Article 143(2)*.

The format for the information on major projects to be submitted shall be set up in accordance with the model adopted by the Commission, by means of implementing acts. Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 143(2).

The Commission shall *be empowered to adopt delegated acts in accordance with Article 142, laying down* guidance on the methodology to be used in carrying out the cost-benefit analysis referred to in point (e) above.

The format for the information on major projects to be submitted shall be set up in accordance with the model adopted by the Commission, by means of implementing acts. Those implementing acts shall be adopted in accordance with the advisory procedure referred to in Article 143(2).

## Amendment 161

### Proposal for a regulation

#### Part 3 – article 92 – paragraph 2

##### *Text proposed by the Commission*

2. The Commission shall adopt a decision, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. An approval decision shall be conditional on the first works contract being concluded within two years of the date of the decision.

##### *Amendment*

2. The Commission shall adopt a decision, by means of implementing act, no later than three months after the date of submission of the information approving a major project in accordance with Article 91. That decision shall define the physical object, the amount to which the co-financing rate for the priority axis applies, physical and financial indicators for monitoring progress, and the expected contribution of the major project to the objectives of the relevant priority axis or axes. An approval decision shall be conditional on the first works contract being concluded within two years of the date of the decision. *In the case of operations implemented under PPP structures the signing of the PPP contract between the public body and the private sector body, an approval decision shall be conditional on the first works contract being concluded within three years of the date of the decision. At the duly justified request of the Member State, in particular in the case of delays resulting from administrative and legal proceedings*

*related to the implementation of major projects, and made within a two year period, the Commission may adopt a decision, by means of a delegated act, on the extension of the period by not more than two years.*

## Amendment 162

### Proposal for a regulation

#### Part 3 – article 93 – paragraph 1

##### *Text proposed by the Commission*

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It comprises a group of projects, not consisting in the provision of infrastructure, carried out under the responsibility of **the** beneficiary, as part of an operational programme or programmes. The outputs and results of a joint action plan shall be agreed between the Member State and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a public law body. Joint action plans shall not be considered as major projects.

##### *Amendment*

1. A joint action plan is an operation defined and managed in relation to the outputs and results which it will achieve. It comprises a group of projects, not consisting in the provision of infrastructure, carried out under the responsibility of **a** beneficiary **or beneficiaries, such as local and regional authorities** as part of an operational programme or programmes. The outputs and results of a joint action plan shall be agreed between the Member State and the Commission and shall contribute to specific objectives of the operational programmes and form the basis of support from the Funds. Results shall refer to direct effects of the joint action plan. The beneficiary shall be a public law body. Joint action plans shall not be considered as major projects.

## Amendment 163

### Proposal for a regulation

#### Part 3 – article 93 – paragraph 2

##### *Text proposed by the Commission*

2. The public support allocated to a joint action plan shall be a minimum of EUR **10 000 000** or 20 % of the public support of the operational programme or

##### *Amendment*

2. The public support allocated to a joint action plan shall be a minimum of EUR **5 000 000** or 20 % of the public support of the operational programme or programmes,

programmes, whichever is lower.

whichever is lower.

#### Amendment 164

##### Proposal for a regulation Part 3 – article 95 – point 1

###### *Text proposed by the Commission*

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes and, where applicable, *the country-specific recommendations and the broad guidelines of the economic policies of the Member States and of the Union under Article 121(2) and the Council recommendations which the Member States shall take into account in their employment policies under Article 148(4) of the Treaty*;

###### *Amendment*

(1) an analysis of the development needs and objectives justifying the joint action plan, taking into account the objectives of the operational programmes and, where applicable, *analysis of macro-regional strategies, sea basins strategies and the National Reform Programmes*;

#### Amendment 165

##### Proposal for a regulation Part 3 – article 95 – point 7 a (new)

###### *Text proposed by the Commission*

###### *Amendment*

*(7a) state of play of measures taken or planned in order to involve relevant partners referred to in Article 5 in the preparation , implementation and evaluation of operational programmes;*

#### Amendment 166

##### Proposal for a regulation Part 3 – article 97 – paragraph 2

###### *Text proposed by the Commission*

2. The steering committee shall carry out the following activities:

###### *Amendment*

2. The steering committee shall carry out the following activities:

(a) review progress towards achieving the milestones, outputs and results of the joint action plan;

(b) consider and approve any proposal to amend the joint action plan in order to take account of any issues affecting its performance.

(a) review progress towards achieving the milestones, outputs and results of the joint action plan **and transmit the results to the monitoring committee;**

(b) consider and approve any proposal to amend the joint action plan in order to take account of any issues affecting its performance **and transmit the results to the monitoring committee.**

#### Amendment 167

##### Proposal for a regulation Part 3 – article 99 – paragraph 1

###### *Text proposed by the Commission*

1. Where **an** urban development strategy or other territorial strategy **or pact as defined in Article 12(1) of Regulation...[ESF]** requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

###### *Amendment*

1. Where a **sustainable** urban development strategy or other territorial strategy requires an integrated approach involving investments under more than one priority axis of one or more operational programmes, the action shall be carried out as an integrated territorial investment (an 'ITI').

*Comment: Part 3 - Title II -Chapter IV Territorial development (Article 99) is moved to Part 2 - Title III - Chapter IIa (new)*

#### Amendment 168

##### Proposal for a regulation Part 3 – article 99 – paragraph 1 a (new)

###### *Text proposed by the Commission*

###### *Amendment*

**1a. Where Member States and regions participate in macro-regional and sea basins strategies, ITIs shall be consistent with such strategies. Member States or managing authorities shall ensure that ITIs are coherent with regard to regional sustainable development strategies.**



*Comment: Part 3 - Title II -Chapter IV Territorial development (Article 99) is moved to Part 2 - Title III - Chapter IIa (new)*

#### Amendment 169

##### Proposal for a regulation

##### Part 3 – article 99 – paragraph 2

###### *Text proposed by the Commission*

2. The relevant operational programmes shall identify the ITIs planned and shall set out the indicative financial allocation from each priority axis to each ITI.

###### *Amendment*

2. The relevant operational programmes shall identify the ITIs planned, **for selection criteria regarding ITIs** and shall set out the indicative financial allocation from each priority axis to each ITI.

*Comment: Part 3 - Title II -Chapter IV Territorial development (Article 99) is moved to Part 2 - Title III - Chapter IIa (new)*

#### Amendment 170

##### Proposal for a regulation

##### Part 3 – article 99 – paragraph 3

###### *Text proposed by the Commission*

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies or non-governmental organisations, to carry out the management and implementation of an ITI.

###### *Amendment*

3. The Member State or the managing authority may designate one or more intermediate bodies, including local authorities, regional development bodies, **local action groups** or non-governmental organisations, to carry out the management and implementation of an ITI.

*Comment: Part 3 - Title II -Chapter IV Territorial development (Article 99) is moved to Part 2 - Title III - Chapter IIa (new)*

#### Amendment 171

##### Proposal for a regulation

##### Part 3 – article 100 – paragraph 1

*Text proposed by the Commission**Amendment*

1. The monitoring committee shall examine in particular:
- (a) any issues that affect the performance of the operational programme;
  - (b) progress in implementation of the evaluation plan and the follow-up given to findings of evaluations;
  - (c) implementation of the communication strategy;
  - (d) implementation of major projects;
  - (e) implementation of joint action plans;
  - (f) actions to ***promote*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons;
  - (g) actions to promote sustainable development;
  - (h) actions in the operational programme relating to the fulfilment of *ex ante* conditionalities;
  - (i) financial instruments.

1. The monitoring committee shall examine in particular:
- (a) any issues that affect the performance of the operational programme;
  - (b) progress in implementation of the evaluation plan and the follow-up given to findings of evaluations;
  - (c) implementation of the communication strategy;
  - (d) implementation of major projects;
  - (e) implementation of joint action plans;
  - (f) actions to ***ensure*** equality between men and women, equal opportunities, and non-discrimination, including accessibility for disabled persons ***and aimed at combating poverty and promoting social inclusion***;
  - (g) actions to promote sustainable development;
  - (h) actions in the operational programme relating to the fulfilment of *ex ante* conditionalities;
  - (i) financial instruments.

**Amendment 172****Proposal for a regulation****Part 3 – article 101 – paragraph 3***Text proposed by the Commission**Amendment*

3. The annual implementation reports submitted in 2017 and 2019 shall set out and assess the information required under Articles 44(3) and (4) respectively, the information set out in paragraph 2, together with:
- (a) progress in implementation of the integrated approach to territorial development, including sustainable urban development, and community led local development under the operational

3. The annual implementation reports submitted in 2017 and 2019 shall set out and assess the information required under Articles 44(3) and (4) respectively, the information set out in paragraph 2, together with:
- (a) progress in implementation of the integrated approach to territorial development, including ***development of territories facing severe demographic and natural handicaps***, sustainable urban

(b) progress in implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds;

(c) progress in implementation of any interregional and transnational actions;

(d) progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations;

(e) the specific actions taken to promote equality between men and women and to prevent discrimination, including accessibility for disabled persons, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations;

(f) actions taken to promote sustainable development in accordance with Article 8;

(g) the results of the information and publicity measures of the Funds carried out under the communication strategy;

(h) progress in the implementation of actions in the field of social innovation, where appropriate;

(i) progress in the implementation of measures to address the specific needs of geographical areas most affected by poverty or of target groups at highest risk of discrimination or exclusion, with special regard to marginalised communities including, where appropriate, the financial resources used;

development, and community led local development under the operational programme;

(b) progress in implementation of actions to reinforce the capacity of Member State authorities and beneficiaries to administer and use the Funds;

(c) progress in implementation of any interregional and transnational actions *and in the event that Member States and regions participate in such strategies progress in implementation of macro-regional and sea basins strategies*;

(d) progress in implementation of the evaluation plan and the follow-up given to the findings of evaluations;

(e) the specific actions taken to promote equality between men and women and to prevent discrimination, including accessibility for disabled persons, and the arrangements implemented to ensure the integration of the gender perspective in the operational programme and operations, *as well as specific actions taken to combat poverty*;

(f) actions taken to promote sustainable development in accordance with Article 8;

*(fa) actions taken to promote social inclusion and youth employment*;

(g) the results of the information and publicity measures of the Funds carried out under the communication strategy;

(h) progress in the implementation of actions in the field of social innovation, where appropriate;

(i) progress in the implementation of measures to address *regional demographic challenges and* the specific needs of geographical areas most affected by *severe demographic and natural handicaps*, by poverty or of target groups at highest risk of discrimination or *social* exclusion, with special regard to marginalised communities *and persons with disabilities* including,

where appropriate, the financial resources used;

(j) the involvement of the partners in the implementation, monitoring and evaluation of the operational programme.

(j) the involvement of the partners in the implementation, monitoring and evaluation of the operational programme.

#### Amendment 173

##### Proposal for a regulation Part 3 – article 103

###### *Text proposed by the Commission*

###### Cohesion Report

The report of the Commission referred to in Article 175 of the Treaty shall include:

- (a) a record of progress made on economic, social and territorial cohesion, including the socio-economic situation and development of the regions, as well as the integration of the Union's priorities;
- (b) a record of the role of the Funds, the EIB and the other instruments, as well as the effect of other Union and national policies, in the progress made.

###### *Amendment*

###### Cohesion Report

**1.** The report of the Commission referred to in Article 175 of the Treaty shall include ***in particular***:

- (a) a record of progress made on economic, social and territorial cohesion, including the socio-economic situation and development of the regions, as well as the integration of the Union's priorities;
- (b) a record of the role of the Funds, the EIB and the other instruments, as well as the effect of other Union and national policies, in the progress made.

***1a. The report shall also contain, if necessary any proposals on measures and policies which should be adopted in order to strengthen economic, social and territorial cohesion, as well as to deliver the Union's priorities.***

#### Amendment 174

##### Proposal for a regulation Part 3 – article 105 – paragraph 1

###### *Text proposed by the Commission*

1. Member States and managing authorities

###### *Amendment*

1. Member States and managing authorities

shall be responsible for:

- (a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State;
- (b) informing potential beneficiaries about funding opportunities under operational programmes;
- (c) publicising to Union citizens the role and achievements of cohesion policy and of the Funds through information and communication actions on the results and impact of Partnership Contracts, operational programmes and operations.

shall be responsible for:

- (a) ensuring the establishment of a single website or a single website portal providing information on, and access to, all operational programmes in that Member State;
- (b) informing potential beneficiaries about funding opportunities under operational programmes;
- (c) publicising to Union citizens the role and achievements of cohesion policy and of the Funds through information and communication actions on the results and impact of Partnership Contracts, operational programmes and operations;
- (ca) informing the public about the time schedule of the programming and all related public consultation processes.***

#### **Amendment 175**

##### **Proposal for a regulation**

##### **Part 3 – article 105 – paragraph 1 a (new)**

*Text proposed by the Commission*

*Amendment*

***1a. Union institutions and advisory bodies may organise actions aimed at raising awareness about cohesion policy and its added value for the Union's citizens.***

#### **Amendment 176**

##### **Proposal for a regulation**

##### **Part 3 – article 105 – paragraph 2**

*Text proposed by the Commission*

*Amendment*

2. Member States shall in order to ensure transparency in the support of the Funds maintain a list of operations by operational programme and by Fund in CSV or XML format which shall be accessible through the single website or the single website portal providing a list and summary of all

2. Member States shall in order to ensure transparency in the support of the Funds maintain a list of operations by operational programme and by Fund in CSV or XML format which shall be accessible through the single website or the single website portal providing a list and summary of all

operational programmes in that Member State.

The list of operations shall be updated at least every **three** months.

The minimum information to be set out in the list of operations is laid down in Annex V.

operational programmes in that Member State.

The list of operations shall be updated at least every **four** months.

The minimum information to be set out in the list of operations is laid down in Annex V.

#### **Amendment 177**

##### **Proposal for a regulation**

##### **Part 3 – article 105 – paragraph 4 a (new)**

*Text proposed by the Commission*

*Amendment*

***4a. A managing authority may use the EU information network Europe Direct Information Centres in the dissemination of information and for communication activities at a local and regional level.***

#### **Amendment 178**

##### **Proposal for a regulation**

##### **Part 3 – article 107 – paragraph 1**

*Text proposed by the Commission*

*Amendment*

1. Each Member State shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds and shall inform the Commission accordingly.

1. Each Member State shall designate an information and communication officer to coordinate information and communication actions in relation to one or several Funds ***in cooperation with relevant partners*** and shall inform the Commission accordingly.

#### **Amendment 179**

##### **Proposal for a regulation**

##### **Part 3 – article 110 – paragraph 3**

*Text proposed by the Commission*

*Amendment*

3. The co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs

3. The co-financing rate at the level of each priority axis of operational programmes under the Investment for growth and jobs

goal shall be no higher than:

(a) 85 % for the Cohesion Fund;

(b) 85 % for the less developed regions of Member States *whose average GDP per capita for the period 2007 to 2009 was below 85 % of the EU-27 average during the same period* and for the outermost regions;

(c) *80% for the less developed regions of Member States other than those referred to in point (b) eligible for the transitional regime of the Cohesion Fund on 1 January 2014;*

(d) *75% for the less developed regions of Member States other than those referred to in points (b) and (c), and for all regions whose GDP per capita for the 2007-2013 period was less than 75% of the average of the EU-25 for the reference period but whose GDP per capita is above 75% of the GDP average of the EU-27;*

(e) 60 % for the transition regions other than those referred to in point (d);

(f) 50 % for the more developed regions other than those referred to in point (d).

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 75%.

#### Amendment 180

#### Proposal for a regulation

#### Part 3 – article 110 – paragraph 4

*Text proposed by the Commission*

**4. The co-financing rate of the additional allocation in accordance with Article 84(1) (e) shall be no higher than 50%.**

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goal shall be no higher than:

(a) 85 % for the Cohesion Fund;

(b) 85 % for the less developed regions of Member States and for the outermost regions *including additional funding*;

*deleted*

*deleted*

(e) **75 % for the transition regions and for regions which were eligible for funding under Transitional support pursuant to Article 8(1) of Regulation (EC) No 1083/2006;**

(f) 50 % for the more developed regions other than those referred to in point (e).

The co-financing rate at the level of each priority axis of operational programmes under the European territorial cooperation goal shall be no higher than 85%.

*Amendment*

*deleted*

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*The same co-financing rate shall apply to the additional allocation under Article 4(2) of Regulation (EU) No [...]/2012 [ETC Regulation].*

#### Amendment 181

##### Proposal for a regulation

##### Part 3 – article 110 – paragraph 5

*Text proposed by the Commission*

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by ten percentage points, where the whole of a priority axis is delivered through financial instruments, or **through community-led** local development.

*Amendment*

5. The maximum co-financing rate under paragraph 3 at the level of a priority axis shall be increased by **no higher than** ten percentage points, where the whole of a priority axis is delivered through financial instruments, **or territorial instruments supporting** local development (ITI, JAP, CLLD).

#### Amendment 182

##### Proposal for a regulation

##### Part 3 – article 111 – paragraph 1 – point 3 a (new)

*Text proposed by the Commission*

*Amendment*

**(3a) the inclusion of the outermost regions as referred to in Article 349 of the Treaty;**

#### Amendment 183

##### Proposal for a regulation

##### Part 3 – article 111 – paragraph 1 – point 4

*Text proposed by the Commission*

*Amendment*

(4) the coverage of areas with severe and permanent natural or demographic handicaps defined as follows:

(a) island Member States eligible under the Cohesion Fund, and other islands except those on which the capital of a Member

(4) the coverage of areas with severe and permanent natural or demographic handicaps defined as follows:

(a) island Member States eligible under the Cohesion Fund, and other islands except those on which the capital of a Member



State is situated or which have a fixed link to the mainland;

(b) mountainous areas as defined by the national legislation of the Member State;

(c) sparsely (less than 50 inhabitants per square kilometre) and very sparsely (less than 8 inhabitants per square kilometre) populated areas.

State is situated or which have a fixed link to the mainland;

(b) mountainous areas as defined by the national legislation of the Member State;

(c) sparsely (less than 50 inhabitants per square kilometre) and very sparsely (less than 8 inhabitants per square kilometre) populated areas;

***(ca) regions that suffer from severe demographic vulnerability.***

#### Amendment 184

##### Proposal for a regulation

##### Part 3 – article 112 – paragraph 1

###### *Text proposed by the Commission*

1. Member States shall ensure that management and control systems for operational programmes are set up in accordance with Articles 62 and 63.

###### *Amendment*

1. Member States shall ensure that management and control systems for operational programmes are set up in accordance with Articles 62 and 63. ***The Member States should ensure that the general principles of proportionality (Article 4.5) and reducing administrative costs (Article 4.10) are observed.***

#### Amendment 185

##### Proposal for a regulation

##### Part 3 – article 112 – paragraph 2 – subparagraph 1

###### *Text proposed by the Commission*

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

###### *Amendment*

Member States shall prevent, detect and correct irregularities and shall recover amounts unduly paid, together with any interest on late payments. ***If, in individual cases, funds are incorrectly allocated, as a result of rounding or accounting errors or because expenditure is ineligible for funding, it is not necessary to return this money and such cases will not be pursued provided the funds do not exceed EUR 250. This limit for small claims also applies to the relevant interest if the***

*expected interest is not greater than EUR 250. This expenditure may not be deducted from the expenses declared in the declarations of expenditure.* They shall notify these irregularities to the Commission and shall keep the Commission informed of the progress of related administrative and legal proceedings.

#### **Amendment 186**

##### **Proposal for a regulation**

##### **Part 3 – article 113 – paragraph 1 a (new)**

*Text proposed by the Commission*

*Amendment*

***1a. The Member States are called upon to nominate a one stop agency for applicants.***

#### **Amendment 187**

##### **Proposal for a regulation**

##### **Part 3 – article 113 – paragraph 5**

*Text proposed by the Commission*

*Amendment*

5. For the Investment for growth and jobs goal, provided that the principle of separation of functions is respected, the managing authority, the certifying authority, where applicable, and the audit authority may be part of the same public authority or body. However, for those operational programmes for which the total amount of support from the Funds exceeds EUR 250 000 000, the audit authority may not be part of the same public authority or body as the managing authority.

5. For the Investment for growth and jobs goal, provided that the principle of separation of functions is respected, the managing authority, the certifying authority, where applicable, and the audit authority may be part of the same public authority or body. However, for those operational programmes for which the total amount of support from the Funds exceeds EUR 250 000 000, the audit authority may not be part of the same public authority or body as the managing authority, ***unless the Commission has reached the conclusion, in the previous programming period, that it can rely on the audit opinion of the Member State.***

**Amendment 188****Proposal for a regulation****Part 3 – article 114 – paragraph 3 – point a***Text proposed by the Commission*

- (a) draw up and, once approved, apply appropriate selection procedures and criteria that:
- (i) are non-discriminatory and transparent;
  - (ii) take into account the general principles set out in Articles 7 and 8;

*Amendment*

- (a) draw up and, once approved, apply appropriate selection procedures and criteria that:
- (i) are **clear**, non-discriminatory and transparent;
  - (ii) take into account the general principles set out in Articles 7 and 8;

**Amendment 189****Proposal for a regulation****Part 3 – article 114 – paragraph 8***Text proposed by the Commission*

8. The Commission shall adopt delegated acts, in accordance with Article 142, laying down the modalities of the exchange of information **in paragraph 2(d)**.

*Amendment*

8. The Commission shall **be empowered to** adopt delegated acts, in accordance with Article 142, laying down **detailed rules for establishing the system as referred to in point (d) of paragraph 2, as well as** the modalities of the exchange of information **recorded and stored within that system**.

**Amendment 190****Proposal for a regulation****Part 3 – article 114 – paragraph 9***Text proposed by the Commission*

9. The Commission shall adopt delegated acts, in accordance with Article 142, laying down rules concerning **arrangements for** the audit trail referred to in paragraph 4(d).

*Amendment*

9. The Commission shall **be empowered to** adopt delegated acts, in accordance with Article 142, laying down rules concerning the audit trail referred to in paragraph 4(d).

**Amendment 191****Proposal for a regulation****Part 3 – article 116 – paragraph 7***Text proposed by the Commission*

**7. Implementing rules concerning** the use of data collected during audits carried out by Commission officials or authorised Commission representatives ***shall be adopted by the Commission in accordance with the examination procedure referred to in Article 143(3).***

*Amendment*

**7. The Commission shall be empowered to adopt delegated acts, in accordance with Article 142, laying down detailed rules on** the use of data collected during audits carried out by Commission officials or authorised Commission representatives.

**Amendment 192****Proposal for a regulation****Part 3 – article 120 – paragraph 1***Text proposed by the Commission*

1. The Commission shall reimburse as interim payments **90%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

*Amendment*

1. The Commission shall reimburse as interim payments **95%** of the amount resulting from applying the co-financing rate for each priority axis laid down in the decision adopting the operational programme to the eligible expenditure for the priority axis included in the payment application. It shall determine the annual balance in accordance with Article 130(1).

**Amendment 193****Proposal for a regulation****Part 3 – article 123 – paragraph 1***Text proposed by the Commission*

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the

*Amendment*

1. Member States which have not adopted the euro as their currency on the date of an application for payment shall convert the amounts of expenditure incurred in national currency into euro. This amount shall be converted into euro using the monthly accounting exchange rate of the Commission in the month during which the

report, whichever date is later.

report, whichever date is later.

***The beneficiary has to receive the entire final payment of the balance within the deadlines and on the conditions fixed by the European late payment Directive.***

## Amendment 198

### Proposal for a regulation Part 3 – article 134

#### *Text proposed by the Commission*

1. All or part of the interim payments at the level of priority axes or operational programmes may be suspended by the Commission ***where***:

- (a) there is a serious deficiency in the management and control system of the operational programme for which corrective measures have not been taken;
- (b) expenditure in a statement of expenditure is linked to an irregularity having serious financial consequences which has not been corrected;
- (c) the Member State has failed to take the necessary action to remedy the situation giving rise to an interruption under Article 74;
- (d) there is a serious deficiency in the quality and reliability of the monitoring system or of the data on common and specific indicators;
- (e) the Member State has failed to undertake actions set out in the operational programme relating to fulfilment of an *ex ante* conditionalities;
- (f) there is evidence resulting from a performance review that a priority axis has failed to achieve the milestones set out in the performance framework;
- (g) the Member State fails to respond or does not reply satisfactorily under Article***

#### *Amendment*

1. All or part of the interim payments at the level of priority axes or operational programmes may be suspended by the Commission ***if one or more of the following conditions is met***:

- (a) there is a serious deficiency in the management and control system of the operational programme for which corrective measures have not been taken;
- (b) expenditure in a statement of expenditure is linked to an irregularity having serious financial consequences which has not been corrected;
- (c) the Member State has failed to take the necessary action to remedy the situation giving rise to an interruption under Article 74;
- (d) there is a serious deficiency in the quality and reliability of the monitoring system or of the data on common and specific indicators;
- (e) the Member State has failed to undertake actions set out in the operational programme relating to fulfilment of an *ex ante* conditionalities;
- (f) there is evidence resulting from a performance review that a priority axis has failed to achieve the milestones set out in the performance framework.

***deleted***

**Amendment 199****Proposal for a regulation****Part 3 – article 136 – paragraph 6***Text proposed by the Commission*

6. The Commission shall be empowered **to adopt** delegated acts in accordance with Article 142 **establishing** the criteria for establishing the level of financial correction to be applied.

*Amendment*

6. The Commission shall be empowered, **by means of** delegated acts in accordance with Article 142, **to establish** the **cases that are to be regarded as serious deficiencies within the meaning of this article and the** criteria for establishing the level of financial correction to be applied.

**Amendment 200****Proposal for a regulation****Part 3 – article 137 – paragraph 5***Text proposed by the Commission*

5. In order to apply financial corrections the Commission shall take a decision, by means of implementing acts, within six months of the date of the hearing, or of the date of receipt of additional information where the Member State agrees to submit such additional information following the hearing. The Commission shall take account of all information and observations submitted during the course of the procedure. If no hearing takes place, the six month period shall begin to run two months after the date of the letter of invitation to the hearing sent by the Commission.

*Amendment*

5. In **absence of an agreement and in** order to apply financial corrections the Commission shall take a decision, by means of implementing acts, within six months of the date of the hearing, or of the date of receipt of additional information where the Member State agrees to submit such additional information following the hearing. The Commission shall take account of all information and observations submitted during the course of the procedure. If no hearing takes place, the six month period shall begin to run two months after the date of the letter of invitation to the hearing sent by the Commission.

## Amendment 201

## Proposal for a regulation

## Part 3 – article 141 – paragraph 1

*Text proposed by the Commission*

The Commission **may** adopt, **by means of** delegated acts in accordance with Article 142, amendments to **the Annex V to this Regulation within the scope of the relevant provisions of this Regulation.**

*Amendment*

The Commission **shall be empowered to** adopt delegated acts, in accordance with Article 142, **concerning** amendments to Annex V **in order to supplement the information and publicity requirements if necessary.**

## Amendment 202

## Proposal for a regulation

## Part 3 – article 142 – paragraph 1

*Text proposed by the Commission*

1. The **powers** to adopt delegated acts **are** conferred on the Commission subject to the conditions laid down in this Article.

*Amendment*

1. The **power** to adopt delegated acts **is** conferred on the Commission subject to the conditions laid down in this Article **and shall not apply retrospectively.**

## Amendment 203

## Proposal for a regulation

## Part 3 – article 142 – paragraph 2

*Text proposed by the Commission*

2. The delegations of power referred to in **this Regulation** shall be conferred for **an indeterminate** period of **time** from **the date of entry into force of this Regulation.**

*Amendment*

2. The delegations of power referred to in **Articles...<sup>1</sup>** shall be conferred **on the Commission** for **a period of 3 years** from ....<sup>2</sup>. **The Commission shall draw up a report in respect of the delegation of power not later than 9 months before the end of the three year period. The delegation of power shall be tacitly extended until the review of this Regulation, unless the European Parliament or the Council opposes such extension not later than 3 months before the end of that period.**

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<sup>1</sup> (insert all the numbers of all the Articles where delegated acts are provided for)

<sup>2</sup> OJ: Please insert date of entry into force of this Regulation)

## Amendment 204

### Proposal for a regulation

#### Part 3 – article 142 – paragraph 3

##### *Text proposed by the Commission*

The delegations of power referred to in **Article 141** may be revoked at any time by the European Parliament or by the Council.

A decision of revocation shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

##### *Amendment*

The delegations of power referred to in **Articles...**<sup>1</sup> may be revoked at any time by the European Parliament or by the Council.

A decision of revocation shall put an end to the delegation of the power specified in that decision. It shall take effect the day following the publication of the decision in the *Official Journal of the European Union* or at a later date specified therein. It shall not affect the validity of any delegated acts already in force.

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<sup>1</sup> (insert all the numbers of all the Articles where delegated acts are provided for)

## Amendment 205

### Proposal for a regulation

#### Part 3 – article 142 – paragraph 5

##### *Text proposed by the Commission*

5. **The** delegated **acts** shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of **2 months** of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That period shall be extended

##### *Amendment*

5. **A** delegated **act adopted pursuant to Articles...**<sup>1</sup> shall enter into force only if no objection has been expressed either by the European Parliament or the Council within a period of **3 months** of notification of that act to the European Parliament and the Council or if, before the expiry of that period, the European Parliament and the Council have both informed the Commission that they will not object. That



by **2 months** at the initiative of the European Parliament or the Council.

period shall be extended by **3 months** at the initiative of the European Parliament or the Council.

*If, on expiry of that period, neither the European Parliament nor the Council has objected to the delegated act, it shall be published in the Official Journal of the European Union and shall enter into force at the date stated therein.*

*deleted*

*The delegated act may be published in the Official Journal of the European Union and enter into force before the expiry of that period if the European Parliament and the Council have both informed the Commission of their intention not to raise objections.*

*deleted*

*If the European Parliament or the Council objects to a delegated act, the act shall not enter into force. The institution that objects to the delegated act shall state the reasons for its objections.*

*deleted*

<sup>1</sup> *(insert all the numbers of all the Articles where delegated acts are provided for)*

## Amendment 206

### Proposal for a regulation Annex -I (new)

*Text proposed by the Commission*

*Amendment*

#### *Annex -I*

#### *Common Strategic Framework*

*The purpose of this framework is to serve, in accordance with Article 10, as a means of coordinating, integrating and balancing the objectives of different policies in specific national, regional and local contexts, and, in particular, as a means of coordinating and balancing priorities with the thematic objectives set out in Article 9 and without prejudice to the priorities and objectives laid down in the fund-specific regulations. Implementation of this framework must respect the principle of simplification.*

#### *1. Horizontal Principles and cross-cutting challenges*

##### *1.1 Partnership and multi-level governance*

##### *1.1.1 The principle of multi-level governance requires coordinated action carried out in*

*accordance with the principles of subsidiarity and proportionality, and in partnership. It also must take the form of operational and institutionalised cooperation, in particular with regard to the drawing-up and implementation of the Union's policies. Explicit reference to the principle of multi-level governance in this Regulation represents a reinforcement of that principle and provides an added value for cohesion policy itself.*

*1.1.2 The principle of multi-level governance must be respected in order to facilitate achieving social, economic and territorial cohesion and delivery of the Union's priorities of smart, sustainable and inclusive growth. Member States and regions must therefore make full use of the partnerships established in the framework of the Funds covered by the CPR to ensure better coordination and integration with other Union instruments and policies.*

*1.1.3 In order to ensure effective multi-level governance Member States and regions must carry out the following actions:*

- a) implement partnership according to the European code of conduct as referred to in Article 5;*
- b) establish coordination mechanisms between the different levels of governance in accordance with the respective constitutional powers systems;*
- c) report regularly on the implementation of partnership.*

*1.1.4 At all stages of the implementation of the Funds covered by the CPR, partnership must be organised so as to directly involve regional and local authorities in the preparation and eventual revision of Partnership Contracts, and of programmes and also in the preparation, implementation, monitoring and evaluation of those programmes. Social and economic partners, other public authorities, as well as bodies representing civil society, including environmental partners, non-governmental organisations and bodies responsible for promoting equality and non-discrimination, the cooperation with whom shall follow best practices, must also be involved, where appropriate, by Member States and regional and local authorities, in order to ensure partnership in all phases of policy implementation.*

## *1.2 Sustainable development*

*1.2.1 The principle of sustainable development, as laid down in Article 3 of the Treaty on European Union (TEU), relates to a concept of progress according to which social, economic and environmental considerations are to be integrated when considering well-being and a better quality of life for the present and future generations. National, regional, local and other relevant authorities should identify those projects that will have the biggest impact in terms of achieving the principles of Article 3(TEU) in order to guarantee social, economic and territorial cohesion. Funds covered by the CPR should pay particular attention to regions and undertakings affected by restructuring and relocation processes.*

*Sustainable development requires also compliance with the environmental acquis.*

*1.2.2 Sustainable Development considerations as well as the polluter pays principle must, therefore, be an integral part of every plan, from design to delivery, and monitoring to evaluation. Non-application of the polluter pays principle may be permitted only in the exceptional cases that are foreseen in the Treaty on the Functioning of the European Union and provided that clear mitigating measures are in place.*

*1.2.3 In order to tackle the complex challenges they face, Member States and regions must deploy all available Union policy instruments. In particular, for the purposes of tackling climate change which present different risks for different regions, resources must be*

*focused on preventive and mitigating measures, while minimising external costs. Any new investment made with the support of the Funds covered by the CPR must be highly energy and resource efficient and of a nature such that it is inherently resilient to the impact of climate change and natural disasters.*

*1.2.4 Investments supported by ERDF and CF in Member States' and Regions infrastructures; shall meet the requirements of the environmental acquis (e.g. the water framework<sup>1</sup>, habitat, waste and other relevant directives). Technological solutions, aimed at contributing to sustainable actions, exist and new ones are emerging, the ERDF must therefore continue to provide support to research in this area. Such support must aim to complement measures covered by Horizon 2020. Finance for biodiversity actions may also be made available through the funds covered by the CPR, in line with the prioritized action framework referred to in Article 8(4) of the Habitats Directive (92/43/EEC), regarding prioritized action framework. The EAFRD may also be used to provide support for sustainable management of natural resources, to foster knowledge transfer and innovation, enhance the competitiveness of agriculture and the inclusive development of rural areas. EMFF will help to achieve the growth, jobs and sustainability objectives of the reformed Common Fisheries Policy and will support the implementation of the EU Integrated Maritime Policy. The EMFF can finance sustainable fisheries and aquaculture, contributing to sustainable marine ecosystems and inclusive territorial development and management.*

<sup>1</sup> OJ ref.....Directive 2000/60/EC of the European Parliament and of the Council of 23 October 2000.

*1.2.5 Pursuing sustainable development must not be a technical exercise. In order to ensure that this goal is mainstreamed in the operation of the Funds covered by the CPR on the ground, managing authorities must have due and consistent regard to this goal throughout the programme lifecycle, and must take a more active approach in reducing environmentally harmful effects of interventions and promoting sustainable management of biodiversity and ecosystems, especially in the Outermost regions, by, inter alia, taking the following actions:*

- a) directing investments towards the most resource-efficient options,*
- b) carefully weighing the need for investments where those investments have a significant negative environmental impact,*
- c) taking a long-term perspective when 'life-cycle' costs of alternative methods of investment are compared,*
- d) the use of green public procurement,*
- e) directing investments towards accessibility for all users contributing to a barrier-free environment for persons with disabilities.*

### *1.3 Promotion of equality between men and women and non-discrimination*

*1.3.1 Member States and regions must take appropriate steps to eliminate inequalities, promote equality between men and women, and prevent any discrimination based on sex, racial or ethnic origin, religion or belief, disability, age, sexual orientation or gender identity, at all stages of the implementation of the Funds covered by the CPR.*

*1.3.2 Member States and regions must pursue the objective of equality between men and women and must take appropriate steps to prevent any discrimination during the*

*preparation, implementation, monitoring and evaluation of operations in the programmes co-financed by the Funds covered by the CPR and clearly state the actions to take into account this principle in the programmes.*

*1.3.3 Higher participation of women on equal footing with men in the labour market, both as employers and employees, would invigorate the Union's economy. Unblocking the potential for such an increase in activity, by raising the female employment rate, is crucial for reaching the Europe 2020 employment targets. Barriers to women's labour market participation, must, therefore, be fully assessed and addressed, promoting, inter alia, the reduction of labour market segmentation by occupational field and sector. Member States and regions must ensure through specific actions that in addition to the ESF, the other four Funds covered by the CPR also finance activities that promote women's economic independence, contribute to attaining an appropriate balance between work, personal and family life, and that advance women's opportunities as entrepreneurs.*

*1.3.4 Monitoring and evaluating programmes will help to measure the impact of the expected contribution of the Funds covered by CPR to gender equality.*

#### *1.4 Accessibility*

*1.4.1 All products and services that are offered to the public and are co-financed by the Funds covered by the CPR must be accessible. In particular, ensuring and promoting, with appropriate steps taken by Member States, barrier-free, built environment, transport, information and communication technologies is essential for the purposes of inclusion for disadvantaged groups, including persons with disabilities.*

*1.4.2 It is crucial to appropriately address the needs of disadvantaged groups in order to allow them to better integrate into the labour market, and to fully participate in society.*

*1.4.3 Member States and regions must continue to promote social cohesion, on an equal footing to economic and territorial cohesion, across all EU regions, if the EU 2020 Strategy is to achieve its targets.*

#### *1.5 Demography*

*1.5.1 Adapting to demographic change is one of the core challenges facing Member States and regions in the decades to come. The combination of a smaller working population and a higher share of retired people as well as the problems of population dispersion, will place additional strains on Member States' welfare systems and thus on the Union's economic competitiveness.*

*1.5.2 Demographic change gives rise to a broad range of new challenges. Such demographic developments must be analysed, at regional and local level in particular, wherever different development trends become apparent. Member States and regions shall, where appropriate, draw on the Funds covered by the CPR to develop tailor-made strategies to tackle demographic problems and to create opportunities for developing the 'silver economy'.*

*1.5.3 Member States and regions shall use the Funds covered by the CPR to take action to facilitate inclusion of all age groups, fostering, inter alia, the creation of job opportunities for the elderly, which will bring about significant benefits to people, including younger people with disabilities, society as a whole and public budgets. Making the best use of all existing human resources, including the unemployed youth, reflects one of the immediate tasks for the Funds covered by the CPR in contributing to maximising the potential of all of*

*the Union's population, to be achieved through active inclusion policies, as well as improved access, sufficiency and quality of, education and social support structures. Investments in health infrastructures would serve the same goal of a long and healthy working life for all of the Union's citizens. Quality job creation for young people in regions with high rates of youth unemployment in comparison to the EU average rate should be a priority. Moreover Member States and regions shall promote projects that combine social and territorial cohesion, employment targets and strategies with integrated active inclusion approaches, such as projects designed to strengthen intergenerational solidarity at regional and local level and the active inclusion of vulnerable groups.*

*1.5.4 In the most disadvantaged areas, social exclusion can be treated successfully in the framework of comprehensive, multi-fund programmes which target human resource development and social infrastructure development.*

*1.5.5 In drawing up their programmes, Member States and regions must take account of the long-term challenges of demographic change. In those regions most affected by demographic change, they must identify measures to:*

- a) support demographic renewal through better conditions for families and an improved reconciliation of working, private and family life;*
- b) boost employment; raise productivity and economic performance through investing in education, research & development and innovation;*
- c) focus, as appropriate, on the adequacy and quality of education and training, social protection and social support structures; and*
- d) ensure provision of health, social services and long-term care including investment in infrastructure.*

### *1.6 Integrated approach*

*1.6.1 An integrated territorial approach is fundamental to respond effectively to the challenges Member States and regions are confronted with. Those challenges are related to the impacts of: globalisation; environmental and energy concerns; ageing-related problems and demographic change; technological transformation and innovation demands; and income inequality and social segregation. The complex and interrelated nature of these issues means that solutions must be integrated, multi-sectoral and multi-dimensional in nature in order to succeed.*

*1.6.2 In this context, the combined use of the Funds covered by the CPR offers the possibility to combine the power of different EU funds into integrated packages which are tailor-made to fit specific territorial needs. The Relevant instruments provided for in this Regulation can increase the effectiveness and efficiency of policies pursuing territorial development.*

*1.6.3 Special attention shall be given for areas in which instruments of other Union policies explicitly provide for an integrated approach, and, where relevant, resources from Funds covered by the CPR shall be allocated, with a view to ensure joint funding for integrated projects, especially in the field of environment and climate.*

*1.6.4 To fully address the principle of territorial cohesion, the integrated approach to promoting smart, sustainable and inclusive growth needs to reflect the role of cities, rural areas, fisheries and coastal areas, areas facing specific geographical or demographic problems, and take account of the specific challenges of the outermost regions, the*

*northernmost regions with a very low population density and of island, cross-border or mountain regions. It also needs to address urban-rural linkages, in terms of access to affordable, quality infrastructures and services.*

*1.6.5 When developing their strategies and programmes with a view to identifying the most appropriate interventions, Member States together with regions and local governments must pay particular attention to predominant territorial, structural and institutional features, such as connectivity of the territory in question; employment patterns and labour market structure; cross-border interconnections; potential for more sustainable use of natural resources including renewables; rural-urban linkages biodiversity; the local interdependencies between different sectors; cultural and historical heritage; ageing and demographic shifts; etc.*

*1.6.6 Member States together with their regions and local government must analyse what the major economic and societal challenges they face are. In response to those challenges, they must also consider what the particular aspect of the well-being of their citizens are, that they wish to influence and enhance by means of the policy, and how the policy is to be designed and delivered in the particular context of the Member State or region in question.*

*1.6.7 So as to foster good policies which are tailored to specific regional needs, Member States and regions must further develop an integrated territorial approach to policy design and delivery, taking account of relevant contextual aspects but focusing on the basis of the following central elements:*

- a) an evaluation of the Europe 2020 development potential, capacity and development challenges and capacity of Member States, regions and local governments and their ability to address them;*
- b) an evaluation and assessment of implementation of new territorial instruments such as Integrated territorial investments, Joint Action Plans and Community-led local development;*
- c) where appropriate, an assessment of challenges of cross-border nature;*
- d) consideration of the appropriate territorial scale and context for policy design and delivery, according to the subsidiarity principle;*
- e) design of the multi-level governance arrangements necessary to ensure effective policy delivery;*
- f) consideration of multi fund programmes;*
- g) the choice of appropriate result and outcome indicators, to be used for policy monitoring and evaluation.*

*2. Synergies and coordination of Funds covered by the CPR with instruments of other EU policies*

### *2.1 Introduction*

*2.1.1 With a view to achieving optimal results for sustainable growth and development on the ground, it is important to coordinate all Union policies and their instruments which play a role in achieving economic, social and territorial cohesion and a better balanced territorial development in the EU. This must also be reflected in better coordination between the Union budget and the Member States' national and sub-national budgets, in strengthening the integration and effectiveness of policies and the control over public*

*finance balances, and in financing common political priorities as well as in improved vertical cooperation between the EU and national, regional and local entities.*

*2.1.2 The Commission shall ensure maximum coordination of its relevant services in the course of negotiations and implementation of the Partnership Contracts and the programmes.*

*2.1.3 For the purpose of efficient coordination of Union policies and funds on the national level, implementation and control systems must be made as simple as possible. For this purpose Member States shall analyse their institutional system for implementation of the funds and make necessary steps to simplify it.*

*2.1.4 Synergies and coordination do not imply one size-fits-all solutions. In this context, Member States and regions shall undertake a closer analysis of the impact of Union policies in the regions and on cohesion with a view to fostering effective synergies and to identifying and promoting the most suitable means at European level of supporting local and regional investment.*

*2.1.5 Member States and regions must ensure consistency at programming and implementation stages between interventions supported by the Funds covered by the CPR and the objectives of other EU policies. To this end, they must seek to:*

*a) Identify and exploit complementarities between different Union instruments at national and regional level, both in the planning phase and during implementation.*

*b) Optimise existing structures and where necessary establish new ones that facilitate the strategic identification of priorities for the different instruments and structures for coordination at national level, avoid duplication of effort and identify areas where additional financial support is needed.*

*c) Make full use of the potential to combine support from different instruments to support individual operations and work closely with those responsible for implementing other national instruments to deliver coherent and streamlined funding opportunities for beneficiaries.*

## **2.2 Horizon 2020**

*2.2.1 It is crucial to strengthen synergies and complementarities between cohesion Policy and Horizon 2020, while establishing a clear division of areas of intervention between them.*

*2.2.2 In particular, Member States and regions must develop a national or regional research and innovation (R&I) strategy for 'smart specialisation' in line with the National Reform Program. These strategies must be developed through close collaboration between relevant managing authorities and the authorities directly concerned by Horizon 2020, but also involving stakeholders such as universities and higher education institutions, local industry, SMEs, and social partners. Those innovation strategies must take into account both upstream and downstream actions to and from Horizon 2020.*

*2.2.3 Upstream actions to prepare regional R&I players to participate in Horizon 2020 projects ("stairways to excellence") will be developed through capacity building. Communication and cooperation between Horizon 2020 national contact points and managing authorities of the Funds covered by the CPR must be strengthened.*

*2.2.4 Downstream actions must provide the means to exploit and diffuse R&I results, stemming from Horizon 2020, into the market and into the wider research community, with*

*particular attention at creating an innovation-friendly business environment for SMEs and regional industry. Such actions may include, inter alia: pilot plants and demonstration sites, proof of concept and early stage financing, incubation facilities, applied research, specific industrial and technology transfer capabilities and cluster support.*

*2.2.5 Joint support must be provided to national and regional authorities for the design and implementation of such innovation strategies, which may include: support to identify opportunities for joint financing of R&I infrastructures of European interest, the promotion of international collaboration, methodological support through peer reviews, exchange of good practice, and training across regions.*

*2.2.6 Member States and regions must consider the following additional measures aimed at unlocking their potential for excellence and innovation, in a manner that is complementary to and creates synergies with Horizon 2020:*

- a) linking emerging centres of excellence, and innovative regions in less developed Member States to leading counterparts elsewhere in Europe;*
- b) building links with innovative clusters and recognising excellence in less developed regions;*
- c) establishing "ERA Chairs" to attract outstanding academics; and*
- d) supporting access to international networks for researchers and innovators.*

### **2.3 LIFE**

*2.3.1 Synergies with Union policy instruments (both funding and non-funding instruments) serving climate change mitigation, environmental protection and resource efficiency must be exploited where possible.*

*2.3.2 As programmes will contribute to the goals of sustainable growth through stronger thematic focus and by a reinforced sustainable development principle, the synergies referred to in 2.3.1 are inherent in the regulatory framework of the Funds covered by the CPR.*

*2.3.3 Synergies with LIFE, in particular with integrated projects in the areas of nature (in particular ecosystem services and biodiversity), water, waste, air, climate change mitigation and climate change adaptation must be pursued, in particular with Integrated Projects. In these cases, the relevant sectoral plans, programmes or strategies (the Prioritised Action Framework, the River Basin Management Plan, the Waste Management Plan), as referred to in the LIFE Regulation, will serve as the coordination framework for support from the different Funds. Coordination with LIFE must be ensured through supporting projects that are of a complementary nature, as well as by promoting the use of solutions, methods and approaches validated under the LIFE Programme. In this context for Partnership Contracts that identify environment or climate as thematic objectives (thematic objectives 4, 5, 6 as defined in Article 9), the Member State shall ensure that priority is given to funding activities that complement integrated projects in those fields.*

*2.3.4 Programmes, where appropriate, shall envisage arrangement of cooperation on environmental and climate priorities between different sectoral administrations on the appropriate territorial level, including inter alia the identification of potential activities that complement integrated projects in the environment and climate field and, the determination of funding areas where the use of solutions, methods and approaches validated under the LIFE programme can be beneficial.*



**2.3.5** *Where appropriate, the use of Environmental Impact Assessments (EIAs), Sustainability Impact Assessments (SIAs), Strategic Environmental Assessments (SEAs) and other relevant instruments must be enhanced in order to prevent and take account of biodiversity loss and the effects of climate change in territorial planning (including macro-regional strategies) and regional and local decision-making.*

**2.3.6** *Member States and regions shall promote green infrastructure, eco-innovation, ecosystem based solutions, energy efficiency and the adoption of innovative technologies in order to create a greener economy.*

**2.3.7** *Capacity building is a prerequisite to deliver on these activities, and it must enhance potentials and develop skills both in local and regional authorities and partners.*

**2.3.8** *Given that environmental challenges do not respect administrative boundaries, cross-border, interregional and transnational cooperation among European, national, regional and local authorities with regard to protecting biodiversity and natural resources across regions is of utmost importance. Better use of the potential of territorial cooperation and exchanges of information, experience and good practice would contribute significantly to achieving environmental and climate-related objectives.*

**2.3.9** *In addition, financing from the Funds covered by the CPR must, where appropriate, be coordinated with the support from the NER 300 Programme, which uses revenue from auctioning allowances under the European Emissions Trading Scheme, in order to boost renewable energy sources and energy saving measures.<sup>2</sup>*

<sup>2</sup> OJ L 290, 6.11.2010, p. 39–48 2010/670/EU: Commission Decision of 3 November 2010 laying down criteria and measures for the financing of commercial demonstration projects that aim at the environmentally safe capture and geological storage of CO<sub>2</sub> as well as demonstration projects of innovative renewable energy technologies under the scheme for greenhouse gas emission allowance trading within the Community established by Directive 2003/87/EC of the European Parliament and of the Council (2010/670/EU) OJ L 275, 25.10.2003, p. 32–46.

## **2.4 ERASMUS for All**

**2.4.1** *The synergies between the Funds covered by the CPR and the ‘Erasmus for All’ programme must be ensured in order to maximise the efficiency of the tools developed and the social and economic impact of investment in people. That investment will crucially benefit both individuals and society as a whole by contributing to growth and prosperity, providing a better intercultural understanding, giving access to a wide range of education and training actions, and giving impulses to youth initiatives and citizen actions. ‘Erasmus for All’ supports mainly transnational projects, whereas cohesion Policy has a more pronounced regional dimension. Member States and Regions are encouraged to test tools and methods resulting from transnational cooperation through ‘Erasmus for All’ and then to implement them on their territory through Funds covered by the CPR.*

**2.4.2** *The Commission and Member States must ensure effective coordination between cohesion policy and ‘Erasmus for All’ through a clear distinction in the types of investment and target groups supported, including vulnerable groups. ‘Erasmus for All’ shall focus its support on transnational projects including the mobility of students, youth, entrepreneurs and representatives of local and regional councils; on strategic partnerships between organisations and institutions across Europe and on actions supporting policy development and implementation. The primary investment priority targets for cohesion policy will*

*respond to the need to ensure complementarity to "Erasmus for all" in the fields of: education, labour market training (to support young adults in search for work or a change in their career), adult learners in general, as well as capacity building, especially in less developed and transition regions.*

*2.4.3 Furthermore, enhanced results will be achieved by promoting complementarity of funding for mobility and the funding of activities that mainstream best practices and innovative projects identified at EU level under Erasmus for All'. The National Agencies, established under this programme, can contribute to achieving this coordination and can foster a real, transparent and easily accessible communication towards the citizens at EU, national and regional level.*

## **2.5 Programme for Social Change and Innovation (PSCI)**

*2.5.1 The synergies between the Funds covered by the CPR and the European Union Programme for Social Change and Innovation (PSCI) should be increased, by providing financial support for the European Union's objectives in terms of promoting a high level of high-quality employment, guaranteeing adequate and decent social protection, combating social exclusion and poverty, improving working conditions and improving the employment and educational situation of young people.*

*2.5.2 Member States should use the opportunity and deliver on complementary actions under ESF consistent with activities carried out under the PSCI in areas such as social dialogue, fundamental rights, equal opportunities, education, vocational training, children's rights and well-being, youth policy, migration policy, research and innovation, entrepreneurship, health, working conditions, enlargement and external relations, as well as general economic policy.*

*2.5.3 In border regions, EURES cross-border partnerships play an important role in developing a genuine European labour market. EURES cross-border partnerships should therefore continue to be supported through horizontal Union activities, which could be complemented by national resources or by the ESF.*

*2.5.4 Member states should coordinate corresponding actions under the Funds covered by the CPR, in particular under the ESF and the ERDF, with actions of the PSCI-pillar microfinance, that aims at increasing access to and the availability of microfinance to persons who have lost or are at risk of losing their jobs, or having difficulties in entering or re-entering the labour market, as well as to persons who are at risk of social exclusion and vulnerable persons in disadvantaged positions with regard to access to the conventional credit market and wishing to start up or develop their own micro-enterprises, including self-employment, without any discrimination regarding age, as well as to micro-enterprises, especially in the social economy, and micro-enterprises which employ the furthest persons from the labour market.*

## **2.6 CEF + TENs, energy and telecommunications networks**

*2.6.1 In order to maximise European added value, complementarity and coordination must be ensured, in the common fields of intervention, between the ERDF and the Cohesion Fund, Trans-European Networks and the Connecting Europe Facility (CEF), so as to ensure that optimal links of different types of infrastructure (in Transport, Energy and Telecommunications) at local, regional and national levels, and across the Union are provided for. Maximum leverage of funds must be ensured for projects with a European and Single Market dimension, in particular priority transport, energy and digital networks.*

*In particular, the east-west connections require improvement, through the creation of new transport infrastructure and/or maintenance, rehabilitation or upgrading of existing infrastructure.*

*2.6.2 Just as national infrastructure needs to be planned coherently, both by taking into account the development of Union cross border links, and by developing links across regions within a Member State, plans must be based on real and projected transport demand and identify missing links and bottlenecks. Investment in regional connectivity to the comprehensive network and to the core Trans European Network of transport infrastructure (TEN-T) network must ensure that urban and rural areas benefit from the opportunities created by major networks and the filling in of missing links of sustainable transport modes in trans-border connections.*

*2.6.3 Prioritisation of investments which have an impact beyond a certain Member State, must be coordinated with TEN-T planning so that investments by the ERDF and the Cohesion Fund in transport infrastructure are fully in line with the TEN-T Guidelines, which define the Union's transport priorities, including: accessibility for elderly people and disabled passengers, addressing the climate change challenge, the future development of an integrated TEN-T network, and the multimodal corridor concept.*

*2.6.4 The Commission's White Paper on Transport<sup>3</sup> sets out a vision for a competitive and resource-efficient transport system, highlighting that a significant reduction in greenhouse gases is required in the transport sector. For the Funds covered by the CPR, this means focusing on sustainable forms of transport and investing in areas that offer the greatest European added value, for example Trans-European Networks. Once identified, investments must be prioritised according to their contribution to accessibility and mobility, sustainability, reducing greenhouse gas emissions, increasing safety, noise reduction and to the Single European Transport Area.*

<sup>3</sup> "Roadmap to a Single European Transport Area – Towards a competitive and resource efficient transport system" COM 2011) 144 final

*2.6.5 Member States and regions must focus investments on building new infrastructure and enhancing the capacity of existing infrastructure through substantial upgrading.*

*2.6.6 With regard to maritime transport, ports must be developed as efficient entry and exit points through full integration with land infrastructure. Priority must be given to projects concerning port access and hinterland connections. The development of inland waterways must assess their environmental impact while reinforcing their contribution to sustainable European freight transport networks.*

*2.6.7 In particular, complementarity must be sought between infrastructure investments by the ERDF and the Cohesion Fund, under shared management, and by the Connecting Europe Facility (CEF), which is a centrally managed facility with competitive project selection. The CEF will fund projects on the core network (the strategically most important parts of the comprehensive network) that have the highest European added-value and appear to be the most complex with regard to the TEN-T in terms of implementation: cross-border missing links, key bottlenecks and interconnection of transport modes. The Cohesion Fund will concentrate on high European added-value projects to remove bottlenecks in transport networks by supporting TEN-T infrastructure, for both the core and the comprehensive network.*

**2.6.8 Cohesion and Structural Funds will deliver the local and regional infrastructures and their linkages to the priority Union networks, with a special emphasis on border regions, in the energy and telecommunication areas also. Moreover, they will promote sustainable urban mobility through investments aiming at, inter alia, bypassing of urban areas, promotion of modern and environmentally friendly public transport, intelligent traffic management systems as well as public logistic platforms or intermodal terminals.**

**2.6.9 The CEF is complementary to the Cohesion and Structural Funds since it contributes to the achievement of regional and local development goals in terms of infrastructure, in order to deliver maximum added value for a functional, integrated Single Market and for social, economic and territorial cohesion.**

## **2.7 IPA, ENPI, EDF**

**2.7.1 Increasing synergies between external instruments and cohesion policy must be sought, to improve effectiveness in achieving multiple Union policy objectives. In particular, synergies and complementarities must be built upon with regard to the European Development Fund, the Pre Accession Instrument and the European Neighbourhood Instrument.**

**2.7.2 Providing for deeper territorial integration, synergies between territorial cooperation activities under cohesion policy and the European Neighbourhood Instruments must be capitalised upon. The potential for creating complementarities between these instruments is strongest with regard to cross border cooperation activities and macro-regional strategies. Member States and regions must, therefore, ensure that existing activities are associated with newly created European Groupings of Territorial Cooperation, having special regard to coordination and exchange of best practices between all relevant partners.**

## **3. Coordination mechanism among Funds covered by the CPR**

**3.1 Member States and regions must ensure that the interventions financed through the Funds covered by the CPR create synergies and that streamlining leads to a reduction of administrative cost and burden on the ground.**

**3.2 Ministries and managing authorities responsible for the implementation of the Funds covered by the CPR must work closely together in the preparation, implementation, monitoring and evaluation of the Partnership Contract and programmes. In particular, they must:**

- a) ensure the development of coordination mechanism between the funds covered by the CPR, i.e. the coordination between cohesion policy, rural development and maritime policy;**
- b) identify areas of intervention where the Funds covered by the CPR can be combined in a complementary manner to achieve the thematic objectives set out in this Regulation, i.e. interventions in cross-border cooperation areas;**
- c) promote a multi-funds approach and develop, consequently, multi-fund operational programmes;**
- d) promote the involvement by managing authorities responsible for one of the Funds covered by the CPR of other managing authorities and relevant ministries in the development of support schemes to ensure synergies and avoid overlaps;**
- e) establish joint monitoring committees, where appropriate, for programmes implementing Funds covered by the CPR, and the development of other joint management and control arrangements to facilitate coordination between authorities responsible for the**

*f) make use of joint eGovernance solutions aimed at applicants and beneficiaries and "one-stop shops" for advice on the opportunities of support available through each Fund of the Funds covered by the CPR.*

#### **4. Priorities for territorial cooperation (cross-border, transnational and interregional)**

*4.1 Great potential for regional development, job creation and cohesion lies in cooperation that goes beyond administrative borders and attempts to overcome the natural ones. Co-operation based on a shared need in a shared territory is often the most effective one.*

*4.2 Cross-border cooperation derives from an understanding that many challenges do not stop at administrative borders. An effective response requires joint, cooperative action and sharing of knowledge at the appropriate territorial level.*

*4.3 Furthermore, the embedded potential of border regions may be tapped through locally oriented support measures.*

*4.4 The two existing macro-regional strategies have paved the way for organising the interested parties into joint actions at the suitable territorial level. The strategies have increased the understanding of the necessity of cooperation in tackling problems which cannot be solved by one Member State only, such as for example, cleaning of the Baltic Sea or the Danube. Projects within macro-regional strategies and other forms of territorial cooperation may be supported from both ERDF and ESF and the specific conditions for support for macro-regional strategies must be, where appropriate, outlined in the programmes.*

*4.5 Overcoming barriers needs to be part of the programming of the Funds covered by the CPR – the objectives of the existing macro-regional strategies can be reflected, where appropriate, in the needs analysis and goal setting for the relevant programmes from the planning phase on. Those strategies will not have served their purpose unless the objectives of the macro-regional strategies form part of the strategic planning in cohesion policy programmes in the regions and Member States concerned.*

*4.6 At the same time, Member States and regions must ensure that territorial cooperation programmes make an effective contribution to the Europe 2020 objectives. Member States and regions can thus foster cooperation as well as test, pilot and introduce new solutions, making sure that cooperation is organised in support of the wider policy goals. Where needed, territorial cooperation must be used to bring together policy-makers from across borders to work towards overcoming common problems.*

*4.7 Member States and regions must view the territorial cooperation programmes primarily as useful tools in overcoming barriers to co-operation, which would in turn support national and regional policy goals with impact beyond the programme area. In this context, particular attention should be paid to the regions covered by Article 349 TFEU.*

*4.8 Member State and Commission must ensure that territorial cooperation programs provide for an effective, workable connection between the Funds covered by the CPR as well as with the Connecting Europe Facility and the TEN Regulations.*

## Amendment 207

### Proposal for a regulation

#### Annex IV – Thematic *ex ante* conditionalities – point 2 – column 2 – sub-point 2.2

##### *Text proposed by the Commission*

2.2. Next Generation Access (NGA)  
Infrastructure: The existence of national NGA Plans which take account of regional actions in order to reach the EU high-speed Internet access targets focusing on areas where the market fails to provide an open infrastructure at an affordable cost and to an adequate quality in line with the EU competition and state aid rules, and provide accessible services to vulnerable groups.

##### *Amendment*

2.2. Next Generation Access (NGA)  
Infrastructure: The existence of national ***and/or regional*** NGA Plans which take account of regional actions in order to reach the EU high-speed Internet access targets ***and promote territorial cohesion***, focusing on areas where the market fails to provide an open infrastructure at an affordable cost and to an adequate quality in line with the EU competition and state aid rules, and provide accessible services to vulnerable groups.

## Amendment 208

### Proposal for a regulation

#### Annex IV – Thematic *ex ante* conditionalities – point 2 – sub-point 2.2 – column 3 – indent 1

##### *Text proposed by the Commission*

- A national NGA Plan is in place that contains:

##### *Amendment*

- A national ***and or regional*** NGA Plan is in place that contains:

## Amendment 209

### Proposal for a regulation

#### Annex IV – Thematic *ex ante* conditionalities – point 6 – sub-point 6.1 –column 3 – indent 1

##### *Text proposed by the Commission*

– A Member State has ***ensured*** contribution of the different water uses to the recovery of the costs of water services by sector in accordance with Article 9 of Directive 2000/60/EC.

##### *Amendment*

– A Member State has ***taken measures to ensure that*** the different water uses ***contribute*** to the recovery of the costs of water services by sector in accordance with Article 9 of Directive 2000/60/EC.

## Amendment 210

### Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 8 – sub-point 8.1 – column 3 – indent 1 – sub-indent 1

#### *Text proposed by the Commission*

- personalised services and active and preventive labour market measures at an early stage, which are open for all jobseekers;

#### *Amendment*

- personalised services and active and preventive labour market measures at an early stage, which are open for all jobseekers, ***including people from marginalised communities;***

## Amendment 211

### Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.1 – column 3 – indent 2 – sub-indent 2

#### *Text proposed by the Commission*

– is comprehensive (e.g. covering all educational sectors including early childhood development) and adequately addresses prevention, intervention and compensation measures;

#### *Amendment*

– is comprehensive (e.g. covering all educational sectors including early childhood development) ***effectively targets vulnerable groups that are most at risk of ESL, such as Roma*** and adequately addresses prevention, intervention and compensation measures;

## Amendment 212

### Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.1 – column 3 – indent 2 – sub-indent 3 a (new)

#### *Text proposed by the Commission*

#### *Amendment*

***- pays special attention to the special needs of groups at increased risk of early school leaving, also through prevention of early school leaving, with access to quality, diverse early childhood development programs, home-based and community-based learning initiatives and free childcare services;***

## Amendment 213

### Proposal for a regulation

**Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.1 – column 3 – indent 2 – sub-indent 4**

#### *Text proposed by the Commission*

– cuts across-sectors, and involves and coordinates all policy sectors and stakeholders that are relevant to address ESL.

#### *Amendment*

– cuts across-sectors, and involves and coordinates all policy sectors and stakeholders, ***including the areas of culture, arts and sport***, that are relevant to address ESL.

## Amendment 214

### Proposal for a regulation

**Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.2 – column 3 – indent 1 – sub-indent 2 – point 2**

#### *Text proposed by the Commission*

– increase higher education participation among low income groups and other under-represented groups;

#### *Amendment*

– increase higher education participation among low income groups and other under-represented groups, ***with special regard to groups that are most vulnerable;***

## Amendment 215

### Proposal for a regulation

**Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.3 – column 3 – indent 1 – sub-indent 2**

#### *Text proposed by the Commission*

– measures for the effective provision of skills development for young people in vocational training, adults, women returning in the labour market, low skilled and older workers, and other disadvantaged groups;

#### *Amendment*

– measures for the effective provision of skills development for young people in vocational training, adults, women returning in the labour market, low skilled and older workers, ***marginalized communities, such as Roma*** and other disadvantaged groups;



## Amendment 216

## Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 9 – sub-point 9.3 – column 3 – indent 1 – sub-indent 4

*Text proposed by the Commission*

– measures to improve the relevance of education and training and to adapt it to the needs of identified target groups;

*Amendment*

– measures to improve the relevance of education and training and to adapt it to the needs of identified target groups ***with special needs, such as the permanently unemployed and marginalized communities;***

## Amendment 217

## Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 10 – sub-point 10.1 – column 3 – indent 1 – sub-indent 2

*Text proposed by the Commission*

- ***is in accordance with*** the national poverty and social exclusion target (as defined in the National Reform Programme), which includes the extension of employment opportunities for disadvantaged groups;

*Amendment*

- ***lays out concrete proposals and public policy measures designed to work towards the achievement of*** the national poverty and social exclusion target (as defined in the National Reform Programme ***and National Social Reports***), which includes the extension of ***quality*** employment opportunities for disadvantaged groups;

## Amendment 218

## Proposal for a regulation

Annex IV – Thematic *ex ante* conditionalities – point 10 – sub-point 10.1 – column 3 – indent 2 – sub-indent 1

*Text proposed by the Commission*

- sets achievable national goals for Roma integration to bridge the gap with the general population. These targets should address, as a minimum, the four EU Roma integration goals relating to access to education, employment, healthcare and housing;

*Amendment*

- sets achievable national goals for Roma integration to bridge the gap with the general population. These targets should address, as a minimum, the four EU Roma integration goals relating to access to education, employment, healthcare and housing ***reflected in public policy***

**Amendment 219****Proposal for a regulation**

**Annex IV – Thematic *ex ante* conditionalities – point 10 – sub-point 10.1 – column 3 – indent 2 – sub-indent 2**

*Text proposed by the Commission*

– is coherent with the National Reform Programme;

*Amendment*

– is coherent with the National Reform Programme **and the national strategy for poverty reduction**;

**Amendment 220****Proposal for a regulation**

**Annex IV – Thematic *ex ante* conditionalities – point 11 – column 1**

*Text proposed by the Commission*

11. Enhancing institutional capacity and efficient public administration  
(referred to in Article 9(11))

*Amendment*

11. Enhancing institutional capacity and efficient public administration **and promoting capacity building for stakeholders**

(referred to in Article 9(11))

**Amendment 221****Proposal for a regulation**

**Annex IV – Thematic *ex ante* conditionalities – point 11 – column 3 – indent 1**

*Text proposed by the Commission*

- A strategy for reinforcing a Member State's administrative efficiency is in place and in the process of being implemented<sup>1</sup>. The strategy includes:

*Amendment*

- A strategy for reinforcing a Member State's **regional and local authorities'** administrative efficiency **and their skills to implement participative methods** is in place and in the process of being implemented<sup>1</sup>. The strategy includes:

## Amendment 222

## Proposal for a regulation

Annex IV – General ex-ante conditionalities – point 1 – column 3 – indent 1 – sub-indent 3 a (new)

*Text proposed by the Commission*

*Amendment*

**- arrangements for collecting disaggregated data on the Roma, the disabled, women, and young and elderly people, and using them in monitoring and evaluation;**

## Amendment 223

## Proposal for a regulation

Annex IV – General ex-ante conditionalities – point 2 – column 2

*Text proposed by the Commission*

*Amendment*

The existence of a strategy **for the promotion of gender equality** and a mechanism which ensures its effective implementation.

The existence of a strategy **in order to reach the Union gender equality objectives** and a mechanism which ensures its effective implementation **through gender mainstreaming and specific actions**.

## Amendment 224

## Proposal for a regulation

Annex IV – General ex-ante conditionalities – point 2 – column 3 – indent 1 – sub-indent 2

*Text proposed by the Commission*

*Amendment*

– a plan and ex-ante criteria for the integration of gender equality objectives through gender standards and guidelines;

– a plan and ex-ante criteria for the integration of gender equality objectives through gender **equality** standards and guidelines **for all of the Funds covered by the CPR**;

## Amendment 225

## Proposal for a regulation

Annex IV – General ex-ante conditionalities – point 2 – column 3 – indent 1 – sub-indent

*Text proposed by the Commission*

– implementation mechanisms including involvement of a gender body and the relevant expertise to draft monitor and evaluate the interventions.

**Amendment 226****Proposal for a regulation****Annex V – part 2 – section 2.1 – paragraph 3***Text proposed by the Commission*

3. The managing authority shall involve in information and publicity measures, in accordance with national laws and practices, the following bodies:

- (a) the partners referred to in Article 5;
- (b) information centres on Europe, as well as Commission representation offices in the Member States;
- (c) educational and research institutions.

These bodies shall widely disseminate the information described in Article 105(1)(a) and (b).

**Amendment 227****Proposal for a regulation****Annex V – part 3 – section 3.1 – paragraph 2***Text proposed by the Commission*

2. The managing authority shall ensure that potential beneficiaries are informed on at least the following:

- (a) the conditions of eligibility of expenditure to be met in order to qualify for support under an operational

*Amendment*

– implementation mechanisms including involvement of a gender body **or gender experts** and the relevant expertise to draft monitor and evaluate the interventions.

*Amendment*

3. The managing authority shall involve in information and publicity measures, in accordance with national laws and practices, **at least one of** the following bodies:

- (a) the partners referred to in Article 5;
- (b) information centres on Europe, as well as Commission representation offices **and Information Offices of the European Parliament**, in the Member States;
- (c) educational and research institutions.

These bodies shall widely disseminate the information described in Article 105(1)(a) and (b).

*Amendment*

2. The managing authority shall ensure that potential beneficiaries are informed, **using a range of accessible methods**, on at least the following:

- (a) the conditions of eligibility of expenditure to be met in order to qualify for support under an operational

programme;

(b) a description of the procedures for examining applications for funding and of the time periods involved;

(c) the criteria for selecting the operations to be supported;

(d) the contacts at national, regional or local level that are able to provide information on the operational programmes;

(e) that applications should propose communication activities, proportional to the size of the operation, in order to inform the public about the operation's aims and the EU support to the operation.

#### **Amendment 228**

##### **Proposal for a regulation**

##### **Annex V – part 4 – paragraph 1 – point i**

###### *Text proposed by the Commission*

(i) an annual update setting out the information and communication activities to be carried out.

programme;

(b) a description of the procedures for examining applications for funding and of the time periods involved;

(c) the criteria for selecting the operations to be supported;

(d) the contacts at national, regional or local level that are able to provide information on the operational programmes;

***(da) any change to the information referred to in points (a) to (d), at least three months before that change comes into effect;***

(e) that applications should propose communication activities, proportional to the size of the operation, in order to inform the public about the operation's aims and the EU support to the operation.

###### *Amendment*

(i) an annual update setting out the information and communication activities to be carried out, ***as envisaged at the time the update was completed.***







EUROPEAN COMMISSION

Brussels, 24.4.2012  
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**COMMISSION STAFF WORKING DOCUMENT**

**The partnership principle in the implementation of the Common Strategic Framework  
Funds - elements for a European Code of Conduct on Partnership**



**Article 5 of the draft Common Provisions Regulation (CPR)**

- (1) For the Partnership Contract and each programme respectively, a Member State shall organise a partnership with the following partners:
  - (a) competent regional, local, urban and other public authorities;
  - (b) economic and social partners; and
  - (c) bodies representing civil society, including environmental partners, non-governmental organisations, and bodies responsible for promoting equality and non-discrimination.
- (2) In accordance with the multi-level governance approach, the partners shall be involved by Member States in the preparation of Partnership Contracts and progress reports and in the preparation, implementation, monitoring and evaluation of programmes. The partners shall participate in the monitoring committees for programmes.
- (3) The Commission shall be empowered to adopt delegated acts in accordance with Article 142 to provide for a European code of conduct that lays down objectives and criteria to support the implementation of partnership and to facilitate the sharing of information, experience, results and good practices among Member States.
- (4) At least once a year, for each CSF Fund, the Commission shall consult the organisations which represent the partners at Union level on the implementation of support from the CSF Funds<sup>7</sup>.

**In addition, the CPR contains provisions which directly refer to partnership or are linked to this principle on monitoring, reporting and evaluation.**

Under the Commission's proposals for the CSF funds in the period 2014-2020, Member States will have a clear obligation to organise a partnership, but the specific procedures for involving the relevant partners in the different stages of the programming will be left to the national authorities. The European code of conduct on partnership (ECCP) will lay down minimum requirements which are necessary to attain a high quality partnership in the implementation of the funds while maintaining ample flexibility to Member States in ways they organise the participation of the different partners.

This staff working document should help Member States to shape their partnership appropriately during the preparatory work before the regulations are adopted. In particular, it provides some examples of good practice on implementation of the partnership principle, based on the Commission's findings and various enquiries.

It also outlines the main requirements that the ECCP could contain as a basis for discussion with the European Parliament and the Council, in order to facilitate the on-going legislative procedure and to allow stakeholders to take part in this debate. The ECCP should be adopted by the Commission as a delegated act, as soon as the Common Provision Regulation enters into force.

<sup>7</sup> The consultation shall be done at least twice during the programming period for the European Maritime and Fisheries Fund (EMFF), according to the Article 90 of the EMFF fund specific regulation.

## 2. WHICH PARTNERS TO SELECT?

The Common Provisions Regulation requires Member States to organise a partnership with (a) competent regional, local, urban and other public authorities, (b) economic and social partners and (c) bodies representing civil society, including environmental partners, non-governmental organisations, and bodies responsible for promoting equality and non-discrimination. Partnership is to be organised according to the institutional set-up of each Member State. In decentralised Member States, regions will be key actors in the organisation of the partnership.

As a first step towards preparation of the Partnership Contract and the programmes, Member States are recommended to identify, in their national context, the relevant stakeholders in the CSF Funds, the incentives and the legal and administrative barriers to partnership and possibly ways to address these obstacles. Member States are also encouraged to build upon the key existing national/regional/local partnership structures to minimise duplication and save time. Support for capacity-building might be necessary in order to help establish a representative and functioning partnership.

**The ECCP could supplement the Common Provisions Regulation by asking** Member States to ensure an open and transparent selection of partners and that the selected partners are aware of their duties related to confidentiality and conflict of interest.

The nature and size of the partnership will depend on the policy priorities with which it is dealing and on territorial circumstances. **The ECCP could supplement the Common Provisions Regulation by requiring** that the partnership includes the institutions, organisations and groups which can influence or be affected by implementation of the programmes. Specific attention will have to be paid to groups that might be affected by the programmes but find it difficult to influence them, in particular the most vulnerable and marginalised, such as the persons with disabilities, migrants, Roma... It is important to encourage pluralism in the partnership and to bring in the different relevant parts of the public sector alongside business, community-based and voluntary organisations, covering different types and sizes of organisations and including small innovative players.

**In Romania**, the ESF managing authority was responsible for conducting a contextual analysis prior to the country's accession to the EU. This document served as the key programming document for the National Strategic Reference Framework (NSRF) and operational programmes. As well as the need to work in partnership with the most relevant policy actors for the programming exercise at national level, it was clear that partnership mechanisms at regional and local levels were needed to strengthen the capacity of the actors for decision making and implementation. Within this framework, a background analysis regarding employment and social inclusion in each region was conducted via meetings and discussions with relevant actors at regional and county level. In the North East region, for example, more than 200 actors were involved<sup>8</sup>.

**In Hungary**, the methodology for the public consultation of the NSRF was set up following recommendations from civil society organisations. About 4000 partner organisations, including trade unions, employee interest groups and NGOs, representatives of business,

<sup>8</sup> Community of Practice on Partnership in the ESF, Guidebook "How ESF managing authorities and intermediate bodies support partnership", 2011

education and science, were invited to advise on the orientation of the NSRF and the OPs. The general public was also able to access and comment on the NSRF and the OPs via a webpage. Workshops were organised to discuss draft versions with relevant professional and social partners and ministerial representatives<sup>9</sup>.

## 2.1. The involvement of competent regional, local, urban and other public authorities

Closer cooperation between all national, regional and local levels of administration favours a coherent and integrated approach to implementation of funds. As the public authorities closest to the ground, regional and local authorities have a key role to play and a crucial interest in delivering the Europe 2020 Strategy and in achieving economic, social and territorial cohesion.

The extent of their involvement will depend on the individual institutional framework of each Member State and, in particular, the legal and budgetary powers of the different territorial levels with regard to the scope of the different funds. At national level, Member States are encouraged to use existing associations and networks of regional, sub-regional and local authorities as they may offer wide representativeness and practical experience.

In most cases, regions play a prominent role in the partnership, actively being engaged in the preparation of the strategic documents and the implementation of CSF funds at national level. Regions, and in particular those with constitutional powers, are often managing authorities as well and are thus responsible for ensuring the involvement of partners in the preparation, implementation, monitoring and evaluation of programmes.

**The ECCP could supplement the Common Provisions Regulation by requiring** that the different existing territorial levels in a Member State, in particular the regional level, are represented in the partnership and involved in the different stages of implementation of the CSF funds in line with their individual powers. Where regions are managing authorities of Programmes, they must organise partnership and involve the competent local, urban and other public authorities, the economic and social partners and bodies representing civil society, including environmental partners, non-governmental organisations, and bodies responsible for promoting equality and non-discriminations in the preparation, implementation, monitoring and evaluation of the programmes.

Taking into account the geographical scale of interventions operations and the functional interdependencies between different territories, **it could also be envisaged that the ECCP supplements the Common Provisions Regulation by asking** Member States to ensure that the urban areas, where integrated territorial investments (ITIs) will be carried out, are directly involved in the preparation, implementation, monitoring and evaluation of the programme in order to build an appropriate strategic approach addressing their specific needs into the programmes.

In the **Liverpool City Region (UK)** a technical group was created in order to ensure that all projects granted under the European Regional Development Fund (ERDF) have been

<sup>9</sup> Community of Practice on Partnership in the ESF, Guidebook "How ESF managing authorities and intermediate bodies support partnership", 2011

considered by the Merseyside / Liverpool City Region. This technical group comprises representatives from local public authorities, private sector, higher education sector, the community and voluntary sectors, the Public Transport authority and the local Employment and Skills Board. It is supported by two local staff employed through technical assistance funding and by the Managing Authority, who attend the meetings of the technical group in advisory capacity. This technical group comments on every ERDF application, identifies priorities for ERDF support, helps to develop consortium to deliver activities across the Liverpool City Region (i.e. entrepreneurship, social enterprise, business support, energy efficiency in housing, etc.) and can influence the design and implementation of the JESSICA and JEREMIE schemes established in the region, etc.

## 2.2. The involvement of economic and social partners

Dialogue with the social partners is one of the pillars of the European social model and is recognised as such in Article 152 of the Treaty on the functioning of the European Union (TFEU). The social partners are indeed different in nature from other organisations, like pressure or interest groups, because of their ability to take part in collective bargaining and thus influence the social standards in their Member State.

**The ECCP could supplement the Common Provisions Regulation by specifying** that Member States need to ensure that the nationally recognised social partners' organisations are included in the partnership, in particular the general cross-industry organisations and the sectoral organisations. In this context, equal representation of employers' organisations and employees' organisations must be guaranteed.

**The ECCP could also supplement the Common Provisions Regulation by requiring** a strong involvement of business associations, in particular those representing SMEs, Chambers of commerce, universities and research institutions. These are key partners in fostering development, innovation and competitiveness. Their interaction in implementation of CSF funds will be crucial to achieve the Europe 2020 targets on the ground — in line with the priorities of smart, sustainable and inclusive growth.

**It could finally be envisaged that the ECCP supplements the Common Provisions Regulation by making clear** that the economic and social partners are groups or associations representing the general interest of industries or branches, employers and employees, but in no case the interests of a sole company, enterprise or economic group (mother or subsidiaries).

**Austria's traditional social partnership model** is reflected in the ESF and the ERDF programmes. The economic and social partners were involved in designing and monitoring the NSRF (*STRAT.AT*) within a permanent body, the Austrian Conference on Spatial Planning (ÖROK). *STRAT.AT plus* is an innovative instrument for the current programming period. It is a platform for strategic monitoring. It aims to offer a bottom-up process for learning and dialogue, targeting a broad partnership to develop new strategies. Regular dialogue meetings ('Foren' and 'Synergien') and exchanges of experience are organised.<sup>10</sup>

<sup>10</sup> Exploratory Opinion of the EESC on 'How to foster efficient partnership in the management of cohesion policy programmes, based on good practices from the 2007-2013 cycle', CESE 967/2010.

### 2.3. The involvement of bodies representing civil society and bodies responsible for promoting equality and non-discrimination

Non-governmental organisations (NGOs) representing civil society, particularly in areas such as environment, social inclusion, gender equality and equal opportunities should be consulted and involved in programming.

Where the number of organisations interested — and hence the potential partnership — is particularly large, it might be appropriate for potential partners to establish coordination platforms and umbrella organisations and to designate a common representative to contribute to preparing and implementing programmes, in order to ensure wider representativeness and to make the partnership work more smoothly.

**The ECCP could supplement the Common Provisions Regulation by indicating** that the selection of partners must include the most representative non- governmental organisations, which deal with the subject areas covered by the different funds. Non-governmental organizations must be free to designate their individual representatives to be members of the partnership. In case of large number of non-governmental organisations involved, platforms could be established to elect representatives who would be members of the partnership.

**In Spain,** the need to integrate gender equality in all Funds was recognized. The "Instituto de la Mujer" (Women's Institute) was involved as member of the Monitoring Committees of the European Regional Development Fund (ERDF), European Social Fund (ESF), Cohesion Fund, European Agricultural Fund for Rural Development (EAFRD) and European Fisheries Fund (EFF). The Network for equality between women and men policy was created to ensure a real and effective implementation of gender mainstreaming in the interventions financed by the Funds.

**In Slovenia,** specific funding was introduced, within the ESF priority of 'Institutional and administrative capacity', for development of NGOs and, in particular, networks of NGOs. One example of such a network at national level is CNVOS, made up of more than 200 associations. CNVOS supports NGOs in their engagement in the structural funds and nominates NGO representatives to participate in the monitoring committees. Furthermore, 10 regional NGO networks were established to foster development of regional and local NGOs and to enable them to contribute more actively to public policies. To improve dialogue in specific areas (culture, the environment, health, etc.), 12 thematic networks also receive support.<sup>11</sup>

### 2.4. Adjusting the partnership to the programmes

**For the EAFRD programmes,** key partners will be the regional and local authorities responsible for rural areas, sectoral organisations, economic and social partners involved in agriculture and forestry, representatives of other public and private organisations in sectors relevant to the development of rural areas, regional or local business associations, organisations of women in rural areas, environmental NGOs, NGOs responsible for non-discrimination/equality, representatives of national or regional (depending on the programming level) associations of local action groups involved in community-led local

<sup>11</sup> SF Team for Sustainable Future study 'Why bother with partnership?', 2011.

development, and associations working in the field of integrated rural development (e.g. associations of local development agencies). These key partners may already be linked through the National Rural Network, which can thus support strongly the partnership principle.

**For the EMFF programmes**, regional and local authorities, representatives of the fisheries and aquaculture sectors and other sub-sectors such as processing, sectoral organisations of social partners, environmental NGOs, organisations of women in fisheries, scientific and research organisations, and national or regional networks of fisheries local action groups (FLAGs) appear crucial.

**For the ERDF and Cohesion Fund**, partnerships will include regional and local authorities, in particular cities carrying out integrated sustainable urban development schemes as part of the integrated territorial investments, along with economic and social partners, representatives of NGOs having developed an expertise for cross-cutting issues, such as gender equality or accessibility for persons with disabilities, and for the relevant sectors where the funds are active and representatives of universities and research institutions, chambers of commerce and business organisations.

**For the ESF**, the involvement of economic and social partners in the partnership is essential. Regional and local authorities will also be key partners, as will the chambers of commerce, business organisations, workers' education associations, education and training institutions, social and health services providers, NGOs and organisations having developed an expertise in the fields of gender equality, non-discrimination and social inclusion that have close ties with disadvantaged groups such as persons with disabilities, migrants, Roma ....

In the case of multi-fund programmes, the partnership should include the institutions, organisations and groups that are relevant to the different funds concerned.

### 3. HOW TO INVOLVE THE PARTNERS IN PREPARATION OF THE PROGRAMMING DOCUMENTS?

The active involvement of all stakeholders in the very early stages of programming is crucial for successful implementation of the funds, in particular preparing the Partnership Contract at national level and the programmes at national and/or regional levels. The involvement of the partners in the preparation of the programmes should be without prejudice to the consultations held under the requirements of the Strategic Environmental Assessment Directive (SEA)<sup>12</sup>. Synergy would need to be strived for between the opinions expressed in the SEA process and in the partnership context.

#### 3.1. Preparation of the Partnership Contract and of the programmes

According to the Commission's proposal for the Common Provisions Regulation, the Partnership Contract and the programmes must be drawn up by Member States or any authority designated by them (in the case of the programmes), in cooperation with the partners. Appropriate options for involving different stakeholder groups in the design process

<sup>12</sup> SEA directive 2001/42/EC

will need to be carefully considered in relation to time, resources and context and may include meetings, consultations, surveys and workshops.

**The ECCP could supplement the Common Provisions Regulation by specifically requiring that** the partners are meaningfully involved (i) in the analysis of the challenges and needs to be addressed by the CSF funds, (ii) in the selection of objectives and priorities to address them, and (iii) in the coordination mechanisms put in place to harness the synergies between the different instruments available to foster smart, sustainable and inclusive growth.

In cases where agreements such as the Territorial Pacts for Europe 2020 have been established between the country's different tiers of government<sup>13</sup>, **the ECCP could also supplement the Common Provisions Regulation by requesting that** Member States take effective account of the multi-level governance arrangements agreed in the Territorial Pact in the preparation of their Partnership Contract to ensure effective policy delivery.

**In Latvia**, the environmental NGOs established the national NGO coalition focusing on environmental issues in May 2005 in the context of the preparation of the programmes. Eight to ten environmental NGOs were actively involved in its work. Face-to-face meetings and exchanges of opinions with the Ministry of Finance and the Ministry of the Environment took place throughout 2005 and 2006 leading to very active interaction between NGOs' representatives and ministries. There was also close cooperation between NGOs across various sectors, in particular those dealing with education, gender and social issues. The success of this involvement of NGOs was partly down to the opportunities provided by the arrangements for participation, including proper information tools, clear participation procedures, such as the public consultation process on draft documents, and the strategic environmental impact assessment. A special website was created where all information related to the programming of EU funds was published. The meetings between NGOs and ministries were demand-oriented, i.e. NGOs proposed holding consultation meetings and the ministries were responsive, allowing the consultation process to go far beyond formalities.<sup>14</sup>

**In Malta**, several working groups focusing on priority areas — economic development and competitiveness, accessibility, the environment, employment and social inclusion — were organised to prepare programmes. These brought together ministries, NGOs and socio-economic partners. Questionnaires were distributed to the main stakeholders and bilateral meetings were organised. In September 2005 over eighty organisations participated in a national workshop to analyse the development challenges and opportunities. Local governments, NGOs and other civil society organisations participated in the public dialogues organised by the Prime Minister's Office in the first quarter of 2006.

<sup>13</sup> Territorial Pacts for Europe 2020 are an initiative taken by the Committee of the Regions to give the Europe 2020 Strategy a territorial dimension and increase ownership at sub-national level. They are defined as an agreement between a country's tiers of government (local, regional and national). Parties signing up to a Territorial Pact commit themselves to coordinate and synchronise their policy agendas in order to focus their activities and financial resources on the goals and targets of the Europe 2020 Strategy. Territorial Pacts should aim at: setting national, and possibly regional, targets, with recourse, when necessary, to indicators and targets other than GDP; implementing one or more flagship initiatives; and identifying obstacles to achievement of the targets at national level.

<sup>14</sup> SF Team for Sustainable Future study 'Why bother with partnership?', 2011.

### 3.2. Establishing clear rules and timeline for the partnership work at the onset

The importance of individual stakeholders and the contribution they can make will differ widely in each Member State, depending on its internal institutional set-up. It is, however, crucial that partnership is organised in a transparent manner for all partners and that everyone involved knows what the purpose of the partnership is, who is doing what, and which results are expected from the partnership.

Establishing a work programme with clear objectives and a precise roadmap is thus strongly recommended. It could list the specific instruments and action to involve the partners in preparation of the Partnership Contract and the programmes, giving details of the involvement of the partners in preparation of the different programming documents with the corresponding timeline.

**The ECCP could supplement the Common Provisions Regulation by asking** Member States to establish minimal requirements in terms of procedure, in order to ensure (i) the timely disclosure of information during the debates on strategic documents, (ii) sufficient time for stakeholders to analyse, consult their members and constituencies and give feedback on the documents, (iii) channels for stakeholders to ask questions or make suggestions and comments, (iv) transparency on the way their proposals have been taken into account, providing an explanation for the acceptance or rejection of any comments; and (v) dissemination of the outcome of the consultation. Accessibility for persons with disabilities to the process both in terms of the physical environment and the information provided will also need to be taken into consideration.

### 3.3. Informing on the way partnership is implemented in the programming documents

According to the Commission's proposal for the Common Provisions Regulation, the Partnership Contract must set out the action taken to involve the partners and their role in preparation of the Partnership Contract.

**The ECCP could supplement the Common Provisions Regulation by requesting** that the Partnership Contract and the programmes detail in particular (i) the list of partners who have been involved, their responsibilities and the extent of their participation; (ii) how the partners were identified and how the principles of transparency and accessibility have been applied; (iii) the action taken to facilitate wide involvement and active participation by the partners; (iv) the coordination structures and procedures within the programme and with other CSF fund operations; (v) how technical assistance resources will be used to promote partnership (nature of support services envisaged, level of resources made available and management arrangements planned), (vi) and finally the views of the partners on implementation of the partnership principle clearly and openly documented.



## 4. HOW TO INVOLVE THE PARTNERS IN THE IMPLEMENTATION PHASE?

### 4.1. Participation in the monitoring committees

The monitoring committees have a key role in the implementation of the programmes and they naturally embody the partnership at work in the programmes.

#### 4.1.1. *The composition of the monitoring committees*

According to the Commission's proposal for the Common Provisions Regulation, the members of the monitoring committees are to be appointed by the Member State in agreement with the managing authority. A Member State may set up a single monitoring committee for programmes co-financed by the CSF funds. The monitoring committees are to be composed of representatives of the managing authority and intermediate bodies and of representatives of the partners.

**It could be envisaged that the ECCP supplements the Common Provisions Regulation by requiring** that (i) the selection of the members in the monitoring committees takes into account the partners who were involved in preparing the programme in order to ensure sufficient continuity and ownership between the programming and the implementing phases, (ii) the representation of the different partners is based on their respective responsibilities for implementation of the programmes, (iii) partners select and appoint their own members of the monitoring committees and other consultative bodies and working groups established within the framework of the CSF funds, (iv) monitoring committees are gender balanced and diverse in their composition, (v) the list of members of the monitoring committees and other working groups are made public, (vi) each selected partner is aware of his duties related to confidentiality and conflict of interest through specific training and a formalisation of his obligation in a signed statement.

#### 4.1.2. *The role and procedures of the monitoring committees*

According to the Commission's proposal for the Common Provisions Regulation, the monitoring committees will review implementation of the programmes and progress towards achieving their objectives. They must also examine in detail all issues that affect performance of the programmes. They must be consulted and issue an opinion on any amendment of the programmes. They may issue recommendations to the managing authorities regarding implementation of the programmes and their evaluations and monitor the action taken as a result.

In terms of procedure, each monitoring committee will draw up and adopt its rules of procedure. The Commission's proposal for the Common Provisions Regulation also states that each member of the monitoring committee will have a voting right, except the Commission and the European Investment Bank who act in an advisory capacity. The chairperson must ensure that all the partners have an opportunity to give their opinion and that there is enough time for discussion. It is strongly recommended that the monitoring committees take decisions on the basis of a consensus.

**The ECCP could supplement the Common Provisions Regulations by requiring** Member States to detail in the rules of procedure at least (i) the deadlines for invitations to the meetings and transmission of documents which should be not less than two weeks, (ii) the members voting rights, (iii) the procedure for adoption, publication and accessibility of the minutes, (iv) the transparent process to establish and operate working parties, (v) and the arrangements for publication and accessibility of the preparatory documents submitted to the monitoring committees.

**In Germany (Mecklenburg-Vorpommern)**, the monitoring committee is a forum for overall regional development policy-shaping. It covers not only the ESF and ERDF but also the EAFRD and has a real say in decision-making on selection criteria for projects. The monitoring committee meets five or six times a year and has its own rules of procedure. The participatory system also includes working parties and other consultative bodies. Their tasks are decided by the partnership.<sup>15</sup>

**In Denmark**, the decisions of the monitoring committee for the rural development programme are always taken on the basis of consensus. No voting takes place in practice. Similarly, in the **Polish** rural development programme there is broad and active participation in the monitoring committee, where open discussions during the meetings and via written procedure are encouraged.

**In Ireland**, effective cooperation within the monitoring committee for the EFF programme can be observed: regular meetings, broad representation (around 50 members), including the fisheries sector, NGOs and local representatives (Axis 4/Leader), active participation and strong influence on decisions by all members. Prior to approval of the programme, the same partners were strongly associated in preparing the programming documents (national strategic plan (NSP) and operational programme (OP)).

#### 4.2. Participation in project selection

It may be valuable to draw on stakeholders' expertise at the stage of defining calls for proposals and evaluating the resultant proposals. Participation in project selection processes improves stakeholder organisations' understanding of how these processes work in practice, including how well evaluation criteria are formulated, how they are applied and to what extent they serve their purpose.<sup>16</sup>

However, the involvement of partners in the selection process raises the question of conflicts of interest. In this regard, disclosure and transparency throughout the process are critical for preventing these situations.

**It could be envisaged that the ECCP supplements the Common Provisions Regulation by requiring** managing authorities, (i) to involve where appropriate the partners in definition of the calls for proposals and evaluation of the proposals, (ii) to prevent, through clear pre-established procedures, that any person representing the partner organisations and having

<sup>15</sup> Appendix to the Exploratory Opinion of the EESC on 'How to foster efficient partnership in the management of cohesion policy programmes, based on good practices from the 2007-2013 cycle', CESE 967/2010.

<sup>16</sup> SF Team for Sustainable Future study 'Why bother with partnership?', 2011.

direct or indirect interest in a body taking part to the calls for proposals is involved in the process of designing the calls for proposals and in assessing the resulting replies, (iii) to organise regular turnover of the people involved in the calls for proposals to prevent and address possible conflicts of interest, (iv) and to ensure that each selected partner is aware of his duties related to confidentiality and conflict of interest through specific training and a formalisation of his obligation in a signed statement.

**In the Czech Republic**, for the ESF operational programme on ‘Human Resources and Employment’, stakeholders are involved in preparation of individual grant schemes in the form of submitting comments or discussing the schemes in expert working groups. The detailed list of stakeholders involved depends on the content of the scheme. A database has been created to list all the project evaluators and allow on-line working. General and specific training is provided to project evaluators.<sup>17</sup>

**In Sweden**, the structural funds partnerships at regional level are composed of regional/local politicians (majority) and a broad cross-section. The partnerships have a central role in implementation of both the ERDF and the ESF, as they are responsible for prioritising between applications when it comes to selecting projects. They can thus give priority to projects that respond best to the regional development plans and regional needs. The eight structural funds partnerships in Sweden are consensus-based and create synergies between ERDF and ESF projects.

#### 4.3. Involvement in reporting

The Commission's proposals for the future programming period place an obligation on the Member States to submit to the Commission annual report on implementation of each programme. The monitoring committees will consider and approve the annual implementation reports before they are submitted to the Commission. For the ERDF, the CF and the ESF, the annual implementation reports submitted in 2017 and 2019 shall also assess, among other points, the involvement of the partners in implementing, monitoring and evaluating the operational programmes.

The Commission's proposal for the Common Provisions Regulation adds that the partners must be consulted on the progress reports on implementation of the Partnership Contract submitted by the Member State in the first half of 2017 and of 2019. The progress reports will be the basis of the performance review undertaken by the Commission in cooperation with Member States with reference to the performance framework set out in the Partnership Contract and programmes. Progress reports shall also set out information on and assess the role of the partners in the implementation of the Partnership Contract.

**The ECCP could supplement the Common Provisions Regulation by requiring** Managing Authorities to actively associate the partners, through the monitoring committees, in preparing the annual implementation reports on the programmes, and by asking Member States to actively associate the partners in preparing the progress reports in 2017 and 2019 and to provide in these reports an overview of the opinions given by the partners during the consultation and the way they have been taken into account.

<sup>17</sup> Community of Practice on Partnership in the ESF, Guidebook ‘How ESF managing authorities and intermediate bodies support partnership’, 2011.

## 5. HOW TO INVOLVE THE PARTNERS IN THE EVALUATION?

Involving partners in preparing, implementing and following up evaluations is important to ensure impartiality and learning for all involved in the process.

According to the Commission's proposals for the CSF funds, an evaluation plan must be drawn up by the managing authority for each programme. The evaluation plan will be discussed by the partnership in the monitoring committees for the ERDF, the CF, the ESF and the EMFF. In the case of the EAFRD, an evaluation plan, for which the Commission will establish the minimum requirements, is submitted as part of each rural development programme and will thus have been discussed by the partners in the context of the preparation of the programmes.

The partnership must regularly examine, through the monitoring committees, the progress on implementation of the evaluation plan. The partners will receive all the evaluations made on the different programmes and be informed about the follow-up given to findings of the evaluations for the ERDF, the CF, the ESF and the EMFF. Monitoring committees responsible for EAFRD programmes will examine activities and outputs related to the programme's evaluation plan.

For the ESF, the ERDF and the Cohesion Fund, managing authorities must submit to the Commission, by 31 December 2020, for each programme a report summarising the findings of the evaluations carried out during the programming period.

**It could be envisaged that the ECCP supplements the Common Provisions Regulation by requesting** Managing Authorities to involve partners in the evaluation process through the monitoring committees, from establishment of the terms of reference to finalisation of the evaluation. Member States could also be required to carry out an evaluation of the performance and the effectiveness of the partnership principle during the programming period across the funds and the programmes. Finally, Managing Authorities for the ERDF, ESF and Cohesion Fund programmes could be asked to consult the partners on the reports summarising the findings of the evaluations carried out during the programming period.

**In the United Kingdom**, an Evaluation Sub-Committee of the ESF Programme Monitoring Committee for England meets once a year. It includes members nominated by the ESF Programme Monitoring Committee. In the spirit of partnership, the members of the Evaluation Sub-Committee are consulted on the evaluation strategy for the operational programme and are actively involved in discussing and providing feedback on key issues related to all stages of evaluating projects. The Evaluation Sub-Committee might suggest representatives to sit on steering groups for various evaluations.

**In Portugal**, partners are interviewed by the independent evaluators of the NSRF. Partners in the monitoring committees also have the opportunity to express their opinion on the evaluation reports, as the latter are discussed in the committees' meetings. In addition, different partners made a substantial contribution to the Action Plan which had to be drafted to implement the recommendations made in the evaluation reports.

Implementing the partnership principle effectively is about empowering all partners, in particular the ones with limited human resources.

Some stakeholders find it difficult to work in a partnership because they do not have the knowledge or the resources to engage actively. This is the case for small players in particular, which need to train their managers and their staff, or for organisations representing an emerging or cross-cutting issue which is not yet mainstreamed into the political agenda. Continuous capacity-building for the partners is therefore crucial.

**It could be envisaged that the ECPP supplements the Common Provisions Regulation by requiring** Member States and managing authorities to use part of their technical assistance of the current and next programming periods to ensure that partners, in particular small local authorities, economic and social partners and NGOs, have the capacity necessary to participate in preparation, implementation, monitoring and evaluation of the Partnership Contract and the programmes. This support may take the form of dedicated workshops, training sessions, coordination and networking structures or contributions to partners' costs incurred for participation in the meetings related to preparation, implementation, monitoring and evaluation of the programmes. In order to encourage development of partnership actively, joint training between public and private partners is recommended. Raising the awareness of the individual partners about application of the sound financial management principle is particularly recommended.

**In southern Italy**, a support structure funded by technical assistance has been established to improve economic and social partners' engagement in the regional and sectoral programmes.<sup>18</sup> Still in southern Italy, the economic and social partners created and managed a very successful technical assistance project during the period from 2000 to 2006, financed by the ERDF and focusing on improving their knowledge of development programmes and strengthening their capacity to participate. This project is good practice, both for its governance and for the results obtained. It was continued in the current period.

**In Slovakia**, in the context of the operational programme on 'Health' funded through the ERDF, the managing authority asked the members of the monitoring committee to fill in a questionnaire on the functioning of the monitoring committee and implementation of the OP. As a result of this consultation, the eligibility rules for technical assistance were amended in order to allow members of the monitoring committee to participate in training activities in the fields of healthcare and EU structural funds.

<sup>18</sup> Community of Practice on Partnership in the ESF, Guidebook 'How ESF managing authorities and intermediate bodies support partnership', 2011.

## 7. CONTINUOUS EXCHANGE OF GOOD PRACTICES

Thematic umbrella organisations and NGOs, socio-economic partners and networks and associations representing local, urban and regional authorities, all working at EU level on cohesion policy, are currently taking part in structured dialogue meetings organised by the Commission to discuss implementation of CSF funds. This could be the basis for the future formal meetings to be organised post-2013 under Article 5(4).

In addition, regular exchanges of experience and best practice will be encouraged in the Coordination Committee of the Funds (COCOF), the European Social Fund Committee, the Rural Development Committee and the European Maritime and Fisheries Fund Committee. The EU-wide networks of information and communication officers (INFORM for the ERDF and CF and INIO for the ESF) will be used to exchange good practice on how to give visibility to cohesion policy, notably via easier access to information (publication of the list of operations and beneficiaries, descriptions of operations and an improved search function). In the case of the EAFRD, the European network for rural development and the national rural network established in each Member State will, *inter alia*, aim to increase the involvement of stakeholders in the implementation of rural development.

To facilitate partnership on specific themes, exchanges of views and cooperation should be encouraged within existing thematic networks such as the European Network of Environmental Authorities and Managing Authorities for cohesion policy (ENEA-MA) and the European and national networks for rural development.

Interested managing authorities will also be encouraged to establish a Community of Practice (CoP) on Partnership common to the five funds, modelled on the current CoP on Partnership, funded from the ESF.

**The Community of Practice on Partnership, funded from the ESF**, was a network of ESF managing authorities and intermediate bodies from nine Member States. Over a period of three years (2008-2011), the CoP intensively exchanged learning about different ways of implementing partnership with the 'critical friend' review method. The network focuses on partnerships adopted by national and regional operational programmes of Member States and regions. The CoP members exchanged and learnt about their ways of implementing partnerships, with special emphasis on governance processes and action. The budget of the CoP was co-financed by the technical assistance on the initiative of the Commission. The network produced several key lessons reports, ran a web portal including a database on partnership experiences and published a partnership learning manual at the beginning of 2012.

**The European Network of Environmental Authorities for cohesion policy (ENEA)** was established in September 2004, in order to help integrate environment and sustainable development policies in the cohesion policy operational programmes of EU Member States and candidate countries. In 2010 the membership was extended to the managing authorities of cohesion policy programmes. The tasks of the ENEA-MA are to foster exchanges of experience and identification of good practice among the relevant environmental authorities and to promote, wherever possible, national networks of environmental authorities dealing with structural and cohesion funds. In this respect, several Member States, such as Spain,



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**Committee on Regional Development**  
**4rd Interparliamentary Committee Meeting with National Parliaments**  
**Cohesion Policy 2014 - 2020:**  
**Towards a Common Strategic Framework**  
**and Partnership Contracts**

**5**

**11 October Brussels**

**Contribution on behalf of the Estonian Parliament European Union Affairs Committee**

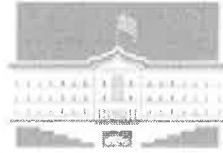
Estonia with other 15 members states that form the **Friends of Cohesion Policy**<sup>1</sup>, have announced main positions:

- In the next multiannual financial framework, the policies directly supporting **growth, employment, competitiveness and convergence** cannot be reduced.
- There is no more space for cutting the funds for Cohesion Policy, there has been a **considerable reduction** compared to the 2007-2013 period already in the Commission's proposal. Further reduction would **not be in accordance** with the 28/29 of June 2012 European Council conclusions which say that "within the future Multiannual Financial Framework, spending should be mobilised to support growth, employment, competitiveness and convergence, in line with the Europe 2020 Strategy". That is **exactly** what the well-targeted Cohesion Policy funds are doing.
- The Commission has proposed a 2,5% GDP capping rate for each Member State's allocation of cohesion policy funding. The Baltic States have advocated for a higher capping rate [2,X% of GDP] for Member States, which exceeded the Union before 2013 and whose average GDP growth 2008-2010 was lower than -1,5% of. These Member States were most harshly hit by economic crisis but nevertheless have demonstrated the best absorption capacity of cohesion funding among Member States. They have prioritised cohesion investments and found national co-financing in time of severe national budget cuts and strict financial discipline. This is why a common and lower capping rate compared to previous period on the pretext of low absorption capacity would do injustice for these States.
- Finally the Connecting Europe Facility and the contribution to the interconnectedness of Europe this new facility will have in **all three strands**. We should not neglect the opportunities it will provide to foster the single market and be a **driver for growth**. Also, contribution from Cohesion Fund to Connecting Europe Facility would enable **effective implementation** of cross-border transport projects and it **should not be reduced** if we really want to have these cross-border projects finished.

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<sup>1</sup> EE, LV, LT, PL, HU, SK, CZ, SL, GR, ES, HR, PT, RO, BG, MT





ΒΟΥΛΗ ΤΩΝ ΕΛΛΗΝΩΝ

## THE HELLENIC PARLIAMENT

### COHESION POLICY 2014-2020

#### CONTRIBUTION OF THE HELLENIC PARLIAMENT

Greece is called to protect its interests within an adverse context having been developed due to both less favored and poorer countries' accession and to the general restrictive fiscal conditions. Cohesion Policy, Common Agricultural policy and Migration Policy are signaled as the Greek side's priorities out of the total number of MFF's policies.

Regarding MFF's structuring, we support filing Cohesion expenditures under a sub-heading, for reasons of securing Cohesion Resources.

We hold reservations concerning the transfer of 10 billion Euros from the Cohesion Fund to the Connecting Europe Facility. This mechanism divests funds from Cohesion Policy, which, under the current economic circumstances, are vital for supporting development and creating new employment opportunities.

**The most important specific issues of the negotiating box pack for Cohesion policy are listed below in order of priority:**

#### **1. Statistical data- Resource allocation rates for convergence regions and for transition regions**

Proposed statistical data of the Cohesion Policy funds allocation methodology (national statistical data for the period 2008-2010 concerning the Cohesion Fund and the classification under the "relative prosperity" category, as well as the regions' statistical data for the period 2007-2009 concerning the Structural Funds) deviate substantially from current circumstances and fail to capture recession's negative

impact. Therefore, at a turning point concerning EU's development, Cohesion Funds allocation is being based upon an outdated image of member-states' and their regions economies. Our point of view regarding this issues is that the GDP's dropping figure in relation to the simultaneous rise in unemployment rates within member-states should be taken into account when proceeding to the final arrangements of the Cohesion's resource allocation.

## **2. Extending the “safety net” to the “Phasing out” regions**

The “safety net” providing for the preservation of two thirds of their current fiscal period budget by those regions moving out of “convergence region” status, should also extend to the “phasing out” (of statistical convergence) regions for member-states eligible for receiving allocations from the Cohesion Fund during the fiscal period 2014-2020.

## **3. Macro-economic conditionality**

The Greek side deems that macro-economic conditionality must not be associated to community budget, which is, primarily, the EU's tool for growth. The possibility of extending macro-economic conditionality, further to Cohesion Fund, to all Structural Funds shall result in twofold burdening for the least developed member-states/least developed regions, given the fact that there are provisions concerning severe sanctions for member-states both concerning excessive deficit processes and macro-economic inconsistencies. Imposing further sanctions and penalties directly affecting regions for weaknesses, at a national and not at a regional level, practically turns against growth, leading to a vicious circle of recession. However, in case of ultimately applying macro-economic conditionality, provisions should be made for suspension of commitment appropriations and in no case whatsoever suspension of payments, especially following the Council's intervention, and in a non-automatic way, while these should be proportional to GNI and taking into account the social and economic conditions of the member-state concerned.

## **4. Co-financing rate**

The Hellenic Parliament supports the increase or at least the preservation of co-financing rate of the current period for the coming fiscal period as well. Co-financing rate should be proportionate to the given member-state's socio-economic conditions

and not be set in a horizontal manner at a regional level in relation to the regions' income. Moreover, the Hellenic Parliament is in favor of an increased co-financing rate by 10 percentage points for member-states operating within the support mechanism, via procedures of community funds rapid flow, so as to burden the least possible member-states' deficit by structural measures commitments.



**Italy's Chamber of Deputies - European Union Policies Committee**

**Proposal for a Regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006 (COM(2011)615 final).**

**DOCUMENT APPROVED BY THE COMMITTEE**

The European Union Policies Committee;

having examined the proposal for a Regulation of the European Parliament and of the Council laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund covered by the Common Strategic Framework and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1083/2006;

whereas:

a) the soundness of the legal basis of EU draft legislative acts has to be assessed prior to the subsidiarity check to be conducted by national parliaments under Protocol 2 attached to the Treaty on European Union and the Treaty on the Functioning of the European Union. Accordingly, national parliaments may issue reasoned opinions under Article 6 of the above Protocol in the event that the legal basis of a European draft legislative act is deemed to be incorrect or inappropriate in relation to its content and purposes;

b) the legal basis of the proposed Regulation is Article 177 of the Treaty on the Functioning of the European Union (TFEU), whereby the European Parliament and the Council, acting by means of regulations in accordance with the ordinary legislative procedure and consulting the Economic and Social Committee and the Committee of the Regions, shall define the tasks, priority objectives and organization of the Structural Funds, the general rules applicable to the Funds, and the provisions necessary to ensure the effectiveness and coordination of the Funds with one another and with the other existing Financial Instruments;

c) this legal basis is intended to permit the exercise of EU competence as set out in Article 174 of the TFEU, whereby the EU shall develop and pursue its actions leading to the strengthening of its economic, social and territorial cohesion, aiming in particular at reducing the disparities between the levels of development of the various regions and the backwardness of the least favoured regions, with an



emphasis on rural areas, areas affected by industrial transition, and regions which suffer from severe and permanent natural or demographic handicaps such as the northernmost regions with very low population density and island, cross-border and mountain regions;

*d)* Article 21 of the proposed regulation provides for conditionalities linked to the coordination of the economic policies of Member States, establishing that the Commission may request amendments to the Partnership Contract, where necessary to support the implementation of Council recommendations addressed to Member States within the framework of a procedure for an excessive deficit or excessive macroeconomic imbalances, or to adjustment programmes applicable to Member States benefiting from the assistance granted under the European Stability Mechanism or medium-term financial assistance for balances of payments. If the Member State concerned does not respond to the requests of the Commission or does not respond satisfactorily to its observations, the Commission may adopt, by means of implementing acts, a decision suspending part or all of the payments for the programmes concerned. The Commission may also suspend part or all of the payments or commitments for the programmes concerned in relation to decisions establishing that the Member State has failed to meet its obligations under the procedures for excessive deficits and excessive imbalances or to meet the conditionalities for assistance under the Stability Mechanism;

*e)* such conditionalities are, therefore, designed primarily to ensure compliance with macroeconomic and public finance criteria established by secondary legislation, based on the provisions of Title VIII of the Treaty on the Functioning of the European Union on economic and monetary policy;

*f)* the argument advanced by the European Commission in the impact assessment, whereby the conditionalities in Article 21 would help to ensure macroeconomic and financial policies that promote growth with a view to ensuring a more effective and coherent implementation of structural programmes, is deemed to be unfounded;

*g)* in this respect it is necessary to recall that under Article 175 of the TFEU the Member States shall conduct their economic policies and shall coordinate them in such a way as to attain the objectives of Article 174, which must be taken into account in the formulation and implementation of the Union's policies and actions. In particular, the Union supports the achievement of these objectives by the action it takes through the Structural Funds (European Agricultural Guidance and Guarantee Fund, Guidance Section; European Social Fund; European Regional Development Fund), the European Investment Bank and other existing Financial Instruments. Article 175 also specifies that if specific actions prove necessary outside the Funds and without prejudice to the measures decided upon within the framework of other EU policies, such actions may be adopted by the European Parliament and the Council, acting in accordance with the ordinary legislative

procedure and after consulting the Economic and Social Committee and the Committee of the Regions;

*h)* the provisions of Article 175 referred to above reaffirm and clarify the scope of the general principle laid down in Article 120 of the TFEU, whereby the Member States shall conduct their economic policies "with a view to contributing to the achievement of the objectives of Union, as defined in Article 3 of the Treaty on European Union", which include promoting economic, social and territorial cohesion;

*i)* the combined provisions of Articles 175 and 120 of the TFEU make it clear that the Union's economic policy measures must contribute to achieving the development objectives of cohesion policy;

*l)* Article 177 of the TFEU, even if read in conjunction with Articles 174 and 175, does not justify the establishment of conditionalities, such as those provided for under Article 21 of the proposed Regulation, aimed at making cohesion policy instruments conditional upon the pursuit of objectives that fall outside the scope of such policy;

*m)* in view of the combined provisions of Articles 120 and 175, the introduction of macroeconomic conditionalities would not be justified even through recourse to legal bases under Title VIII of the TFEU;

*n)* the proposal complies with the principle of subsidiarity in that its stated goal of reducing the disparities between the levels of development of the various regions of the European Union can not be satisfactorily achieved by the Member States but is best pursued at the Union level. Moreover, the mechanisms envisaged in the proposed regulation, which confirm that the programming and management of the Structural Funds will continue to be agreed among European, national and regional institutions, are in full compliance with the principle of subsidiarity also in functional terms;

#### RESOLVES TO ISSUE

a reasoned opinion under Article 6 of Protocol 2 attached to the Treaty on European Union and the Treaty on the Functioning of the European Union with regard solely to Article 21 of the proposed regulation.



## CONTRIBUȚIE DIN PARTEA SENATULUI ROMÂNIEI

Comisia pentru administrație publică,  
organizarea teritoriului și protecția mediului

Comisia pentru Afaceri Europene

### REUNIUNEA INTERPARLAMENTARĂ A COMISIILOR

Parlamentul European – parlamentele naționale

Comisia pentru Dezvoltare Regională

**"Cadrul strategic comun și contractele de parteneriat în cadrul noii politici de coeziune  
a UE 2014-2020"**

**11 octombrie 2012**

**Parlamentul European**

9:00-12:30

#### **1. Care ar trebui să fie forma și conținutul CSC și CP ca instrumente majore de coordonare strategică și de programare?**

România este de acord cu propunerea Comisiei Europene privind necesitatea unor documente strategice de referință pentru viitoarea politică de coeziune, respectiv Cadrul Strategic Comun (CSC) pentru cele 5 fonduri de tip structural și Contractul de Parteneriat (CP).

În ceea ce privește CSC, acesta trebuie să aducă un nivel mai ridicat de coerență și complementaritate în planificarea și implementarea investițiilor cofinanțate din cele cinci fonduri de tip structural, atât la nivel comunitar, cât și în plan național, regional și local. Aceasta nu trebuie însă să afecteze cheia de alocare financiară pe cele trei politici vizate (Politica de Coeziune, Politica Agricolă Comună și Politica pentru Pescuit și Afaceri Maritime) și nici specificitatea și valoarea adăugată a intervențiilor fiecărui fond.

Din punct de vedere al programării strategice la nivel european, CSC trebuie să fie strâns, dar nu exclusiv, legat de Strategia Europa 2020 și Liniile Directoare Integrate.

Având în vedere caracterul strategic al acestui document de programare, România sprijină adoptarea CSC în integritate ca anexă la Regulamentul general.

Referitor la CP, România consideră că aceste documente trebuie să integreze în mod armonios prioritățile definite prin CSC și obiectivele strategice stabilite la nivel național (prin strategii sau planuri naționale de dezvoltare pe termen mediu și lung și prin Programul Național de Reformă), cu implicarea partenerilor relevanți de la toate nivelurile.

România consideră că prioritățile tematice din documentele de programare vor trebui să răspundă obiectivelor majore de dezvoltare ale UE, păstrând însă o anumită flexibilitate în raport cu nivelul de dezvoltare, nevoile și provocările specifice fiecărui stat membru și fiecărei regiuni. Este imperativ ca situația particulară a regiunilor mai puțin dezvoltate ale Uniunii să fie luată în considerare în mod adecvat. Un exemplu major în acest sens îl constituie nivelul de dezvoltare și calitatea infrastructurii în domenii cheie (transport, mediu, etc), aceste regiuni continuând să înregistreze decalaje semnificative la acest capitol față de restul Uniunii.

**2 Cum putem îmbunătăți claritatea principiului parteneriatului? Ce înseamnă parteneriatul și cum variază această noțiune în funcție de diferite PO? Care parteneri ar trebui luați în considerare? În ce măsură PO și /sau CP pot fi percepute drept cadru deschis spre consultarea largă a partenerilor**

Elaborarea, implementarea, monitorizarea și evaluarea documentelor de programare naționale trebuie să respecte principiul european al parteneriatului și să implice consultări cu reprezentanți ai autorităților competente naționale, regionale, locale, cu organizații ale societății civile, cu parteneri economici și sociali, inclusiv partenerii din domeniul protecției mediului sau având responsabilități pentru promovarea egalității și nediscriminării.

Totodată considerăm că principiul parteneriatului este strâns legat de principiul subsidiarității, care implică faptul că deciziile trebuie luate la nivelul cel mai competent pentru executarea lor, în contextul unei rețele de cooperare mai largi, care regroupează resurse și experiențe.

Apreciem că principiile aplicabile unui cadru partenerial sunt următoarele: respectarea guvernantei pe mai multe niveluri, valorificarea experienței, a bunelor practici și know-how-ului partenerilor relevanți, asigurarea asumării de către toate părțile implicate a intervențiilor programate.

**3 Care ar trebui să fie legăturile între CP și contextul macro economic mai larg? Ar trebui să existe o legătură directă între CP și Recomandările Specifice de Țară sau Programele Naționale de Reformă (după cum a sugerat poziția PE)?**

România este rezervată în ceea ce privește condiționalitățile macroeconomice.

În ceea ce privește utilizarea Recomandărilor Specifice de Țară, precizăm că din perspectiva României, politica de coeziune reprezintă un instrument esențial aflat la dispoziția UE pentru investiții, într-o perioadă de rigoare bugetară, în vederea stimulării creșterii, ocupării și competitivității, în linie cu strategia Europa 2020. Cu toate acestea, România nu sprijină utilizarea Recomandărilor Specifice de Țară ca instrument care face legătura între politica de coeziune și Strategia Europa 2020, având în vedere caracterul anual al acestora și necesitatea planificării pe termen lung în cadrul politicii de coeziune.

**4 Care sunt riscurile concentrării tematice excesive? Cum să construim o orientare strategică puternică a programelor și să permitem o flexibilitate suficientă, în același timp?**

România este în favoarea unei mai mari concentrări tematice a intervențiilor finanțate din politica de coeziune, în strânsă legătură cu Strategia Europa 2020. Cu toate acestea, este esențial ca statele membre și regiunile să aibă posibilitatea de a selecta priorități și domenii de intervenție în funcție de condițiile și provocările socio-economice și teritoriale specifice. Considerăm că viitoarea perioadă de programare financiară necesită implementarea unor programe complexe, care să sprijine o dezvoltare regională menită să conducă spre o competitivitate sporită. O concentrare tematică excesivă poate să îngreuească opțiunile strategice pe care statul membru le-a identificat.

Mai mult decât atât, țările și regiunile cel mai puțin dezvoltate trebuie să aibă la dispoziție un meniu mult mai diversificat de intervenții, inclusiv în domenii care pot fi mai puțin corelate cu agenda Europa 2020, dar care au un rol determinant pentru creșterea economică, competitivitatea și coeziunea socială pe termen mediu și lung. Astfel, flexibilitatea și focalizarea pe rezultate trebuie să constituie principii definitorii ale abordării post 2013.

Totodată, România susține necesitatea unei mai mari flexibilități în ceea ce privește tipurile de investiții și concentrarea alocărilor pe obiective tematice pentru regiunile care se clasau în cadrul Obiectivului Convergență, iar în perioada 2014-2020 vor face parte din categoria regiunilor mai dezvoltate.

Notă: Opinia Senatului României din data de 30 noiembrie 2011 privind propunerea de Regulament general a fost transmisă instituțiilor europene și este disponibilă pe site-ul IPEX.

## ITALY'S CHAMBER OF DEPUTIES – 16TH PARLIAMENT

***Report of Joint Meeting of the Budget, Treasury and Planning Committee and the EU Affairs Committee******Wednesday, 28 March 2012***

**Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of the Regions – A Budget for Europe 2020 (COM(2011)500 final), parts I and II.**

**Proposal for a Council Regulation laying down the multiannual financial framework for the years 2014-2020, (COM(2011)398 final).**

**Draft Interinstitutional Agreement between the European Parliament, the Council and the Commission on cooperation in budgetary matters and on sound financial management, (COM(2011)403 final).**

**Proposal for a Council Decision on the system of own resources of the European Union, (COM(2011)510 final).**

**Proposal for a Council Regulation laying down implementing measures for the system of own resources of the European Union, (COM(2011)511 final).**

**Proposal for a Council Regulation on the methods and procedure for making available the traditional and GNI-based own resources and on the measures to meet cash requirements, (COM(2011)512 final).**

**FINAL DOCUMENT APPROVED BY THE COMMITTEES**

The Budget, Treasury and Planning Committee and the EU Affairs Committee,

(...)

b) with regard to cohesion policy:

1. in contrast to the Commission's proposal, it is necessary to present the case for the maintenance of the current rules governing the eligibility of territories and the appropriation of resources, which ensure that resources are allocated to the most disadvantaged regions. The introduction of the category of "transition regions" needs to be opposed in order to avoid weakening the impact of the policy

for the enhancement of economic, social and territorial cohesion and the reduction of development delays;

2. the upper limit for the absorption of funds by individual Member States, which the Commission is proposing to set at 2.5% of GDP should be reduced or, at least, confirmed. An evaluation should be made of the possibility of introducing different flexible thresholds for different cohesion policy funds;

3. the procedures for the planning and management of cohesion policy funds, referred to in the aforementioned Proposal for a Regulation laying down common provisions on European structural funds (COM(2011)615 final), need to be improved so that the programmes may be converted into fully operative instruments primed for swift implementation. This will entail a clear identification of results and the definition of actions and appropriate means to achieve these results. In particular, provisions should be made to allow cities to enter into agreements for shared programmes;

4. the “*ex ante* conditionalities”, as listed in the aforementioned Proposal for a Regulation laying down common provisions on European structural funds, should be linked to a verification of the presence of all the necessary pre-conditions (including institutional requirements) for the implementation of the programmes. As for the performance reserve, its implementation should be based on positive incentives, and efforts must be made to avoid adding complicating and redundant regulatory elements that would have a paralysing effect on procedures that, on the contrary, need to be accelerated and simplified;

5. it would be opportune to suppress the conditionalities as listed of article 21 of the aforementioned proposal for a regulation – mentioned in point 3 - relating to common measures for structural funds on the grounds that they do not serve the very objectives that cohesion policies are meant to achieve;

(...)



ЕВРОПЕЙСКИ ПАРЛАМЕНТ    PARLAMENTO EUROPEO    EVROPSKÝ PARLAMENT    EUROPA-PARLAMENTET  
EUROPÄISCHES PARLAMENT    EUROOPA PARLAMENT    ΕΥΡΩΠΑΪΚΟ ΚΟΙΝΟΒΟΥΛΙΟ    EUROPEAN PARLIAMENT  
PARLEMENT EUROPÉEN    PARLAIMINT NA HEORPA    PARLAMENTO EUROPEO    EIROPAS PARLAMENTS  
EUROPOS PARLAMENTAS    EURÓPAI PARLAMENT    IL-PARLAMENT EWROPEW    EUROPEES PARLEMENT  
PARLAMENT EUROPEJSKI    PARLAMENTO EUROPEU    PARLAMENTUL EUROPEAN  
EURÓPSKY PARLAMENT    EVROPSKI PARLAMENT    EUROOPAN PARLAMENTTI    EUROPAPARLAMENTET

**Directorate-General for the Presidency  
Directorate for relations with National Parliaments  
Legislative Dialogue Unit**

#### **4th Interparliamentary Committee Meeting**

*Cohesion Policy 2014-2020:  
Towards a common strategic framework and  
partnership contracts*

**Thursday, 11 October 2012, 09.00 - 12.30**

**József Antall building (JAN) - room 2 Q2  
European Parliament, Brussels**

## **PRACTICAL INFORMATION A-Z**

### **Arrival and Distribution of Access Cards**

Registered participants can collect their access cards from the reception area located in the entrance zone of the

***József Antall building (JAN), Solidarność 1980 Esplanade.***

**Opening hours of the reception area: [Thursday, 11 October 2012, 8h - 9h](#)**

### **Cloakroom**

Coats may be left (unguarded) on coat racks outside the meeting room (JAN 2 Q2). The European Parliament declines responsibility for the loss or theft of items.



## **Internet / WIFI Access**

Participants using their own laptops and having a WIFI card ***may request a user name and password from the EP Infocentre*** (entrance close to Room 1E2 - Altiero Spinelli building).

The user name and password need to be requested the same day and cannot be requested in advance. Password and user name are valid for 24 hours. For further questions, please contact the Infocentre at the European Parliament in Brussels directly (EP internal telephone number 84300).

## **Meeting Documents**

Meeting documents will be distributed in the meeting room and are available at

<http://www.europarl.europa.eu/webnp/cms/lang/en/pid/1780>

## **Taxis**

A taxi-rank is to be found on the Place de Luxembourg (5 minutes from the entrance to the József Antall building).

## **Contacts at the Committee on Regional Development**

<b>Administrator: Stefan MANEV</b> <a href="mailto:stefan.manev@europarl.europa.eu">stefan.manev@europarl.europa.eu</a>  +32 (0)2 284 6193	<b>Assistant: Inna DOLGOVSKAJA</b> <a href="mailto:inna.dolgovskaja@europarl.europa.eu">inna.dolgovskaja@europarl.europa.eu</a>  +32 (0)2 284 2494
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## **Contacts at the Directorate for Relations with National Parliaments Legislative Dialogue Unit**

<b>Administrator: Eszter ZSILINSZKY</b> <a href="mailto:eszter.zsilinszky@europarl.europa.eu">eszter.zsilinszky@europarl.europa.eu</a>  +32 (0)2 284 3300	<b>Assistant: Brigitte DELAUNOY</b> <a href="mailto:brigitte.delaunoy@europarl.europa.eu">brigitte.delaunoy@europarl.europa.eu</a>  +32 (0)2 284 2510
<b>Legislative Dialogue Unit mobile phone (<i>only on Thursday morning</i>):</b>  <b>0470 /18 18 96</b>	



## CORTES GENERALES

### **NOTA RELATIVA A LA REUNIÓN DE LA COMISIÓN DE ECONOMÍA DEL PARLAMENTO EUROPEO Y LOS PRESIDENTES DE LAS COMISIONES HOMÓLOGAS DE LOS PARLAMENTOS NACIONALES CELEBRADA EN BRUSELAS EL 26 DE SEPTIEMBRE DE 2012.**

El miércoles 26 de septiembre de 2012 se celebró en la sede del Parlamento Europeo en Bruselas una sesión de la Comisión de Economía de dicha Cámara, a la que se invitó a participar a los Presidentes de las Comisiones homólogas de los Parlamentos nacionales de la UE, en un debate previsto entre las 15 y las 16:30 horas con el título "El ciclo 2012 del Semestre Europeo". Ningún miembro de las Cortes Generales se desplazó a Bruselas para esta reunión.

Dicha reunión se enmarca dentro de la relación entre el Parlamento Europeo y los Parlamentos nacionales, reforzada tras la entrada en vigor del Tratado de Lisboa, y en concreto dentro del Semestre Europeo, que prevé una coordinación de las políticas económicas y presupuestarias de los Estados miembros.

Cabe destacar que no se trata de una Reunión interparlamentaria de Comisión, a la que se invita con carácter habitual a cuatro miembros de cada Parlamento nacional, sino de una sesión ordinaria de la Comisión de Economía del Parlamento Europeo, a la que se invitó únicamente a los Presidentes de las Comisiones homólogas de los Parlamentos nacionales.

Esta convocatoria complementa la reunión sobre el Marco Financiero Plurianual celebrada los días 27 y 28 de febrero de 2012, a la que asistió una delegación de las Cortes Generales y en la que se debatió el Semestre Europeo en la fase correspondiente al primer semestre de 2012.

El objetivo de este encuentro sería el de conocer las opiniones de los Parlamentos nacionales en relación con el Semestre Europeo, justo en el momento en que comienzan a tramitarse las leyes de presupuestos, de acuerdo con las recomendaciones que adoptó para cada Estado miembro el Consejo europeo en junio.

El orden del día de la Comisión, la lista de participantes y la nota informativa relativa a esta reunión se adjuntan como anexos 1 a 3 a la presente nota.

La reunión comenzó con la presentación del informe sobre el Semestre Europeo elaborado, por iniciativa del Parlamento Europeo, por el Sr. GAUZÈS, miembro de la Comisión de Economía, quien defendió el papel que el Parlamento Europeo quiere desempeñar en esta política, reforzando el control democrático de la UE, sin por ello asumir

competencias nacionales. La cuestión clave sería no la adopción de nuevas medidas económicas, sino la aplicación y evaluación de las medidas que ya han sido adoptadas.

Tras esta intervención, la **Sra. BOWLES**, Presidenta de la Comisión de Economía del Parlamento Europeo, abrió el debate, en el que intervinieron 10 miembros de Parlamentos nacionales y 7 miembros del Parlamento Europeo.

El **Sr. KRAINER**, Vicepresidente de la Comisión de Economía del Consejo Nacional austríaco, presentó dos ideas fundamentales, por una parte, que el Semestre Europeo podría limitarse a ser, en el mejor de los casos, un sistema de monitorización de la actividad de los Estados miembros, sin esperar una coordinación real de las políticas económicas; y por otra, que el objetivo anual de déficit es importante, pero la misma importancia debe reconocerse a las políticas de lucha contra el desempleo, y en especial el desempleo juvenil.

El **Sr. HAMILTON**, miembro de la Comisión de Economía del Parlamento sueco, señaló que si bien Suecia no es miembro del euro grupo, su país apoya totalmente las medidas europeas de coordinación presupuestaria.

El **Sr. MARINI**, Presidente de la Comisión de Economía del Senado francés, señaló que su Comisión está analizando los textos legales relativos al Semestre Europeo, y en especial el Paquete Fiscal (Tratado de Estabilidad, Coordinación y Gobernanza en la Unión Económica y Monetaria), y que apoya este ejercicio de supervisión de los procedimientos presupuestarios en los Estados miembros. Propuso la convocatoria regular de una reunión de las Comisiones de Economía, para permitir un enfoque sistemático de estas cuestiones.

El **Sr. GILKINET**, Presidente de la Comisión de Finanzas y Presupuestos de la Cámara de Representantes belga, destacó la importancia del diálogo interparlamentario en la UE, si bien mostró su desacuerdo con las recomendaciones que el Consejo ha propuesto a Bélgica, en la práctica totalidad de su contenido.

El **Sr. WHITE**, Presidente de la Comisión mixta del Parlamento irlandés sobre Finanzas, Gasto público y Reformas, mostró su apoyo a un programa de supervisión que se extienda a todo el año, no únicamente al primer semestre de cada año, como hace el actual Semestre Europeo. Dado que las obligaciones de Irlanda están actualmente condicionadas por la troika, se interesó por la opinión de los parlamentarios de otros Estados miembros. Dada la superposición de competencias entre el Parlamento Europeo y los Parlamentos nacionales en estos temas, consideró que el Semestre Europeo podría servir de vía para la clarificación de este reparto competencial. Ante esta afirmación, la **Sra. BOWLES** respondió que en efecto, el hacer que los parlamentarios nacionales viajen a Bruselas para cada encuentro no es la fórmula más adecuada, y que están estudiando alternativas al modelo actual.

El **Sr. CABRITA**, Presidente de la Comisión de Presupuestos, Finanzas y Administración Pública de la Asamblea portuguesa, desde el punto de vista de un país sujeto

a un duro proceso de reajuste, defendió el papel de los Parlamentos nacionales en el camino hacia una Europa más democrática. Así mismo, señaló que la disciplina fiscal debe compatibilizarse con los objetivos de competitividad, y por ello, la evaluación del semestre europeo debe considerar los impactos sociales de las medidas adoptadas.

El **Sr. OZOLIN**, del Parlamento letón, solicitó en su intervención más tiempo de maniobra a los Parlamentos nacionales una vez emitidos los planes de reforma y convergencia, para permitir un diálogo real en el marco del Semestre Europeo.

Varios oradores, miembros del Parlamento Europeo y los Parlamentos nacionales, coincidieron en la necesidad de equilibrar la corrección macroeconómica y el estímulo de la economía, en concreto para afrontar el problema del desempleo, clave en el panorama económico de la Europa actual.

La **Sra. BÈRES**, miembro del Parlamento Europeo, apostó por una mejora en el funcionamiento del Semestre Europeo, al tiempo que reivindicó el papel del Parlamento Europeo en este proceso. Solicita una mayor información sobre los pasos a seguir por cada Estado miembro en el Semestre Europeo de 2013, y especialmente sobre las consecuencias que el incumplimiento de las recomendaciones puede tener para los Estados miembros.

El **Sr. GAUZÈS**, ponente del informe del Parlamento Europeo sobre el Semestre Europeo, señaló en sus conclusiones que el Semestre Europeo no puede reducirse a un ejercicio comparativo, el nivel europeo de toma de decisiones debe por ello estar presente en este proceso. Las recomendaciones del Consejo a los Estados miembros deben ser concretas, y debe así mismo evaluarse su aplicación. El ponente finalizó su intervención solicitando, en la línea del **Sr. MARINI**, la convocatoria de reuniones interparlamentarias regulares sobre estos temas, que faciliten una cooperación interparlamentaria reforzada.

La **Sra. BOWLES** anunció la convocatoria de dos reuniones interparlamentarias en 2013, por una parte, la "Semana Parlamentaria Europea", antes del Consejo europeo de primavera, y por otra, la evaluación del Semestre Europeo, tras el verano.

Ante la ausencia de peticiones adicionales de uso de la palabra, la reunión finalizó a las 16:25 horas, veinte minutos antes de la hora prevista.

De Bruselas para Madrid, a 2 de octubre de 2012

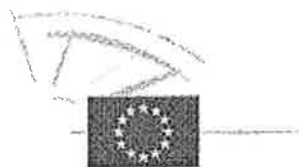


Carmen Sánchez-Abarca Gornals

Letrada representante permanente de las Cortes Generales ante la UE

## ANEXOS

### Anexo 1. Programa de la reunión (lengua inglesa).



## EUROPEAN PARLIAMENT COMMITTEE ON ECONOMIC AND MONETARY AFFAIRS ECON Committee Exchange of Views with National Parliaments

### *The 2012 cycle of the European Semester*

Wednesday 26 September, 15:00 - 16:45

European Parliament, Brussels

József Antall building, room JAN 4Q1

### PROGRAMME

#### **15.00 - 15.10 PRESENTATION BY THE RAPPOREUR OF THE 2012 SEMESTER CYCLE**

*Participant: Jean-Paul Gauzès, rapporteur on the report*

#### **15.10 - 16.35 DISCUSSION ON THE REPORT INCLUDING A DEBATE ON THE 2012 CYCLE OF THE EUROPEAN SEMESTER**

\_\_ NATIONAL PARLIAMENT REPRESENTATIVES ARE INVITED TO EXPRESS THEIR  
VIEWS ON THE 2012 CYCLE OF THE EUROPEAN SEMESTER

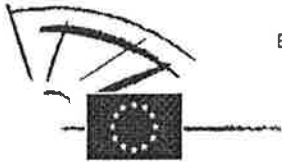
\_\_ EXCHANGE OF VIEWS WITH THE RAPPOREUR AND THE SHADOW RAPPOREURS  
OF THE REPORT

\_\_ OPEN DEBATE

#### **16.35 - 16.45 WRAP-UP REMARKS BY RAPPOREUR**

*Participant: Jean-Paul Gauzès, rapporteur on the report*

## **Anexo 2. Lista de participantes (lengua inglesa).**



ЕВРОПЕЙСКИ ПАРЛАМЕНТ PARLAMENTO EUROPEO EVROPSKÝ PARLAMENT EUROPA-PARLAMENTET  
EUROPÄISCHES PARLAMENT EUROOPA PARLAMENT ΕΥΡΩΠΑΪΚΟ ΚΟΙΝΟΒΟΥΛΙΟ EUROPEAN PARLIAMENT  
PARLEMENT EUROPÉEN PARLAIMINT NA HEORPA PARLAMENTO EUROPEO EIROPAS PARLAMENTS  
EUROPOS PARLAMENTAS EURÓPAI PARLAMENT IL-PARLAMENT EWROPEW EUROPEES PARLEMENT  
PARLAMENT EUROPEJSKI PARLAMENTO EUROPEU PARLAMENTUL EUROPEAN  
EURÓPSKY PARLAMENT EVROPSKI PARLAMENT EUROOPAN PARLAMENTTI EUROPAPARLAMENTET

Directorate-General for the Presidency  
Relations with National Parliaments  
Legislative Dialogue Unit

## **Committee on Economic and Monetary Affairs**

### **Exchange of Views with National Parliaments**

#### **The 2012 cycle of the European Semester**

### **List of Participants**

Wednesday 26 September, 15:00 - 16:30  
József Antall building, room JAN 4Q1

***European Parliament  
Brussels***

*Closed on 20/9/2012*

## BELGIQUE/BELGIE (Belgium)

### *CHAMBRE DES REPRESENTANTS/ KAMER VAN VOLKSVERTEGENWOORDIGERS*

#### Members:



**Mr Georges GILKINET**

Chair, Committee on Finance and Budget  
*Ecolo-Groen - Greens-EFA*



**Mr Herman DE CROO**

Advisory Committee on EU-affairs  
*Flemish Liberal Party - ALDE*

#### Officials:

**Mr Tom DE GEETER**

Secretary, Committee on Finance and Budget

## EIRE-IRELAND

### *DÁIL ÉIREANN (House of Representatives)*

#### Members:



**Mr Alex WHITE**

Chair, Oireachtas Joint Committee on Finance, Public  
Expenditure and Reform  
*The Labour Party - S&D*

#### Officials:

**Mr Ronan LENIHAN**

Clerk to the Committee, Oireachtas Joint Committee on  
Finance, Public Expenditure and Reform

**Mr Derek DIGNAM**

National Parliament Representative



## FRANCE

### *SÉNAT*

#### Members:



**Mr Philippe MARINI**

Chair, Committee on Finance  
*UMP - EPP*

## LIETUVA (Lithuania)

### *SEIMAS*

#### Members:



**Mr Kęstutis GLAVECKAS**

Chair, Committee on Budget and Finance  
*Liberals Movement Political Group - ALDE*

#### Officials:

**Ms Jolanta DZIKAITE**  
Adviser, Committee on Budget and Finance

**Ms Živilė PAVILONYTĖ**  
National Parliament representative

## LUXEMBOURG

### *CHAMBRE DES DEPUTES*

#### Members:



**Mr Roger NEGRI**

Vice-Chair, Committee on Finance and Budget  
*LSAP - S&D*

Officials:

**Mr Frédéric BOHLER**  
National Parliament representative

**MAGYARORSZÁG (Hungary)**

***ORSZÁGGYŰLÉS (National Assembly)***

Members:



**Ms Anna MAGYAR**

Committee on Audit Office and Budget  
*Fidesz - EPP*

Officials:

**Ms Dorottya DEÁK-STIFNER**  
Adviser of the EU Department

**MALTA**

***KAMRA TAD-DEPUTATI (House of Representatives)***

Members:



**Mr Karl GOUDER**

Committee on Consideration of Bills  
*PN - EPP*

Officials:

**Ms Eleanor SCERRI**  
National Parliament representative

## ÖSTERREICH (Austria)

### *NATIONALRAT*

#### Members:



**Mr Kai Jan KRAINER**

Vice-Chair, Committee on Finance  
*SPÖ - S&D*

#### Officials:

**Mr Georg MAGERL**  
National Parliament representative

## POLSKA (Poland)

### *SENAT*

#### Members:



**Mr Piotr GRUSZCZYŃSKI**

Vice-Chair, Budget and Public Finance Committee  
*Civic Platform - EPP*

#### Officials:

**Mr Andrzej WÓJTOWICZ**  
Advisor to the European Union Affairs Committee

**Ms Magdalena SKULIMOWSKA**  
National Parliament representative

## PORTUGAL

### *ASSEMBLEIA DA REPÚBLICA*

#### Members:



**Mr José Manuel CANAVARRO**

Chair, Committee on Social Security and Labour  
*Social-Democratic Party - EPP*



**Mr Eduardo CABRITA**

Chair, Committee on Budget, Finance and Public  
Administration  
*Socialist Party - S&D*



**Ms Ana Catarina Mendonça MENDES**

Vice-Chair, Committee on European Affairs  
*Socialist Party - S&D*

#### Officials:

**Mr Bruno DIAS PINHEIRO**  
National Parliament representative

## SUOMI (Finland)

### *EDUSKUNTA*

#### Members:



**Mr Eero LEHTI**

Committee on Commerce  
*Kansallinen kokoomus - EPP*

## **SVERIGE (Sweden)**

### ***RIKSDAGEN***

#### **Members:**



**Mr Carl B HAMILTON**

Committee on Finance  
*Folkpartiet - ALDE*



**Mr Bo BERNHARDSSON**

Committee on Finance  
*Socialdemokraterna - S&D*

#### **Officials:**

**Ms Katarina STENMARK**

Committee Secretary, Committee on Finance

**Ms Tuula ZETTERMAN**

National Parliament representative

## **Officials**

### **BELGIQUE/BELGIE (Belgium) - SENAT/SENAAT**

**Ms Ariane VAN IMPE**

Committee clerk, Committee on Finance and Economic Affairs

**Ms Marie-Aline STACANOV**

National Parliament Representative

### **БЪЛГАРИЯ (Bulgaria) - НАРОДНО СЪБРАНИЕ (National Assembly)**

**Ms Vesela VARBANOV- SHIKOVA**

Adviser, Committee on Budget and Finance

**Ms Anna ASENOVA**

National Parliament Representative

### **DANMARK (Denmark) - FOLKETINGET**

**Mr Thomas SARUP**

National Parliament Representative

### **DEUTSCHLAND (Germany) - BUNDESTAG**

**Ms Vesna POPOVIC**

National Parliament Representative

### **EESTI (Estonia) - RIIGIKOGU**

**Ms Malle KUULER**

National Parliament Representative

### **ΕΛΛΑΔΑ (Greece) - ΒΟΥΛΗ ΤΩΝ ΕΛΛΗΝΩΝ (VOULI TON ELLINON)**

**Ms Eleni Vasiliki ZERVOU**

National Parliament Representative

### **ITALIA (Italy) - SENATO DELLA REPUBBLICA**

**Ms Beatrice GIANANI**

National Parliament Representative

### **LATVIJA (Latvia) - SAEIMA**

**Ms Sandra GRAVA**

Committee on Budget and Finance

### **NEDERLAND (The Netherlands) - TWEEDE KAMER and EERSTE KAMER**

**Ms Caroline KEULEMANS**

National Parliament Representative

### **POLSKA (Poland) - SEJM**

**Ms Magdalena SKRZYNSKA**

National Parliament Representative

### **SLOVENIJA (Slovenia) - DRŽAVNI ZBOR (National Assembly)**

**Ms Romana NOVAK**

National Parliament Representative

**Anexo 3. Nota informativa de la reunión (lengua inglesa).**



**EUROPEAN PARLIAMENT COMMITTEE ON ECONOMIC AND  
MONETARY AFFAIRS**

**Note for the attention of Members of national parliaments**

***ECON Committee Exchange of Views with national parliaments on the  
2012 cycle of the European Semester***

Members of national parliaments have been invited to attend the ECON debate on the 2012 cycle of the European Semester, within the framework of the Own-Initiative Report: European Semester for economic policy coordination: implementation of 2012 priorities. The debate will be part of an ordinary ECON Committee meeting. This event is part of the efforts to strengthen the cooperation between the European Parliament and national parliaments within the framework of the European Semester.

**Purpose of the meeting**

It is a topical moment to have a debate on the outcome of the 2012 cycle of the European Semester, since the country-specific recommendations adopted by the Council before the summer break will now have to be implemented in the draft budget laws that are currently being discussed in national parliaments.

Moreover, in the current juncture, with the sovereign debt crisis taking a toll on economic recovery in Europe, decisions taken by each national parliament in the context of the adoption of draft budget laws can have an impact on other Member States.

An own initiative report on the 2012 cycle of the European Semester is currently being discussed at the European Parliament (rapporteur: Mr. Gauzès). The purpose of the debate is

therefore also to feed in the discussion before this report is formally adopted by the European Parliament in October, in view of giving feedback to the European Commission on the 2012 cycle of the European Semester before it releases the latest Annual Growth Survey, setting economic and budgetary priorities for next year.

**Practical organisational details**

**Seating arrangements**

Members of national parliaments will have the opportunity to attend the entire afternoon of

the Committee meeting of the 26 September, if they so wish. After the exchange of views on the 2012 cycle of the European Semester, the other points on the agenda are the votes on:

(i) the report on 'Markets in financial instruments and amendment of the EMIR Regulation on OTC derivatives, central counterparties and trade repositories', (ii) the report on 'Markets in financial instruments, and repeal of Directive 2004/39/EC'; (iii) the report on 'Towards an integrated European market for card, internet and mobile payments'.

Seating arrangements will have to take into account Committee meeting constraints on that day, and in particular the necessity to ensure smooth transition towards the three votes

2

scheduled during the Committee meeting. Members of national parliaments will be seated in

the center of the Committee meeting room, behind Members of the ECON Committee, with one member of their staff next to each of them. Other accompanying staff will be seated in the rear rows. In view of the fact that the voting session will start right after the debate on the European Semester, members of national parliaments who do not wish to stay in the committee meeting room will be assisted by the ushers.

Speaking-time rules

The exchange of views will be interpreted in 22 languages.

Speaking cards will be used to facilitate the debate: Members of national parliaments willing to speak will have to fill in a speaking card and hand it to the ushers.

The floor will be given alternatively to 2 members of national parliaments and 1 member of the ECON Committee.

In the interest of enabling a lively debate between all participants, speaking time will in general be limited to 3 minutes. Speaking time will be allocated by the chair and may be subject to change during the meeting, depending on the debate and the number of participants who would like to take the floor.

If 2 or more Members from the same national parliament wish to take the floor, those Members are kindly requested to indicate who is the Member who will speak in the first round.